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# A Data-driven Approach to Understand Mild Cognitive Impairment from Imbalanced Data Using SAS®



# Abstract

Cognitive decline has emerged as a significant threat to both public health and personal welfare, and mild cognitive decline/impairment (MCI) can further develop into Dementia/Alzheimer's disease. While treatment of Alzheimer's disease can be expensive and ineffective sometimes, the prevention of MCI by identifying modifiable risk factors is a complementary and effective strategy. Using a data-driven approach to understand the MCI factors become a crucial research question recently. However, there is a main problem that most healthcare datasets are imbalanced. Therefore, we employed multiple strategies to deal with imbalanced data, such as random oversampling, random under-sampling, SMOTE, SMOTEENN, etc. After that, to examine the effects of comparing multiple strategies and different machine learning algorithms, we use three machine learning algorithms: decision tree (DT), neural networks(NN), and Gradient **Boosting (GB)**. In this study, we not only to compare different balanced strategies and machine learning algorithms but also investigate the most important factors that contribute to MCI.



Fig 1: The MCI Factors Reported by Previous Research

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Alzheimer's is a type of dementia that causes problems with memory, thinking, and behavior. Symptoms usually develop slowly and get worse over time, becoming severe enough to interfere with daily tasks. Mild cognitive impairment (MCI) causes a slight but noticeable and measurable decline in cognitive abilities, including memory and thinking skills. A person with MCI is at an increased risk of developing Alzheimer's or another dementia. However, between 2002-2012, 99% clinical trials for the treatment of Alzheimer's disease failed. There are several limitations of previous research: 1. Rely on well-controlled lab experiment and clinical conservation, which is time and resource-consuming 2. A limited number of factors studied. Therefore, we proposed a data-driven approach to re-exam MCI factors. To implement machine learning algorithms to predict MCI, the most challenge we meet is the highly imbalanced data. We employed five different balanced strategies to address the imbalanced problem. In the results of our experiments, our best strategy increased recall from 0.007 to 0.85. In this study, we found that depression, physical health, cigarette usage, education level, and sleep time play an important role in cognitive decline, which is consistent with the previous discovery. Besides that, the first time, we point out that other factors such as arthritis, pulmonary disease, stroke, asthma, marital status also contribute to MCI risk, which is less exploited previously.

> Healthy Brain



# Introduction

Severe Alzheimer's

> Fig 2: Brian Comparison Between Healthy Brain And Severe Alzheimer's



Fig 3: Workflow of this study







The dataset collected from Centers for Disease Control and Prevention (CDC), there includes 32 features and 1 target (binary) variable. There are total 60816 observations in the dataset.

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# Method



Split Data

- SMOTEENN(Advanced combine over-sampling and undersampling)
- SMOTETomek (Advanced) combine over-sampling and undersampling)

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	8.00 C	<u></u>
	1	
	1	
9 - 90 - 10 - 10 - 10 - 10 - 10 - 10 - 1		
decline		
accinic		

Fig 4: Distribution of Target Variable

• SMOTE(Advanced over-sampling)

Extremely Imbalanced Data!!!!

> To predict MCI and find the feature importance, we employ several machine learning algorithms:

- Decision Tree.
- Gradient Boosting.
- Neural Network.







# Results



In MCI detection: **Recall** is more important: It is obviously important to catch every possible MCI even if it means that the authorities might need to go through some false positives.



#### Fig 6: ROC AUC Comparison by Different **Balanced Strategies**



#### Fig 8: Precision Comparison by Different **Balanced Strategies**

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#### Fig 7: Recall Comparison by Different Balanced Strategies

#### Fig 9: Accuracy Comparison by Different Balanced Strategies

Variable Name
Mental_health
Married
Education_Level
Physical_health
Exercise
Divorced
Widowed
Never_Married
could_not_see_doctor
Rent
Own
number_of_children
Sleep_time
Smoking
Dental
A_member_of_unmarried_coupl
skin_cancer
Asthma
Depressive_disorder

Feature importance generated from gradient boosting tree, the most important factors contribute to MCI are: Mental health, Married, Education level, Physical health, Exercise, Divorced, etc.

# people most likely to have MCI.

- Physical health, Exercise, etc.

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# Results

## **Balanced Strategies increased recall from 0.007 to 0.87!**



Fig 10: Feature Importance

Fig 11: ROC Curve with imbalanced dataset

## Conclusion

SMOTEENN can significant improve recall which means enable to detect more

All balanced strategies can improve recall value.

Gradient boosting and neural networks are 2 best models to prediction MCI people. The top important features that can affect MCI are: Mental health, Marital status,

# **Contact Information**







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