The RAREEVENTS Procedure
Overview: RAREEVENTS Procedure

The RAREEVENTS procedure produces control charts for rare events. A control chart is a graphical and analytical tool for detecting unusual variation in a process and deciding whether the process is stable and predictable. A rare event is one that occurs infrequently, with a low probability.

In this chapter, a control chart for rare events is referred to as a rare events chart. The data that are plotted in a rare events chart represent the times between successive events. Usually these are adverse events that represent unwanted outcomes in a process, such as an incorrectly recorded bank deposit, a patient falling in a hospital, or a chemical spill. Rare events charts have gained acceptance in health care quality improvement applications because of their ease of use and suitability to processes that have low defect rates (Benneyan 1999).

An important assumption for a rare events chart is that the events are independent. The occurrence of one event does not affect the probability that another will occur, and the probability of an occurrence is
approximately constant over time. Rare events charts should not be used to monitor clusters of events, such as cases of a contagious disease, which violate this assumption. See Woodall (2006) for a thorough discussion of different control charts that are applicable to health care quality improvement.

The data for a rare events chart are often the times between consecutive events, such as the intervals between accidental needle sticks in a hospital. The intervals can be recorded as integer or continuous values. The opportunities for events to occur must be approximately constant over time. For example, the number of times that needles are handled should be about the same each day if you are monitoring the number of days between accidental sticks. Alternatively, the data can be explicit counts of opportunities for occurrence that come between events, such as the number of surgeries performed between occurrences of postsurgical infection. These kinds of data are preferable but often are not available.

A rare events chart has two decision limits: an upper probability limit (UPL) and a lower probability limit (LPL). By default, these are based on a geometric distribution for integer data and an exponential distribution for continuous data. A data value that is greater than the UPL or less than the LPL signals unusual variation in the process. A value that is greater than the UPL indicates that the time between events might be increasing, in which case the events are occurring less frequently. Because the events of interest are usually adverse, this can signal an improvement in the process. Conversely, a value less than the LPL indicates that events are occurring more frequently, which can signal a decline in the process.

You can use the RAREEVENTS procedure to do the following:

- produce a rare events chart with probability limits that are computed from the data
- create a needle plot or histogram that you can use to compare the distribution of the input data with a theoretical distribution
- specify the probability distribution that is used to compute the probability limits or to compare with the input data
- save probability limits in an output data set
- produce a rare events chart that uses preestablished probability limits that are read from a data set
- save process measurements, probability limits, and probability distribution information in an output data set

**Rare Events Charts and c Charts**

The traditional control chart that is most comparable to a rare events chart is the *c* chart, which is used to monitor counts of unwanted process outcomes. (See the section “CCHART Statement: SHEWHART Procedure” on page 1469 for a detailed description of *c* charts and how to produce them by using the SHEWHART procedure.) However, as explained by Kaminsky et al. (1992), Benneyan (2001a), and others, *c* charts and other traditional control charts do not always perform well when used to monitor rare events.

**Figure 16.1** shows a *c* chart of needle sticks per week in a hospital. Weeks are identified on the horizontal axis, and the weekly counts of needle sticks are plotted. The control limits are used to detect unusual variation in the number of needle sticks.
In this case needle sticks are truly rare. Almost all the weekly counts are 0, no week has more than 1, and the mean count is very low. Because the upper control limit (UCL) is less than 1, each individual needle stick signals unusual variation. This $c$ chart might be too sensitive to provide useful information.

To address this problem, you could increase the counts (and therefore the UCL) by increasing the length of the time periods over which you accumulate the counts. In this case, grouping the needle stick counts into 15 four-week periods produces a $c$ chart with $UCL = 2.07$ and a maximum count of 2, and no unusual variation is signaled. A drawback of this approach is that data are available for analysis only every four weeks, so a change in the process might not be detected quickly. Another possibility would be to modify the way that the control limits are computed by basing them on a discrete distribution other than the Poisson distribution. A better alternative is to use a rare events chart.

Figure 16.2 shows a rare events chart that is used to plot the same needle stick data, which are transformed into weeks between sticks. Individual needle sticks are identified in order of occurrence on the horizontal axis. For each event, the time in weeks since the previous event is plotted.
The rare events chart does not signal any unusual variation. There would be a signal if two consecutive data values were zero, indicating needle sticks in three consecutive weeks. For more information, see the section “Probability Limits Based on a Geometric Distribution” on page 1176.

When you use a rare events chart, you do not need to wait until the end of a reporting period or collect a large sample of data before plotting a point on the chart. Instead, you can add a point to the chart immediately when an event occurs. Therefore you can construct a useful chart in a more timely manner, which improves your chances of detecting process changes. Because the values that are plotted in a rare events chart are times between events, the simple occurrence of a single event will not signal unusual variation. In summary, a rare events chart is better suited than traditional control charts to detecting changes in the frequency of low-probability events.

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**Getting Started: RAREEVENTS Procedure**

This example illustrates the basic features of the RAREEVENTS procedure. The data are adapted from Benneyan (1998b). The following statements create a SAS data set named Infections by reading the dates of occurrences of an infectious disease and computing DaysBetween, the numbers of days between successive infections:
data Infections;
  input InfectionDate mmddyy10.;
  InfectionNumber = _n_;
  DaysBetween = InfectionDate - lag(InfectionDate);
  format InfectionDate mmddyy10.;
datalines;
  04/17/1995
  04/17/1995
  04/17/1995
  04/19/1995
  04/20/1995
  05/03/1995
  05/05/1995
  05/06/1995
  05/07/1995
  05/08/1995
  05/09/1995
  05/09/1995
  05/10/1995
  05/11/1995
  05/27/1995
  05/27/1995
  05/28/1995
  05/29/1995
  05/31/1995
  06/10/1995
  06/11/1995
  06/12/1995
  06/14/1995
  06/16/1995
  06/16/1995
  06/18/1995
  06/21/1995
  06/21/1995
;
Figure 16.3 shows a partial listing of the Infections data set.

![Figure 16.3 Partial Listing of the Infections Data Set](image)

The following statements produce a comparison plot and a rare events chart for the variable DaysBetween. Because its values are integers, a geometric distribution is used by default to make the comparison and
to compute the probability limits for the rare events chart. The value of parameter $p$ for the geometric
distribution is estimated from the data. InfectionNumber is an optional index variable whose values are used
to label the rare event chart’s horizontal axis.

```plaintext
ods graphics on;
proc rareevents data=Infections;
   compare DaysBetween;
   chart DaysBetween * InfectionNumber;
   label DaysBetween = 'Days between Infections';
run;
```

The ODS GRAPHICS ON statement enables ODS Graphics, which is necessary for the procedure to produce
graphical output. The COMPARE statement produces the needle plot that is shown in Figure 16.4.

**Figure 16.4** Distribution of Days between Infections

Interpreting a comparison plot of a small data sample can be difficult, but the data have the same general shape
as the geometric distribution. The graph does not indicate that the geometric distribution is not appropriate
for these data.

**Figure 16.5** shows the rare events chart of the DaysBetween data that the CHART statement produces.
The number of days between infections 15 and 16 exceeds the UPL, signaling unusual variation. Here the unusual variation is welcome, because less frequent infections are desirable.

The median and probability limits for the chart are computed as described in the section “Constructing Rare Events Charts” on page 1175. The chart legend displays the probability, $\alpha_{\text{UPL}}$, that a value from the geometric distribution is greater than the UPL. Note that the LPL in Figure 16.5 is equal to 0, which means that the probability of a $\text{DaysBetween}$ value less than the LPL is 0. It is not unusual for the LPL to be equal to the minimum possible data value in a chart of integer data. In this case, the procedure checks for sequences of consecutive values equal to the LPL as an indication of unusual variation. The probability, $\alpha_{\text{LPL}}$, of five consecutive 0 values from the geometric distribution is 0.0021, as indicated in the legend. The label outside the upper right corner of the chart shows the overall $\alpha = \alpha_{\text{LPL}} + \alpha_{\text{UPL}}$.

Syntax: RAREEVENTS Procedure

```_syntax
PROC RAREEVENTS <options> ;
   BY variables ;
   ID variables ;
   CHART < / options> ;
   COMPARE < / options> ;
```

The following sections describe the PROC RAREEVENTS statement and then describe the other statements in alphabetical order.
PROC RAREEVENTS Statement

PROC RAREEVENTS <options> ;

The PROC RAREEVENTS statement invokes the RAREEVENTS procedure and specifies the input data sets. You can specify the following options:

DATA=SAS-data-set
specifies an input SAS data set that contains process data, which are measurements of times between events. You cannot specify the TABLE= option together with the DATA= option. For more information about DATA= data sets, see the section “DATA= Data Set” on page 1177.

LIMITS=SAS-data-set
specifies an input SAS data set that contains probability limits for a rare events chart.

TABLE=SAS-data-set
specifies an input SAS data set that contains summary information from a rare events chart. You can produce a TABLE= data set by specifying the OUTTABLE= option in a CHART statement. You can use a TABLE= input data set to display a previously computed rare events chart. You cannot specify the DATA= option together with the TABLE= option. For more information, see the section “TABLE= Data Set” on page 1178.

BY Statement

BY variables ;

You can specify a BY statement with PROC RAREEVENTS to obtain separate analyses of observations in groups that are defined by the BY variables. When a BY statement appears, the procedure expects the input data set to be sorted in order of the BY variables. If you specify more than one BY statement, only the last one specified is used.

If your input data set is not sorted in ascending order, use one of the following alternatives:

- Sort the data by using the SORT procedure with a similar BY statement.
- Specify the NOTSORTED or DESCENDING option in the BY statement for the RAREEVENTS procedure. The NOTSORTED option does not mean that the data are unsorted but rather that the data are arranged in groups (according to values of the BY variables) and that these groups are not necessarily in alphabetical or increasing numeric order.
- Create an index on the BY variables by using the DATASETS procedure (in Base SAS software).

For more information about BY-group processing, see the discussion in SAS Language Reference: Concepts. For more information about the DATASETS procedure, see the discussion in the Base SAS Procedures Guide.
**ID Statement**

```
ID variables;
```

The values of the ID variables are displayed in tooltips associated with points on a rare events chart when you create HTML output and specify the IMAGEMAP option in the ODS GRAPHICS statement. For more information, see Chapter 21, “Statistical Graphics Using ODS” (SAS/STAT User’s Guide).

**CHART Statement**

```
CHART process-variable < * index-variable > < / options >;
```

The CHART statement produces a rare events chart. The process-variable contains measurements of times between events. You can use the optional index-variable to label the tick marks on the chart’s horizontal axis. If you specify a numeric index-variable, its values must be in ascending order in the input data: the procedure does not sort its input. When you do not specify an index variable, the tick marks on the horizontal axis are numbered sequentially, starting with 1.

Table 16.1 summarizes the options available in the CHART statement.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALPHALPL=</td>
<td>Specifies the probability that is used to compute the lower probability limit</td>
</tr>
<tr>
<td>ALPHAUPL=</td>
<td>Specifies the probability that is used to compute the upper probability limit</td>
</tr>
<tr>
<td>DIST=</td>
<td>Specifies the distribution that is used to compute probability limits</td>
</tr>
<tr>
<td>EXCHART</td>
<td>Specifies that a chart be displayed only if there are points outside the probability limits</td>
</tr>
<tr>
<td>NOCHART</td>
<td>Suppresses creation of the rare events chart</td>
</tr>
<tr>
<td>NOHLABEL</td>
<td>Suppresses the horizontal axis label of the chart</td>
</tr>
<tr>
<td>NOVLABEL</td>
<td>Suppresses the vertical axis label of the chart</td>
</tr>
<tr>
<td>NPANELPOS=</td>
<td>Suppresses the number of horizontal axis plotting positions per panel</td>
</tr>
<tr>
<td>ODSFOOTNOTE=</td>
<td>Adds a footnote to the chart</td>
</tr>
<tr>
<td>ODSFOOTNOTE2=</td>
<td>Adds a secondary footnote to the chart</td>
</tr>
<tr>
<td>ODSTITLE=</td>
<td>Specifies a title for the chart</td>
</tr>
<tr>
<td>ODSTITLE2=</td>
<td>Specifies a secondary title for the chart</td>
</tr>
<tr>
<td>OUTLIMITS=</td>
<td>Creates a SAS data set that contains probability limits for the chart</td>
</tr>
<tr>
<td>OUTTABLE=</td>
<td>Creates a SAS data set that contains a summary of the rare events chart</td>
</tr>
<tr>
<td>TOTPANELS=</td>
<td>Specifies the number of panels that are used to display the chart</td>
</tr>
</tbody>
</table>

You can specify the following options only in the CHART statement. For detailed descriptions of options common to the CHART and COMPARE statements, see the section “Common CHART and COMPARE Statement Options” on page 1173.
ALPHALPL=\( \alpha_{LPL} \) specifies the probability \( (0 < \alpha_{LPL} < 1) \) that is used to compute the lower probability limit (LPL) for the rare events chart, based on the probability distribution that you specify in the DIST= option. The LPL is computed so that the probability of a measurement from the distribution being less than the LPL is \( \alpha_{LPL} \). By default, \( \alpha_{LPL} = 0.005 \).

With a discrete probability distribution, it is not possible in general to compute a LPL for which this probability is exactly \( \alpha_{LPL} \). In that case, the chart includes a legend that shows the \( \alpha_{LPL} \) that corresponds to the computed LPL.

ALPHAUPL=\( \alpha_{UPL} \) specifies the probability \( (0 < \alpha_{UPL} < 1) \) that is used to compute the upper probability limit (UPL) for the rare events chart, based on the probability distribution that you specify in the DIST= option. The UPL is computed so that the probability of a measurement from the distribution being greater than the UPL is \( \alpha_{UPL} \). By default, \( \alpha_{UPL} = 0.005 \).

With a discrete probability distribution, it is not possible in general to compute a UPL for which this probability is exactly \( \alpha_{UPL} \). In that case, the chart includes a legend that shows the \( \alpha_{UPL} \) that corresponds to the computed UPL.

EXCHART<(LOWER | UPPER) > specifies that a rare events chart be displayed only when one or more measurements lie outside the probability limits. If you specify EXCHART(LOWER), then a chart is displayed only when a measurement is less than the lower probability limit. If you specify EXCHART(UPPER), then a chart is displayed only when a measurement is greater than the upper probability limit.

NOCHART suppresses display of the rare events chart. You can use the NOCHART option together with the OUTLIMITS= or OUTTABLE= option to create output data sets without displaying a chart.

NPANELPOS=n

specifies the number of horizontal axis plotting positions per panel in the chart. You usually specify this option to display more points in a panel than the default number, which is 50.

You can specify a positive or negative value for \( n \). The absolute value of \( n \) must be at least 5. If \( n \) is positive, the number of positions is adjusted so that it is approximately equal to \( n \) and so that all panels display approximately the same number of positions. If \( n \) is negative, then no balancing is done, and each panel (except possibly the last) displays approximately \( |n| \) positions.

OUTLIMITS=SAS-data-set

creates an output SAS data set that contains the probability limits and related information for the rare events chart. For more information about the OUTLIMITS= data set, see the section “OUTLIMITS= Data Set” on page 1178.

OUTTABLE=SAS-data-set

creates an output SAS data set that contains the information plotted in the rare events chart, including the process measurements and the probability limits. For more information about the OUTTABLE= data set, see the section “OUTTABLE= Data Set” on page 1179.
TOTPANELS=n
specifies the number of panels that are used to display the chart. By default, the number of panels is determined by the value that you specify in the NPANELPOS= option. If you specify both the TOTPANELS= and NPANELPOS= options, the TOTPANELS= value takes precedence.

**COMPARE Statement**

```plaintext
COMPARE process < / options > ;
```

The COMPARE statement produces a graph that compares the process data to a probability distribution. Integer data are displayed in a needle plot, and continuous data are displayed in a histogram.

**Table 16.2  COMPARE Statement Options**

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>DIST=</td>
<td>Specifies the theoretical distribution that is compared to the sample data</td>
</tr>
<tr>
<td>NBINS=</td>
<td>Specifies the number of bins that are used to display the data distribution</td>
</tr>
<tr>
<td>NOHLABEL</td>
<td>Suppresses the horizontal axis label of a comparison chart</td>
</tr>
<tr>
<td>NOVLABEL</td>
<td>Suppresses the vertical axis label of a comparison chart</td>
</tr>
<tr>
<td>ODSFOOTNOTE=</td>
<td>Adds a footnote to a comparison chart</td>
</tr>
<tr>
<td>ODSFOOTNOTE2=</td>
<td>Adds a secondary footnote to a comparison chart</td>
</tr>
<tr>
<td>ODSTITLE=</td>
<td>Specifies a title for a comparison chart</td>
</tr>
<tr>
<td>ODSTITLE2=</td>
<td>Specifies a secondary title for a comparison chart</td>
</tr>
</tbody>
</table>

You can specify the following option only in the COMPARE statement. For detailed descriptions of options common to the CHART and COMPARE statements, see the section “Common CHART and COMPARE Statement Options” on page 1173.

**NBINS=n**
specifies the number of bins that are used to display the process data in a comparison plot. For integer data, the default number of bins in the needle plot is \( \min(15, \lceil u/50 \rceil) \), where \( u \) is the number of unique data values. For continuous data, the default number of histogram bins is based on the total number of observations, using the method of Terrell and Scott (1985).

**Common CHART and COMPARE Statement Options**

You can specify the following `options` after a slash (/) in a CHART or COMPARE statement.

**DIST=**distribution
specifies the probability distribution that is compared to the input data by a COMPARE statement and that is used to compute probability limits for a rare events chart that you create by using a CHART statement. You can specify the following distributions:
EXPONENTIAL< (exponential-options) >
requests an exponential distribution. You can specify the following exponential-options:

SIGMA=σ
specifies the scale parameter for the exponential distribution. By default, σ is estimated from
the process data.

THETA=θ | EST
specifies the threshold parameter for the exponential distribution. By default, θ = 0. You
can specify THETA=EST to compute an estimate of θ from the process data. If any data
value is less than θ, the procedure issues a warning and sets θ to the minimum data value.

GEOMETRIC< (geometric-options) >
requests a geometric distribution. You can specify the following geometric-options:

P=p | MLE | MVUE
specifies the probability of success in a single Bernoulli trial on which the geometric
distribution is based. This is the probability that an opportunity for a rare event to occur
will actually result in an occurrence. You can specify P=MLE to compute a maximum
likelihood estimate (MLE) of p or P=MVUE to compute a minimum variance unbiased
estimate (MVUE) of p. By default, an MVUE is computed if the SHIFT= parameter value is
0 or 1, and an MLE is computed otherwise.

SHIFT=a
specifies the minimum possible value (a ≥ 0) for the geometric distribution. By default, 
a = 0. If a measurement from the input data represents the time until an event occurs
(including the event itself) instead of times between events, then you should specify a = 1.
If any data value is less than a, the procedure issues a warning and sets a to the minimum
data value.

The procedure determines whether the process data have continuous or integer values. By default, an
exponential distribution is used for continuous data and a geometric distribution is used for integer
data.

NOHLABEL
suppresses the horizontal axis label in the graph.

NOVLABEL
suppresses the vertical axis label in the graph.

ODSFootnote=Footnote | FOOTNOTE1 | ‘string’
adds a footnote to the graph. If you specify the FOOTNOTE (or FOOTNOTE1) keyword, the value
of the SAS FOOTNOTE statement is used as the graph footnote. If you specify a quoted string, that
string is used as the footnote. The quoted string can contain the following escape characters, which are
replaced by the values indicated:

\n is replaced by the process variable name.
\l is replaced by the process variable label (or name if the process variable has no label).
ODSFootnote2=Footnote2 | 'string'
adds a secondary footnote to the graph. If you specify the FOOTNOTE2 keyword, the value of the SAS FOOTNOTE2 statement is used as the secondary graph footnote. If you specify a quoted string, that string is used as the secondary footnote. The quoted string can contain the following escape characters, which are replaced by the values indicated:

\n is replaced by the process variable name.
\l is replaced by the process variable label (or name if the process variable has no label).

ODSTitle=Title | Title1 | None | Default | 'string'
specifies a title for the graph. You can specify the following values:

Title (or Title1) uses the value of the SAS TITLE statement as the graph title.
None suppresses all graph titles.
Default uses the default title.

If you specify a quoted string, that string is used as the graph title. The quoted string can contain the following escape characters, which are replaced by the values indicated:

\n is replaced by the process variable name.
\l is replaced by the process variable label (or name if the analysis variable has no label).

ODSTitle2=Title2 | 'string'
specifies a secondary title for the graph. If you specify the TITLE2 keyword, the value of the SAS TITLE2 statement is used as the secondary graph title. If you specify a quoted string, that string is used as the secondary title. The quoted string can contain the following escape characters, which are replaced by the values indicated:

\n is replaced by the process variable name.
\l is replaced by the process variable label (or name if the analysis variable has no label).

Details: RAREEVENTS Procedure

Constructing Rare Events Charts

Each point on the rare events chart indicates the value of an individual measurement from the input data set. You compute the lower probability limit (LPL), median, and upper probability limit (UPL) by solving for their values in the following equations, which use the cumulative distribution function (CDF) of the probability distribution that you specify in the DIST= option:
 Probability Limits Based on an Exponential Distribution

The cumulative distribution function of an exponential distribution with scale parameter \( \sigma \) and threshold parameter \( \theta \) is

\[
cdf(x) = 1 - \exp \left( -\frac{(x - \theta)}{\sigma} \right)
\]

Solving the equations listed previously, the median and probability limits values are as follows:

- \( LPL = \theta - \sigma \ln(1 - \alpha_{LPL}) \)
- \( \text{median} = \ln(2) \)
- \( UPL = \theta - \sigma \ln(\alpha_{UPL}) \)

 Probability Limits Based on a Geometric Distribution

The cumulative distribution function of a geometric distribution with shift parameter \( a \) and probability \( p \) is

\[
cdf(x) = 1 - (1 - p)^{x-a+1}
\]

Because the geometric distribution is used with integer data, meaningful probability limits must have integer values. Therefore the solutions to the equations listed previously are

- \( LPL = \left[ \frac{\ln(1 - \alpha_{LPL})}{\ln(1 - p)} + a \right] \)
- \( \text{median} = \left[ \frac{\ln(0.5)}{\ln(1 - p)} + a \right] \)
- \( UPL = \left[ \frac{\ln(\alpha_{UPL})}{\ln(1 - p)} + a \right] \)

The probability of a data value from the distribution being greater than the UPL is as close as possible to \( \alpha_{UPL} \) without exceeding it, and the probability of a data value from the distribution being less than the LPL is as close as possible to \( \alpha_{LPL} \) without exceeding it. The \( \alpha_{UPL} \) and \( \alpha_{LPL} \) values that correspond to the computed limits are displayed in a legend on the rare events chart.

With integer probability limits, it is not unusual for the computed LPL to be equal to the minimum possible data value, so that no data value can be less than the LPL. In that case, the following value is computed:

\[
m = \left\lceil \frac{\ln(\alpha_{LPL})}{\ln(p)} \right\rceil
\]

The probability of a sequence of \( m \) consecutive values from the geometric distribution each being equal to the LPL is as close to \( \alpha_{LPL} \) as possible without exceeding it. The RAREEVENTS procedure flags any sequence of \( m \) consecutive measurements equal to the LPL as a sign of unusual variation.
Input Data Sets

The RAREEVENTS procedure accepts a single primary input data set of either of two types:

- A DATA= data set contains process measurements to be analyzed.
- A TABLE= data set contains a summary of a rare events chart, which consists of the measurements, probability limits, and other information.

These options are mutually exclusive. If you do not specify an option that identifies a primary input data set, PROC RAREEVENTS uses the most recently created SAS data set as a DATA= data set. Valid process measurements are greater than or equal to zero. Missing and negative values are ignored.

You can also specify a LIMITS= data set that contains probability limits for a rare events chart.

DATA= Data Set

A DATA= data set must include a process variable that contains measurements of the times between rare events. These measurements can be integers (for example, a count of days between events) or continuous values. In addition to the process variable, a DATA= data set can include the following:

- BY variables
- ID variables
- index variable

The values of the optional index variable are used to label the horizontal axis tick marks on a rare events chart that is produced by a CHART statement. The index variable has no application in a COMPARE statement.

LIMITS= Data Set

A LIMITS= data set contains probability limit information for a rare events chart. Usually, you create a LIMITS= data set by specifying the OUTLIMITS= option in a CHART statement. You can use a LIMITS= data set to specify historical probability limits for a process or custom probability limits that are computed by other means.

Table 16.3 lists the variables that a LIMITS= data set contains.

<table>
<thead>
<tr>
<th>Table 16.3 LIMITS= Data Set Variables</th>
</tr>
</thead>
<tbody>
<tr>
<td>Variable</td>
</tr>
<tr>
<td><em>ALPHALPL</em></td>
</tr>
<tr>
<td><em>ALPHAUPL</em></td>
</tr>
<tr>
<td><em>DIST</em></td>
</tr>
<tr>
<td><em>INDEX</em></td>
</tr>
<tr>
<td><em>LPL</em></td>
</tr>
<tr>
<td><em>MEDIAN</em></td>
</tr>
</tbody>
</table>
Table 16.3 (continued)

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>P</em></td>
<td>Probability of success in a single Bernoulli trial on which the geometric distribution is based</td>
</tr>
<tr>
<td><em>SHIFT</em></td>
<td>Minimum possible value for the geometric distribution</td>
</tr>
<tr>
<td><em>SIGMA</em></td>
<td>Scale parameter for the exponential distribution</td>
</tr>
<tr>
<td><em>THETA</em></td>
<td>Threshold parameter for the exponential distribution</td>
</tr>
<tr>
<td><em>TYPE</em></td>
<td>Specifies whether the probability limits are estimated or standard</td>
</tr>
<tr>
<td><em>UPL</em></td>
<td>Upper probability limit</td>
</tr>
<tr>
<td><em>VAR</em></td>
<td>Name of the process variable that contains measurements of times between events</td>
</tr>
</tbody>
</table>

TABLE= Data Set

A TABLE= data set contains a summary of a rare events chart. Usually, you create a TABLE= data set by specifying the OUTTABLE= option in a CHART statement. You can use a TABLE= data set to display a previously created rare events chart or to specify custom probability limits by computing your own _LPL_ and _UPL_ values.

Table 16.4 lists the variables that a TABLE= data set contains.

Table 16.4  TABLE= Data Set Variables

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>ALPHALPL</em></td>
<td>Probability associated with the lower probability limit</td>
</tr>
<tr>
<td><em>ALPHAUPL</em></td>
<td>Probability associated with the upper probability limit</td>
</tr>
<tr>
<td><em>DIST</em></td>
<td>Name of the distribution used to compute the probability limits</td>
</tr>
<tr>
<td><em>EXLIM</em></td>
<td>Flag that indicates that a probability limit was exceeded</td>
</tr>
<tr>
<td>index</td>
<td>Optional index variable</td>
</tr>
<tr>
<td><em>LPL</em></td>
<td>Lower probability limit</td>
</tr>
<tr>
<td><em>MEDIAN</em></td>
<td>Median of the probability distribution</td>
</tr>
<tr>
<td>process</td>
<td>Process variable containing measurements of times between events</td>
</tr>
<tr>
<td><em>UPL</em></td>
<td>Upper probability limit</td>
</tr>
</tbody>
</table>

Output Data Sets

OUTLIMITS= Data Set

You can save probability limits and related information in an output data set by specifying the OUTLIMITS= option in a CHART statement. Table 16.5 lists the variables that an OUTLIMITS= data set contains.
### Table 16.5  OUTLIMITS= Data Set Variables

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>ALPHALPL</em></td>
<td>Probability associated with the lower probability limit</td>
</tr>
<tr>
<td><em>ALPHAUPL</em></td>
<td>Probability associated with the upper probability limit</td>
</tr>
<tr>
<td><em>DIST</em></td>
<td>Name of the distribution used to compute the probability limits</td>
</tr>
<tr>
<td><em>INDEX</em></td>
<td>Name of the optional index variable</td>
</tr>
<tr>
<td><em>LPL</em></td>
<td>Lower probability limit</td>
</tr>
<tr>
<td><em>MEDIAN</em></td>
<td>Median of the probability distribution</td>
</tr>
<tr>
<td><em>P</em></td>
<td>Probability of success in a single Bernoulli trial on which the geometric</td>
</tr>
<tr>
<td></td>
<td>distribution is based</td>
</tr>
<tr>
<td><em>SHIFT</em></td>
<td>Minimum possible value for the geometric distribution</td>
</tr>
<tr>
<td><em>SIGMA</em></td>
<td>Scale parameter for the exponential distribution</td>
</tr>
<tr>
<td><em>THETA</em></td>
<td>Threshold parameter for the exponential distribution</td>
</tr>
<tr>
<td><em>TYPE</em></td>
<td>Specifies whether the probability limits are estimated or standard</td>
</tr>
<tr>
<td><em>UPL</em></td>
<td>Upper probability limit</td>
</tr>
<tr>
<td><em>VAR</em></td>
<td>Name of the process variable that contains measurements of times between</td>
</tr>
<tr>
<td></td>
<td>events</td>
</tr>
</tbody>
</table>

When the probability limits are based on an exponential distribution, the OUTLIMITS= data set contains the variables _SIGMA_ and _THETA_. When the probability limits are based on a geometric distribution, the OUTLIMITS= data set contains the variables _P_ and _SHIFT_.

**OUTTABLE= Data Set**

You can save process measurements, probability limits, and related information in an output data set by specifying the `OUTTABLE=` option in a CHART statement. Table 16.6 lists the variables that an OUTTABLE= data set contains.

### Table 16.6  OUTTABLE= Data Set Variables

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>ALPHALPL</em></td>
<td>Probability associated with the lower probability limit</td>
</tr>
<tr>
<td><em>ALPHAUPL</em></td>
<td>Probability associated with the upper probability limit</td>
</tr>
<tr>
<td><em>DIST</em></td>
<td>Name of the distribution used to compute the probability limits</td>
</tr>
<tr>
<td><em>EXLIM</em></td>
<td>Flag that indicates that a probability limit was exceeded</td>
</tr>
<tr>
<td>index</td>
<td>Optional index variable</td>
</tr>
<tr>
<td><em>LPL</em></td>
<td>Lower probability limit</td>
</tr>
<tr>
<td><em>MEDIAN</em></td>
<td>Median of the probability distribution</td>
</tr>
<tr>
<td>process</td>
<td>Process variable containing measurements of times between events</td>
</tr>
<tr>
<td><em>UPL</em></td>
<td>Upper probability limit</td>
</tr>
</tbody>
</table>
ODS Graphics

Before you create ODS Graphics output, ODS Graphics must be enabled (for example, by using the ODS GRAPHICS ON statement). For more information about enabling and disabling ODS Graphics, see the section “Enabling and Disabling ODS Graphics” (Chapter 21, SAS/STAT User’s Guide).

The RAREEVENTS procedure assigns a name to each graph that it creates using ODS Graphics. You can use these names to refer to the graphs when you use ODS. The graph names are listed in Table 16.7.

<table>
<thead>
<tr>
<th>ODS Graph Name</th>
<th>Plot Description</th>
<th>Statement or Option</th>
</tr>
</thead>
<tbody>
<tr>
<td>RareEventsChart</td>
<td>Rare events chart of process data</td>
<td>CHART statement</td>
</tr>
<tr>
<td>ComparisonPlot</td>
<td>Comparison plot</td>
<td>COMPARE statement</td>
</tr>
</tbody>
</table>

Examples: RAREEVENTS Procedure

Example 16.1: Monitoring Urinary Tract Infections

The data for this example are from Santiago and Smith (2013).

A hospital system tracked the frequency of urinary tract infections (UTIs) acquired by patients while in one of its hospitals. The following statements create a SAS data set with the variable DaysBetween, which contains the number of days between discharges from the hospital of male patients who acquired UTIs while there:

```sas
data UrinaryTractInfections;
  input DaysBetween @@;
  label DaysBetween = 'Days between UTIs';
datalines;
0.57014 0.07431 0.15278 0.14583 0.13889
0.14931 0.03333 0.08681 0.33681 0.03819
0.24653 0.29514 0.11944 0.05208 0.12500
0.25000 0.40069 0.02500 0.12014 0.11458
0.00347 0.12014 0.04861 0.02778 0.32639
0.64931 0.14931 0.01389 0.03819 0.46806
0.22222 0.29514 0.53472 0.15139 0.52569
0.07986 0.27083 0.04514 0.13542 0.08681
0.40347 0.12639 0.18403 0.70833 0.15625
0.24653 0.04514 0.01736 1.08889 0.05208
0.02778 0.03472 0.23611 0.35972
;
```

The following statements produce a graph that compares the data to a theoretical distribution whose parameters are estimated from the data. The RAREEVENTS procedure uses an exponential distribution by default because the data are continuous.
Example 16.1: Monitoring Urinary Tract Infections

```sas
proc rareevents data=UrinaryTractInfections;
   compare DaysBetween / nbins=12;
run;
```

The `NBINS=` option specifies that 12 histogram bins be used to display the data. Output 16.1.1 shows the resulting histogram of the data overlaid with the exponential curve.

**Output 16.1.1** Distribution of Intervals between UTIs

The histogram indicates that an exponential distribution is appropriate for the data. The following statements produce a rare events chart for the days between UTIs:

```sas
proc rareevents data=UrinaryTractInfections;
   chart DaysBetween / totpanels=1;
run;
```

The `TOTPANELS=` option specifies that all the observations be displayed in a single panel, or page. No index variable is specified, so the `DaysBetween` values are numbered consecutively, starting with 1. Output 16.1.2 shows the resulting chart.
The rare events chart shows no indication of unusual variation in the incidence of UTIs among male patients. Although Santiago and Smith (2013) provide the data as the (continuous) numbers of days between patient discharges, they could just as well have been recorded as the (integer) number of minutes between discharges. The following statements compute the variable MinutesBetween, which contains counts of the minutes between infections, and produce a rare events chart of the counts. Because the data are integer values, the probability limits are based on a geometric distribution.

```
data UrinaryTractInfections;
  set UrinaryTractInfections;
  MinutesBetween = round( DaysBetween * 1440, 1 );
run;

proc rareevents data=UrinaryTractInfections;
  chart MinutesBetween / totpanels=1;
run;
```

Output 16.1.3 shows the rare events chart for MinutesBetween. The median and probability limits for this chart are very close, but not exactly equal, to the corresponding values measured in days in Output 16.1.2.
The following statements create a SAS data set that contains data from the National Transportation Safety Board (NTSB) Aviation Accident Database. You can query the database at http://www.ntsb.gov/_layouts/ntsb.aviation/index.aspx. These data involve commercial airline crashes that resulted in fatalities and took place in the United States from 1982 through 2013. The DATA step creates a new variable, DaysBetweenCrashes, that records the number of days between successive crashes.

```sas
data AirCrashes;
  input EventID : $14. EventDate mmddyy10. Location & $32.;
  DaysBetweenCrashes = EventDate - lag(EventDate);
  label DaysBetweenCrashes = 'Days';
datalines;
20020917X01907 01/13/1982 WASHINGTON, DC
20020917X01909 01/23/1982 BOSTON, MA
20020917X03104 07/09/1982 NEW ORLEANS, LA
20020917X04908 11/11/1982 MIAMI, FL
20001214X41967 01/09/1983 BRAINERD, MN
20001214X41968 01/11/1983 DETROIT, MI
20001214X44795 10/11/1983 PINCKNEYVILLE, IL
20001214X45258 12/20/1983 SIOUX FALLS, SD
20001214X39535 05/30/1984 CHALKHILL, PA
20001214X35492 01/09/1985 KANSAS CITY, KS
20001214X35493 01/21/1985 RENO, NV
20001214X36375 05/31/1985 NASHVILLE, TN
```
Chapter 16: The RAREEVENTS Procedure (Experimental)

20001214X37434 08/02/1985 DALLAS/FT WORTH, TX
20001214X37757 09/06/1985 MILWAUKEE, WI
20001213X34942 10/04/1986 KELLY AFB, TX
20001213X35148 11/06/1986 TAMPA, FL
20001213X30626 04/13/1987 KANSAS CITY, MO
20001213X31759 08/16/1987 ROMULUS, MI
20001213X32505 11/15/1987 DENVER, CO
20001213X32679 12/07/1987 SAN LUIS OBISPO, CA
20001213X25439 04/28/1988 MAUI, HI
20001213X26528 08/31/1988 DALLAS/FT WORTH, TX
20001213X27734 02/09/1989 SALT LAKE CITY, UT
20001213X27705 02/24/1989 HONOLULU, HI
20001213X27869 03/15/1989 WEST LAFAYETTE, IN
20001213X27867 03/18/1989 SAGINAW, TX
20001213X28786 07/19/1989 SIOUX CITY, IA
20001213X29335 09/20/1989 FLUSHING, NY
20001213X29644 10/07/1989 ORLANDO, FL
20001213X29997 12/27/1989 MIAMI, FL
20001212X22400 01/18/1990 ATLANTA, GA
20001212X22386 01/31/1990 INDIANAPOLIS, IN
20001212X22742 03/13/1990 PHOENIX, AZ
20001212X24506 10/03/1990 CAPE CANAVERAL, FL
20001212X24751 12/03/1990 ROMULUS, MI
20001212X24751 12/03/1990 ROMULUS, MI
20001212X16433 02/01/1991 LOS ANGELES, CA
20001212X16434 02/17/1991 CLEVELAND, OH
20001212X16583 03/03/1991 COLORADO SPGS, CO
20001212X18366 10/12/1991 BRIDGEPORT, CT
20001211X14094 02/15/1992 SWANTON, OH
20001211X14270 03/22/1992 FLUSHING, NY
20001211X14503 04/08/1992 DAYTON, OH
20001211X16222 12/08/1992 FLUSHING, NY
20001211X12079 04/04/1993 CHICAGO, IL
20001206X01727 07/02/1994 CHARLOTTE, NC
20001206X02233 09/08/1994 ALIQUIPPA, PA
20001206X02420 10/31/1994 ROSELAWN, IN
20001206X02586 11/22/1994 BRIDGETON, MO
20001208X05743 05/11/1996 MIAMI, FL
20001208X06203 07/06/1996 PENSACOLA, FL
20001208X06204 07/17/1996 EAST MORICHES, NY
20001208X06132 07/20/1996 RUSSIAN MISSION, AK
20001208X07619 03/27/1997 JAMAICA, NY
20001208X08607 08/07/1997 MIAMI, FL
20001208X09291 12/28/1997 PACIFIC OCEAN
20001212X18961 06/01/1999 LITTLE ROCK, AR
20001212X19260 07/28/1999 LITTLE ROCK, AR
20001212X20339 01/31/2000 Port Hueneme, CA
20001212X20472 02/16/2000 RANCHO CORDOVA, CA
20001212X22314 11/20/2000 MIAMI, FL
20010904X01867 08/05/2001 Washington, DC
20020123X00106 09/11/2001 Shanksville, PA
20020123X00105 09/11/2001 Arlington, VA
20020123X00104 09/11/2001 New York City, NY
20020123X00103 09/11/2001 New York City, NY
Example 16.2: Airline Crashes

20011130X02321 11/12/2001 Belle Harbor, NY
20030110X00049 01/08/2003 Charlotte, NC
20030917X01555 09/12/2003 Norfolk, VA
20040825X01286 08/13/2004 Florence, KY
20041020X01659 10/19/2004 Kirksville, MO
20050909X00744 06/07/2005 Washington, DC
20051213X01964 12/08/2005 Chicago, IL
20060106X00018 12/19/2005 Miami, FL
20060131X00140 01/16/2006 El Paso, TX
20060828X01244 08/27/2006 Lexington, KY
20070718X00958 07/10/2007 Tunica, MS
20090213X13613 02/12/2009 Clarence Center, NY
20130814X15751 08/14/2013 Birmingham, AL

The following statements produce a comparison plot and a rare events chart for DaysBetweenCrashes:

```sas
proc rareevents data=AirCrashes;
  id EventId EventDate Location;
  compare DaysBetweenCrashes /
    odstitle='Distribution of Days between Fatal Commercial Air Crashes'
    odstitle2='United States, 1982-2013'
  ;
  chart DaysBetweenCrashes /
    odstitle='Days between Fatal Commercial Air Crashes'
    odstitle2='United States, 1982-2013'
    nohlabel
  ;
run;
```

The ODSTITLE= and ODSTITLE2= options specify titles for the graphs. The NOHLABEL option suppresses the horizontal axis label in the rare events chart. Output 16.2.1 compares the data to a geometric distribution and indicates that the distribution reasonably describes the data.
Output 16.2.1 Comparison Plot for Days between Crashes

Output 16.2.2 and Output 16.2.3 show the two panels of the chart.
**Example 16.2: Airline Crashes**

**Output 16.2.2** Rare Events Chart for Air Crashes (Panel 1)

Days between Fatal Commercial Air Crashes  
United States, 1982-2013  
\[ \alpha_{\text{LPL}}(2 \text{ consecutive points } = 0) = 44E-6 \quad \alpha_{\text{UPL}} = 0.005 \]

**Output 16.2.3** Rare Events Chart for Air Crashes (Panel 2)

Days between Fatal Commercial Air Crashes  
United States, 1982-2013  
\[ \alpha_{\text{LPL}}(2 \text{ consecutive points } = 0) = 44E-6 \quad \alpha_{\text{UPL}} = 0.005 \]
In output 16.2.3, the three consecutive points equal to the LPL that signal unusual variation correspond to the terrorist attacks on September 11, 2001. Note that the counts of days between crashes are generally smaller in the first panel of the chart (output 16.2.2) than in the second panel. Those measurements correspond approximately to the years from 1982 to 1992.

There appears to have been a significant change in the process around that time. The following statements create a variable called `Years` that divides the data into periods before and after the end of 1992. A rare events chart is produced for the first period.

```sas
data AirCrashes2;
  set AirCrashes;
  if EventDate <= '31dec1992'd then
    Years = '1982-1992';
  else
    Years = '1993-2013';
run;

proc rareevents data=AirCrashes2;
  id EventId EventDate Location;
  where Years = '1982-1992';
  chart DaysBetweenCrashes /
    odstitle='Days between Fatal Commercial Air Crashes'
    odstitle2='1982-1992'
    nohlabeled
    outlimits=AirLimits ;
run;
```

The `OUTLIMITS=` option in the CHART statement saves the probability limits in the data set `AirLimits`. Output 16.2.4 shows the rare events chart for the years 1982–1992.

**Output 16.2.4** Rare Events Chart for Air Crashes (1982–1992)
Note the difference in probability limits between Output 16.2.4 and Output 16.2.2, whose limits were computed using all the data. The new chart indicates a stable process from 1982 to 1992.

The following statements create a rare events chart for the years 1993 to 2013, with the data that correspond to the September 11 crashes removed from the analysis:

```sas
proc rareevents data=AirCrashes2 limits=AirLimits;
   id EventId EventDate Location;
   where Years = '1993-2013' and EventDate ne '11sep2001'd;
   chart DaysBetweenCrashes /
       odstitle='Days between Fatal Commercial Air Crashes'
       odstitle2='1993-2013'
       nohlable;
run;
```

The `LIMITS=` option applies the historical probability limits computed for the earlier period to these data. Output 16.2.5 shows the rare events chart for the years 1993 to 2013.

**Output 16.2.5** Rare Events Chart for Air Crashes (1993–2013)

You can see that 4 of 32 measurements on the chart exceed the UPL that was computed from data for the years 1982 to 1992. This is strong evidence of a change in the process, with fatal airline crashes becoming less frequent.


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