

SAS[®] Enterprise Case Management 3.1 Administrator's Guide



The correct bibliographic citation for this manual is as follows: SAS Institute, Inc. 2012. *SAS® Enterprise Case Management 3.1: Administrator's Guide*. Cary, NC : SAS Institute Inc.

SAS® Enterprise Case Management 3.1: Administrator's Guide

Copyright © 2012, SAS Institute Inc., Cary, NC, USA

All rights reserved. Produced in the United States of America.

For a hardcopy book: No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without the prior written permission of the publisher, SAS Institute Inc.

For a Web download or e-book: Your use of this publication shall be governed by the terms established by the vendor at the time you acquire this publication.

The scanning, uploading, and distribution of this book via the Internet or any other means without the permission of the publisher is illegal and punishable by law. Please purchase only authorized electronic editions and do not participate in or encourage electronic piracy of copyrighted materials. Your support of others' rights is appreciated.

U.S. Government Restricted Rights Notice: Use, duplication, or disclosure of this software and related documentation by the U.S. government is subject to the Agreement with SAS Institute and the restrictions set forth in FAR 52.227–19 Commercial Computer Software-Restricted Rights (June 1987).

SAS Institute Inc., SAS Campus Drive, Cary, North Carolina 27513.

1st electronic book, February 2012

SAS® Publishing provides a complete selection of books and electronic products to help customers use SAS software to its fullest potential. For more information about our e-books, e-learning products, CDs, and hard-copy books, visit the SAS Publishing Web site at

support.sas.com/publishing or call 1-800-727-3228.

SAS® and all other SAS Institute Inc. product or service names are registered trademarks or trademarks of SAS Institute Inc. in the USA and other countries. ® indicates USA registration.

Other brand and product names are registered trademarks or trademarks of their respective companies.

Contents

<i>About this Book</i>	<i>vii</i>
Chapter 1 • Introduction to SAS Enterprise Case Management 3.1	1
What Is Enterprise Case Management	1
More Information	1
Chapter 2 • Pre-installation Requirements and Tasks	3
Basic Pre-installation Steps for SAS Enterprise Case Management	4
Pre-installation: Database Information	6
Pre-installation: JDBC Drivers	8
Pre-installation: Oracle Database	8
Pre-installation: SQLServer Database	9
Pre-installation: PostgreSQL Database	10
Sample Database Creation Scripts	12
Chapter 3 • Installing SAS Enterprise Case Management	13
Selecting a Single-Tier or Multi-Tier Installation	13
SAS Deployment Wizard Tasks	14
Installed SAS Products	14
Disabling Anonymous Web Access	15
Specifying DBMS Credentials	15
Reviewing the Instructions.html File	27
Default File Locations	28
Updating the SAS SID File	29
Apply All SAS Hotfix Updates	29
Chapter 4 • Post-installation Requirements and Tasks	31
Post-installation Overview	32
Clustering Support	32
Customizing Your SAS Enterprise Case Management Installation	32
Defining Users, Groups, and Roles	34
Uploading Definitions and Properties	40
Capabilities in SAS Enterprise Case Management	43
Subscriptions and Notifications	49
Notifications and the SAS Information Delivery Portal	56
Configuring the Web Service	59
Deploy SAS Spelling Correction	60
Deploying Case Network Analysis Graph Functionality in an HTTPS Web Environment	63
Chapter 5 • Customizing SAS Enterprise Case Management	65
Introduction to Customizing	66
User-Defined Fields	69
User-Defined Generic Data Tables	72
User Interface Definitions	73
Configurations	74
Data Security	79
Resource Bundles	79
Workflows	80

Reference Tables	85
Search Panels	90
Chapter 6 • Using the Custom Page Builder	97
Overview of the Custom Page Builder	97
Customizable User Interfaces	98
Assigning the Custom Page Builder Permission	99
Working with User Interface Definitions	99
Valid XML Elements and Descriptions for User Interface Definitions	100
Custom Page Builder: Creating Custom Help	108
Expressions and Functions	109
Customization Examples	109
Custom Page Builder Components	118
Chapter 7 • Regulatory Reports and E-Filing	123
Introduction	124
E-Filing Process	124
Configuring E-Filing	125
Regulatory Report Form Configuration	128
Support of Multiple Versions of Report Forms	134
FINCEN	135
SAR-DI	136
CTR	137
Chapter 8 • Related Items	141
Overview	141
Configuring Match Criteria	141
Chapter 9 • Financial Items	145
Overview	145
Defining Financial Item Types in a Reference Table	145
Defining the UDF for Financial Transactions	146
Defining the UDF for Financial Summaries	146
Adding a FinancialItemsTable Component to a Case or Incident User Interface	146
Defining the Financial Items User Interface	146
Customizing a SAS Stored Process to Compute Financial Summaries	147
Chapter 10 • Case Network Analysis	149
Overview	149
Case Network Analysis Process	149
Configuring Link Criteria	150
Configuring the Data Source	152
Configuring Displayed Data Fields	152
Configuring Display Labels	153
Case Network Analysis Logic	154
Chapter 11 • Configuring Subject Search	155
Overview	155
Subject Search Process	155
Configuring Match Criteria for Subject Search	155
Subject Search Logic	156
Chapter 12 • Report Mart	159
SAS Enterprise Case Management Report Mart	159
Chapter 13 • Internationalization	163

Overview	163
Specify the Database Character Encoding	163
SAS Session Encoding Consideration and DBCS Support	164
Default Encoding for Databases Supported by SAS Enterprise Case Management	165
Restricting the Maximum Length of VARCHAR Fields	165
Naming Conventions for Locales	166
Create and Use Custom Translated Messages	166
Localizing Custom Table Labels and Column Labels	167
Localizing Reference Tables	167
Localizing Workflow Activities and Statuses	168
Chapter 14 • Adding Custom SAS Code	169
Adding Custom SAS Code	169
Chapter 15 • Event Logging	171
Overview	171
Currently Supported Events	171
Creating a Batch Load Event	174
Chapter 16 • Additional Tasks	177
Case Routing Configurations for SAS Enterprise Case Management: Regional Manager Setup	177
Setting Up Data Management Jobs	182
SAS Enterprise Case Management – Backup Requirements	182
Appendix 1 • SAS Enterprise Case Management Web Service	185
Introduction	185
A Sample Request	185
Appendix 2 • Troubleshooting	187
Workflow Status Updates	188
Database Error Warnings and SAS Deployment Wizard	188
Post-installation Database Steps Required after Unsuccessful SAS Deployment Wizard Database Installation	188
Empty or Missing Date Fields After a Save	190
Case Network Graph Stops Working	190
Case Network Analysis Web Service Not Created	190
Special Characters Are Missing in Case Network Analysis and Report Mart	192
Specifying the Version Number for SAS Enterprise Case Management	193
DBMS JAR File and Multiple Machine Installations	193
Assigning the Primary Owner to a Case	193
Adding the Custom Column Type VARCHAR	193
Adding Comments to an Entity	194
Locking and Unlocking an Entity	194
Using the Search Functionality in SAS Enterprise Case Management	194
Session Time-Out Warning Message	195
Financial Items Warning Message	195
E-File Cannot Be Generated	196
Transaction Sequential Number Is Not Assigned After an E-File Is Generated	196
Incorrect or Missing Translations	196
Updating Configuration Information in a Cluster	197
Field Definition Changes and Search Page Errors	197
Uploading Large Attachments	197
Receiving the ORA-12520 Error Message	197
Appendix 3 • SASMSG and %SMD2DS	199

How Does SAS Enterprise Case Management Use %SMD2DS and SASMSG?	199
About the SASMSG Function	199
Formatting	200
Open Code Macro Statements	200
The %SMD2DS Macro	200
Example: Add a Message and Its Translation to Be Used by SASMSG	201
Example: Message Substitutions	202
Index	203

About this Book

Audience

This documentation is intended primarily for those users who are responsible for the installation and configuration of SAS Enterprise Case Management. A secondary audience includes those users who are responsible for managing data, creating workflows and user interfaces, and overseeing case management. Examples of such users include systems administrators, database administrators, and high-level case management personnel who are interested in implementing a specific configuration of SAS Enterprise Case Management in order to meet specific organizational case management goals. Therefore, the scope of this documentation is limited primarily to the administrative tasks that these users are likely to perform. Moreover, this documentation assumes familiarity with the technical terminology and concepts that are required to perform these tasks. For information about the functionality of the SAS Enterprise Case Management user interface, see the *SAS Enterprise Case Management: User's Guide*.

Chapter 1

Introduction to SAS Enterprise Case Management 3.1

What Is Enterprise Case Management	1
More Information	1

What Is Enterprise Case Management

Case management is a business process that involves coordinating, researching, and tracking information about incidents that might pose a risk to an organization. Case management can span organizations and include various business users.

Because financial and banking institutions are required to report suspicious financial activity, case management includes the process of electronically filing regulatory reports with government agencies.

By providing a structured environment for defining and managing workflows, SAS Enterprise Case Management enables business users to streamline processes and conduct more efficient, effective, and consistent investigations. Customized workflows can be created for various types of cases and reports. Workflows are classified by type, category, and subcategory, and automatically route cases or reports to the appropriate individuals or groups, as defined by your organization. Workflows can require users to complete specific actions before moving a case or report to the next step in the business process.

SAS Enterprise Case Management creates auditable records for management, examiners, and regulatory agencies. Each audit record contains user identification, a time stamp, and the dates when actions were performed.

More Information

For information about support fixes, see the SAS Notes that are available on the SAS Technical Support Web site. Search for available SAS Notes for SAS Enterprise Case Management at <http://support.sas.com>.

For information about the hardware, software, and database requirements of SAS Enterprise Case Management, and for links to other sources of related information, see <http://support.sas.com/resources/sysreq/index.html>.

Chapter 2

Pre-installation Requirements and Tasks

Basic Pre-installation Steps for SAS Enterprise Case Management	4
Completing Pre-installation Tasks	4
Installing the Java Development Kit	4
Installing a Web Application Server	4
Verifying Your Operating System Requirements	4
Creating the SAS Enterprise Case Management User Accounts	4
Obtaining a Deployment Plan and SID File	5
Download Your Software with the SAS Download Manager	5
Pre-installation: Database Information	6
Determining the Required Database Information	6
Shared Services Database Requirement	7
Pre-installation: JDBC Drivers	8
Pre-installation: Oracle Database	8
Installing the Oracle Database	8
Create the Oracle User for Enterprise Case Management	8
Installing SAS Access for Oracle	9
Test Access to the Database	9
Pre-installation: SQLServer Database	9
Installing the SQLServer Database	9
Create the SQLServer User for Enterprise Case Management	9
Installing SAS Access for ODBC or SAS Access for SQLServer	9
Configuring the SQLServer ODBC Connection	9
Test Access to the Database	10
Pre-installation: PostgreSQL Database	10
Installing the PostgreSQL Database	10
Configuring the PostgreSQL Database for a Multi-Tier Installation	11
Creating the PostgreSQL User for SAS Enterprise Case Management	11
Install SAS Access for ODBC	11
Configuring the PostgreSQL ODBC Connection	11
Test Access to the Database	12
Sample Database Creation Scripts	12

Basic Pre-installation Steps for SAS Enterprise Case Management

Completing Pre-installation Tasks

Before you begin to install the SAS Intelligence Platform and SAS Enterprise Case Management, you must complete a set of pre-installation tasks. You must install various third-party components, verify your operating system requirements, create the needed user accounts, address database requirements, and obtain your SAS software. Specifically, you must complete the following tasks:

Installing the Java Development Kit

SAS Enterprise Case Management requires the installation of the Sun Java Development Kit. For further information, see <http://support.sas.com/resources/thirdpartysupport/v93/index.html>.

Installing a Web Application Server

SAS Enterprise Case Management requires a Web application server. You must install this third-party software before installing SAS Enterprise Case Management. For more information about Web application servers, see <http://support.sas.com/resources/thirdpartysupport/v93/index.html>.

CAUTION:

Do not use Oracle WebLogic 9.2 as the Web application server for SAS Enterprise Case Management.

Verifying Your Operating System Requirements

Before you install SAS Enterprise Case Management, make sure that you meet the minimum system requirements that are described in the system requirements documentation. System requirements are unique for each operating system. Items that are addressed as system requirements include software requirements, hardware requirements, space requirements, specific product requirements, and graphics hardware and software compatibility.

Some specific items that you should check include the following settings:

- Set the screen resolution for SAS Enterprise Case Management no lower than 1024 x 768.
- Set your browser's pop-up blocker to allow pop-ups for your applications.

For more requirements information, see “SAS System Requirements” at <http://support.sas.com/resources/sysreq/index.html>.

Creating the SAS Enterprise Case Management User Accounts

As a pre-installation task, you must have two operating system accounts, one for installing and configuring the SAS software and one for running the spawned servers.

For details about these user accounts, refer to the pre-installation checklist or the *SAS Intelligence Platform: Installation and Configuration Guide* for your deployment. You will also need at least one operating system account to serve as an administrator for SAS Enterprise Case Management. Details about preparing a user account are discussed in [Chapter 4, “Post-installation Requirements and Tasks,” on page 31](#). You can use an existing account if one already exists. A SAS Enterprise Case Management administrative user is specific to SAS Enterprise Case Management. This user must have a valid host operating system account, and as a post-installation task, you must associate that account with a metadata user in SAS Management Console. Product administrators have access to perform any action on any data in SAS Enterprise Case Management.

The SAS Spawnd Servers account (sassrv) needs to be separate, but from the same user group, as the installation user. In a Windows environment, it must be included in the administrator's group to ensure stored processes can write to the SAS Enterprise Case Management config directories. Refer to *SAS Intelligence Platform: Installation and Configuration Guide* for guidance in setting up the SAS Spawnd Servers account (sassrv).

It is often necessary to change the name of the administrative user from admin to match an existing user name in your environment. For example, if you configure your Web application server so that the SAS Enterprise Case Management Web application authenticates users against an LDAP server, then you must change the name of the administrative user to the user name found in the LDAP user directory. That user can then log on as the administrator in SAS Enterprise Case Management. Be aware that a SAS Enterprise Case Management product administrator account is not the same as a general administrator account, such as the SAS Administrator (sasadm@saspw).

See “Setting Up Users, Groups, and Ports” in the *SAS Intelligence Platform: Installation and Configuration Guide*.

Note: SAS Enterprise Case Management uses both regular user accounts and a product administrative user account. You can create regular user accounts for SAS Enterprise Case Management as a post-installation task. For more information, see <http://support.sas.com/documentation/>.

Obtaining a Deployment Plan and SID File

Before you can install your SAS software, you must obtain a deployment plan and SID file. The deployment plan is a summary of the software that is installed and configured during your installation. A deployment plan file, named plan.xml, contains information about what software should be installed and configured on each machine in your environment. This plan serves as input to the SAS installation and configuration tools. A deployment plan can be a custom plan for your specific software installation or it can be a standard, predefined deployment plan that describes a common configuration. The SID file is used by the SAS system to install and license SAS software. It is a control file that contains license information that is required in order to install SAS. For more information about deployment plans and the SID file, see “SAS Deployment Wizard Options” and “About Deployment Plans” in the *SAS Intelligence Platform: Installation and Configuration Guide*.

Download Your Software with the SAS Download Manager

Download the software that is listed in your SAS Software Order with the SAS Download Manager. You can then use the SAS Deployment Wizard to install your software.

Pre-installation: Database Information

Determining the Required Database Information

During the installation and configuration of SAS Enterprise Case Management, the SAS Deployment Wizard requires information about the database that SAS Enterprise Case Management uses. The following table provides information that you must have to complete the steps in the SAS Deployment Wizard.

Table 2.1 Database Information

Property	Description
Database Type	Specifies the database vendor to use with SAS Enterprise Case Management. SAS Enterprise Case Management supports the Oracle, SQL Server, and PostgreSQL databases.
User name or Schema	Specifies the user name for the database used with your SAS Enterprise Case Management installation. <i>Note:</i> The schema user requires adequate permissions to create all objects required for the schema initialization. For Oracle, these include sequences, tables, indexes and views.
Password	Specifies a valid password for the user name associated with the database account.
Port	Specifies the port used by the database. The default ports for the databases supported by SAS Enterprise Case Management are as follows: <ul style="list-style-type: none">• Oracle:1521• SQLServer:1433• PostgreSQL:5432
Host Name	Specifies the host name of the machine where the database is installed.

Property	Description
Database Name	<p>Specifies the database name. For SQL Server and PostgreSQL, there must be an ODBC connection with the same name as the database name.</p> <p>For Oracle databases, the Net Service Name and the Service Name fields that are configured in the tnsnames.ora file must be the same. You must use this value for the Database Name field in the SAS Deployment Wizard.</p> <p>For example, if you had the following entry in the tnsnames.ora file, you would type datahaus in the Database Name field in the SAS Deployment Wizard:</p> <pre>datahaus = (DESCRIPTION = (ADDRESS_LIST = (ADDRESS = (COMMUNITY = TCP_COMM) (PROTOCOL = TCP) (HOST = hostname.your.company.com) (PORT = 1521))) (CONNECT_DATA = (SERVICE_NAME = datahaus))</pre> <p><i>Note:</i> The Net Service Name and Service Name in the above example are the same. This is required to build the correct LIBNAME statement in the SAS Enterprise Case Management stored processes.</p>
DBMS JDBC JAR File	<p>Specifies the location of the database vendor's JDBC JAR file to facilitate Java access. You must have this file available on the middle tier.</p>

For SQL Server and PostgreSQL, there must be an ODBC connection with the same name as the database name.

Shared Services Database Requirement

SAS Enterprise Case Management uses SAS Shared Services. To ensure proper performance, SAS Shared Services should not be installed with SAS Framework Data Server as the supporting database. SAS Shared Services must be installed with a platform-supported database, such as Oracle, SQL Server, or PostgreSQL. See the SAS Shared Services documentation for supported databases.

Note: When installing SAS Shared Services with SQL Server, the configuration wizard creates the database during configuration. Therefore, you should create a SQL Server login with the dbcreator role, but do not create a database. When asked by SAS Deployment Wizard for a SAS Shared Services database name, provide the name of the database that you want to be created. It should not be the name of an existing database.

Pre-installation: JDBC Drivers

Note: The following JDBC drivers must be placed in a separate directory without any other files to ensure proper installation and configuration of SAS Enterprise Case Management.

- Oracle 10g: SAS Enterprise Case Management uses the ojdbc6.jar file. To use Oracle10g, you need to use an Oracle version 11 JDBC driver.
- Oracle 11g: SAS Enterprise Case Management uses the ojdbc6.jar file. You can download a copy of the Oracle driver from http://www.oracle.com/technology/software/tech/java/sqlj_jdbc/index.html. Select the latest Oracle 11.2x driver. The JDBC driver version must match the database version.
- PostgreSQL 9.0: SAS Enterprise Case Management uses the postgresql-9.0-801.jdbc4.jar PostgreSQL driver. It is located in the **jdbc** subdirectory of your PostgreSQL installation.
- SQLServer 2008: SAS Enterprise Case Management uses the sqljdbc4.jar Microsoft SQL Server JDBC Driver SQL Server Native Client 10.0. Visit the official Microsoft Web site to download this driver.

Pre-installation: Oracle Database

Installing the Oracle Database

SAS Enterprise Case Management requires a database and a Web application server. You must install this third-party software before installing SAS Enterprise Case Management. Currently, the Oracle database is supported by SAS Enterprise Case Management.

Create the Oracle User for Enterprise Case Management

SAS Enterprise Case Management will store transactional data in the Oracle database. Before installing SAS Enterprise Case Management, create a user in Oracle with the following privileges:

- CREATE SESSION
- CREATE SEQUENCE
- CREATE TABLE
- CREATE VIEW

In addition, that user will need adequate table space quota for its default and temporary table spaces.

Note: The schema user requires adequate permissions to create all objects required for the schema initialization. For Oracle, these include sequences, tables, indexes and views.

Installing SAS Access for Oracle

SAS Enterprise Case Management supports the Oracle database. As a post-installation task, you must run several SAS scripts provided by SAS Enterprise Case Management. These database scripts assume that the SAS environment can already access the Oracle database.

Test Access to the Database

To test SAS access to the Oracle database, after SAS Enterprise Case Management is installed but not configured, open an interactive SAS session and try to create a libref, for example:

```
libname ecmttest oracle path='casemgmt' user='ecmdata' password='ecmdata' ;
```

Pre-installation: SQLServer Database

Installing the SQLServer Database

SAS Enterprise Case Management requires a database and a Web application server. You must install this third-party software before installing SAS Enterprise Case Management. SQLServer is one of the databases supported by SAS Enterprise Case Management.

Create the SQLServer User for Enterprise Case Management

SAS Enterprise Case Management stores transactional data in the SQLServer database. Before installing SAS Enterprise Case Management, create a user in SQLServer with access to the target database. Create a schema and make the new user the owner of the schema. Set that as the default schema for the user for that database.

Installing SAS Access for ODBC or SAS Access for SQLServer

SAS Enterprise Case Management supports the SQLServer database. As a post-installation task, you must run several SAS scripts provided by SAS Enterprise Case Management. These database scripts assume that the SAS environment can already access the SQLServer database.

Configuring the SQLServer ODBC Connection

If you are using SAS Access for ODBC in Windows, you need to create a System DSN (Data Source Name).

1. From the Windows **Start** menu, select **Settings** ⇒ **Control Panel** ⇒ **Administrative Tools** ⇒ **Data Sources (ODBC)**. The ODBC Data Source Administrator window appears.
2. Select the **System DSN** tab and then click **Add**. The Create New Data Source window appears.

3. Select the SQL Server Native Client 10.0 driver from the list and then click **Finish**. The SQLServer ODBC Driver Setup window appears.
4. Enter the driver information in the ODBC Driver Setup window. For example, complete the following:
 - a. Enter **casemgmt** in the **Name** box. The DSN name must be the same as the name of the database in SQLServer.
 - b. (Optional) Enter **SAS Enterprise Case Management Transactional Schema** in the **Description** box.
 - c. Enter the database server host name in the **Server** box.
 - d. Click **Next**.
 - e. Enter the appropriate authentication setting.
 - f. Enter user name and password information to obtain the default settings. Enter **ecmdata** in the **User Name** box. Enter **ecmdata** in the **Password** box.
 - g. Click **Next**.
 - h. Click **Finish**.
 - i. Click **Test Data Source** to verify the data source information.
 - j. Click **OK** to save the driver information and to close the SQLServer ODBC Driver Setup window.
 - k. Click **OK** to close the ODBC Data Source Administrator window.

Test Access to the Database

To test SAS access to the SQLServer database, after SAS Enterprise Case Management is installed and not configured, open an interactive SAS session and try to create a libref. For example, using the sample information in the previous section, the LIBNAME statement would look like this:

```
libname ecmtest odbc dsn=casemgmt user=ecmdata password=ecmdata;
```

Pre-installation: PostgreSQL Database

Installing the PostgreSQL Database

SAS Enterprise Case Management requires a database and a Web application server. You must install this third-party software before installing SAS Enterprise Case Management. PostgreSQL is one of the databases supported by SAS Enterprise Case Management.

Enterprise Case Management accesses the PostgreSQL database from SAS code through SAS/ACCESS to ODBC. Open source ODBC driver implementations are available for Windows platforms. A third-party ODBC driver will need to be installed on UNIX-based platforms. These are commercially available from a number of vendors (for example <http://web.datadirect.com/index.html>).

Note: Although the PostgreSQL driver will install on a Windows 64-bit operating system, the driver will not work.

Configuring the PostgreSQL Database for a Multi-Tier Installation

For security reasons, PostgreSQL does not listen on all available IP addresses on the server machine initially. In order to access the server over the network, you must enable listening on the address first.

For PostgreSQL servers version 8.0 and later, this is controlled using the `listen_address` parameter in the `postgresql.conf` file. Here, you can enter a list of IP addresses the server should listen on, or simply use `*` to listen on all available IP addresses.

Creating the PostgreSQL User for SAS Enterprise Case Management

SAS Enterprise Case Management will store transactional data in the PostgreSQL database. Before installing SAS Enterprise Case Management, create a user in PostgreSQL and then create a database owned by that user.

Install SAS Access for ODBC

SAS Enterprise Case Management supports the PostgreSQL database. As a post-installation task, you must run several SAS scripts provided by SAS Enterprise Case Management. These database scripts assume that the SAS environment can already access the PostgreSQL database.

Configuring the PostgreSQL ODBC Connection

If you are using SAS Access for ODBC in Windows, you need to create a System DSN (Data Source Name).

1. From the Windows **Start** menu, select **Settings** ⇒ **Control Panel** ⇒ **Administrative Tools** ⇒ **Data Sources (ODBC)**. The ODBC Data Source Administrator window appears.
2. Select the **System DSN** tab and then click **Add**. The Create New Data Source window appears.
3. Select the PostgreSQL ANSI driver from the list and then click **Finish**. The SQLServer ODBC Driver Setup window appears.
4. Enter the driver information in the ODBC Driver Setup window. For example, complete the following:
 - a. Enter **casemgmt** in the **Data Source** box. The Data Source name must be the same as the name of the database name in PostgreSQL.
 - b. (Optional) Enter **SAS Enterprise Case Management Transactional Schema** in the **Description** box.
 - c. Enter **casemgmt** in the **Database** box.
 - d. Enter the database server host name in the **Server** box.
 - e. Enter **ecmdata** in the **User Name** box.
 - f. Enter **ecmdata** in the **Password** box.
 - g. Click **Test** to verify the data source information.

- h. Click **Save** to save the driver information and to close the PostgreSQL ANSI ODBC Driver Setup window.
- i. Click **OK** to close the ODBC Data Source Administrator window.

Note: If you are using the Data Direct 6.0 PostgreSQL Wire Protocol Driver, select **Fetch TSWTZ as Timestamp** when defining your ODBC connection.

Test Access to the Database

To test SAS access to the PostgreSQL database, after SAS Enterprise Case Management is installed and not configured, open an interactive SAS session and try to create a libref. For example, using the sample information in the previous section, the LIBNAME statement would look like this:

```
libname ecmttest odbc dsn=casemgmt user=ecmdata password=ecmdata;
```

Sample Database Creation Scripts

Sample scripts are provided for creating users, schemas (where needed in PostgreSQL and MS SQL server), and databases. The scripts are in one of the following locations, depending on the platform:

- Windows platforms: *SAS_HOME\SAS\SASFoundation\9.3\casemgmtmva\sasmisc\sample\dbscript*
- UNIX platforms: *SAS_HOME/SASFoundation/9.3/misc/casemgmtmva/sample/dbscript*

Documentation for running the scripts is provided within the script files in the form of comments.

Chapter 3

Installing SAS Enterprise Case Management

Selecting a Single-Tier or Multi-Tier Installation	13
SAS Deployment Wizard Tasks	14
Installed SAS Products	14
Disabling Anonymous Web Access	15
Specifying DBMS Credentials	15
Oracle Database	16
PostgreSQL Database	19
SQLServer Database	23
Reviewing the Instructions.html File	27
Default File Locations	28
Updating the SAS SID File	29
Apply All SAS Hotfix Updates	29

Selecting a Single-Tier or Multi-Tier Installation

You can install SAS Enterprise Case Management on one or several machines. This choice is determined at the time you order SAS Enterprise Case Management and is detailed in the order detail plan (plan.xml) file. You must first install SAS Enterprise Case Management on the server-tier machine. You can then install SAS Enterprise Case Management on other additional machines that are part of a middle tier in your configuration. For guidelines on installing SAS on multiple machines, see “Installation Order Rules for Multiple Machine Deployments” in the *SAS Intelligence Platform: Installation and Configuration Guide*.

The server tier consists of a set of SAS servers that are installed as a part of the SAS Intelligence Platform. These servers host (and can be used to load) the reporting data. In addition, they execute SAS analytical and reporting processes. The SAS Workspace Server, SAS Stored Process Server, and SAS Metadata Server enable this capability.

The middle tier hosts the Web application, which is deployed on a Java Web application server. The Web application sends data to and receives data from the Web browsers on the client tier. It then organizes the data for storage on the data tier and for use on the server tier.

The client tier is also part of the SAS Enterprise Case Management configuration. On the client tier, users collect and load data and perform day-to-day operational risk tasks via

the Web application. In addition, although reports are configured on the server tier, they are visible in the user interface to users who have access only to the machines on the client tier.

SAS Deployment Wizard Tasks

The SAS Deployment Wizard is used to install and configure the SAS software and related products that are included in your deployment plan file. When you execute the SAS Deployment Wizard, you select the deployment type that you are performing. You can choose to install and configure the software in the same instance, or you can configure the software at a later point. The latter is recommended because it gives you the opportunity to test the SAS license and the database connection in SAS before the configuration step.

Depending on your specific deployment plan and the SAS products that you are installing, the SAS Deployment Wizard can prompt you to perform a variety of tasks, including the following items:

- specify your order plan and SAS software products that you are installing and configuring
- specify third-party products that you have installed, such as JBOSS or the Java Development Kit
- specify any required machine information
- specify server information for any SAS servers that you are installing
- specify user account information
- specify the database that you are installing
- install the server tier for SAS Enterprise Case Management on the server machine in your configuration
- install the middle tier for SAS Enterprise Case Management on other machines in your configuration

For further information, see “Preparing to Install and to Configure” in the *SAS Intelligence Platform: Installation and Configuration Guide*. In addition, see the *SAS Deployment Wizard User’s Guide* at <http://support.sas.com/documentation/installcenter/>.

Installed SAS Products

SAS Enterprise Case Management installation includes the installation of various SAS products. During installation, the SAS Deployment Wizard prompts you for the installation and possibly the configuration of each of these SAS products. Some of the products that are installed as part of the SAS Enterprise Case Management installation include the following:

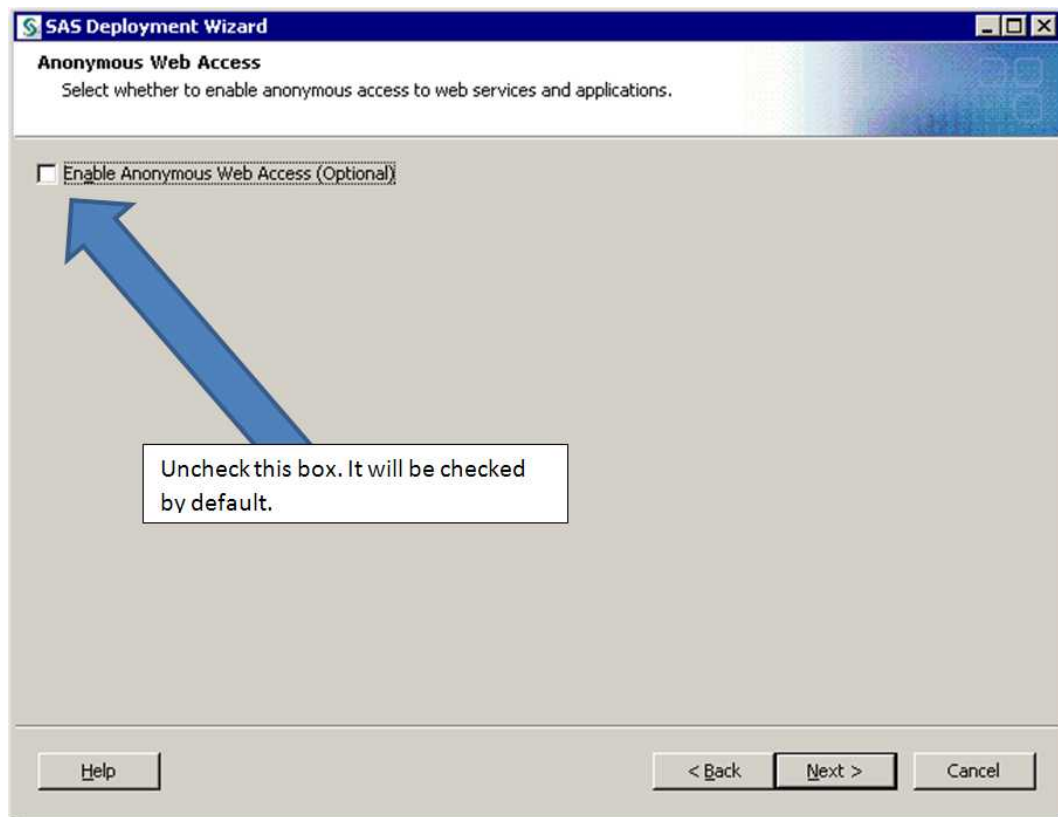
- SAS Foundation 9.3
- SAS Management Console
- SAS Shared Services

- SAS Framework Data Server

Disabling Anonymous Web Access

During the installation of SAS components, there is an option to enable anonymous Web access. Enabling anonymous Web access might give unknown visitors access to information that they would not otherwise be able to see. It is suggested that you do not enable anonymous Web access.

Display 3.1 Disable Anonymous Web Access



Specifying DBMS Credentials

During your SAS Enterprise Case Management installation, you must enter database management system (DBMS) information for the server tier and middle tier of your configuration. You must enter specific information for the database that is used with SAS Enterprise Case Management. The following databases can be selected and configured during the SAS Deployment Wizard session for SAS Enterprise Case Management.

Oracle Database

The following page prompts you for the connection information for the Oracle database server.

Display 3.2 SAS Enterprise Case Management Server-Tier Configuration – Database Connection Information

SAS Deployment Wizard

SAS Enterprise Case Management Server Database Properties
Specify the connection information for the database server

☐ Bypass Database Initialization

Host Name:
banksws01

Port:
1521

Database Name:
YourOracleDBName

Help < Back Next > Cancel

You must enter information for the following text boxes:

Host Name

specifies the host name of the machine that the database is installed on.

Port

specifies the port used by the database.

Database Name

specifies the database name.

Bypass Database Initialization

when selected, specifies to bypass the initialization of the database.

The following page prompts you for the connection information for JDBC on the server.

Display 3.3 SAS Enterprise Case Management Server-Tier Configuration – JDBC Connection Information

SAS Deployment Wizard

SAS Enterprise Case Management Server JDBC Properties
Specify the connection information for JDBC on server machine

Username:
CASEMGMT

Password:

Confirm Password:

Path to JDBC jar file:
D:\oracleJDBCdriver\ojdbc6.jar

You must enter information for the following text boxes:

Username

specifies the user name for the Oracle database.

Password

specifies a valid password for the user name that is associated with the Oracle database.

Confirm Password

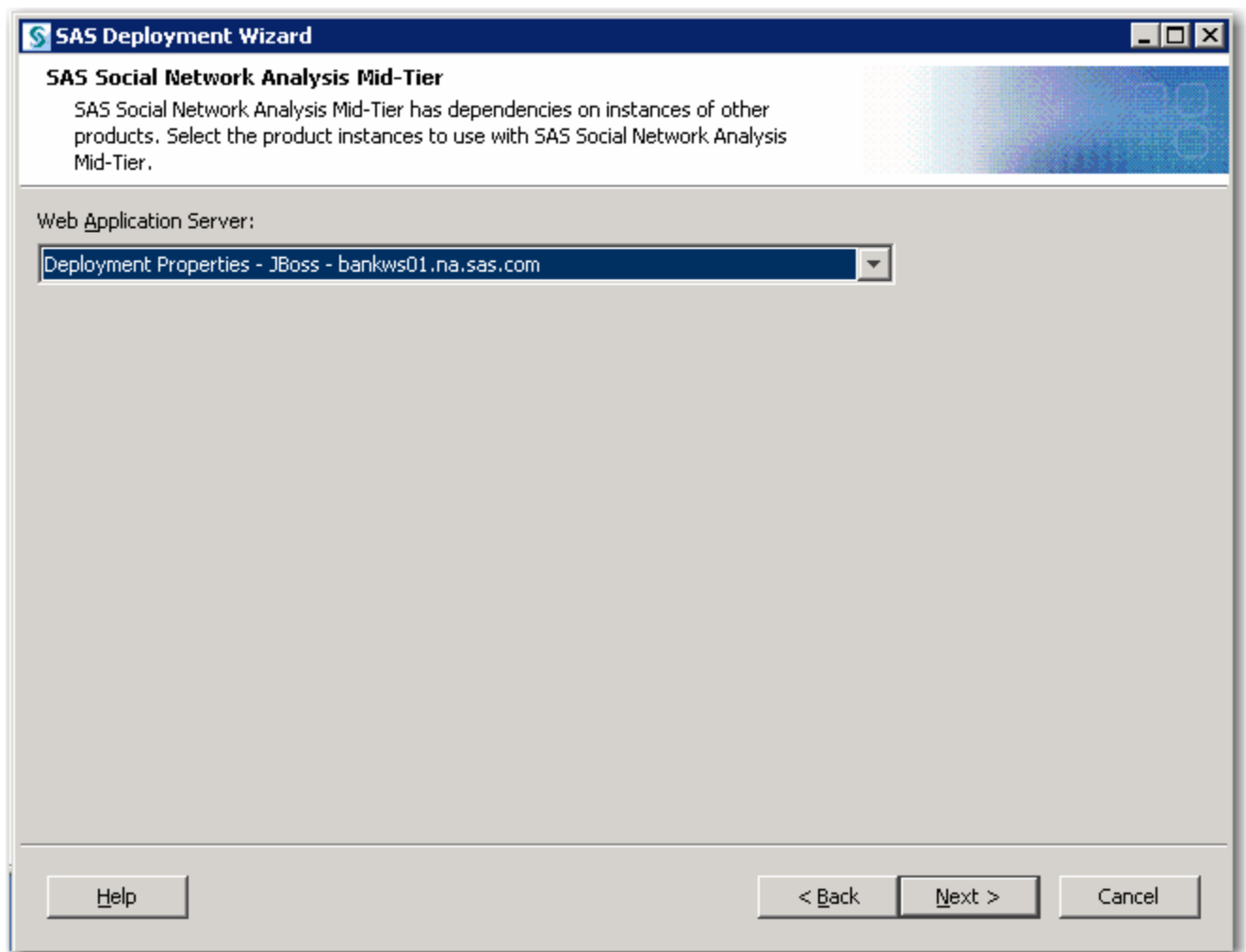
confirms the password for the user name for the Oracle database.

Path to JDBC Jar file

specifies the path to the JDBC JAR file that is provided by the database vendor. This file facilitates Java access and must be available on this host in order for configuration to occur.

The following page prompts you for SAS Social Network Analysis middle-tier information.

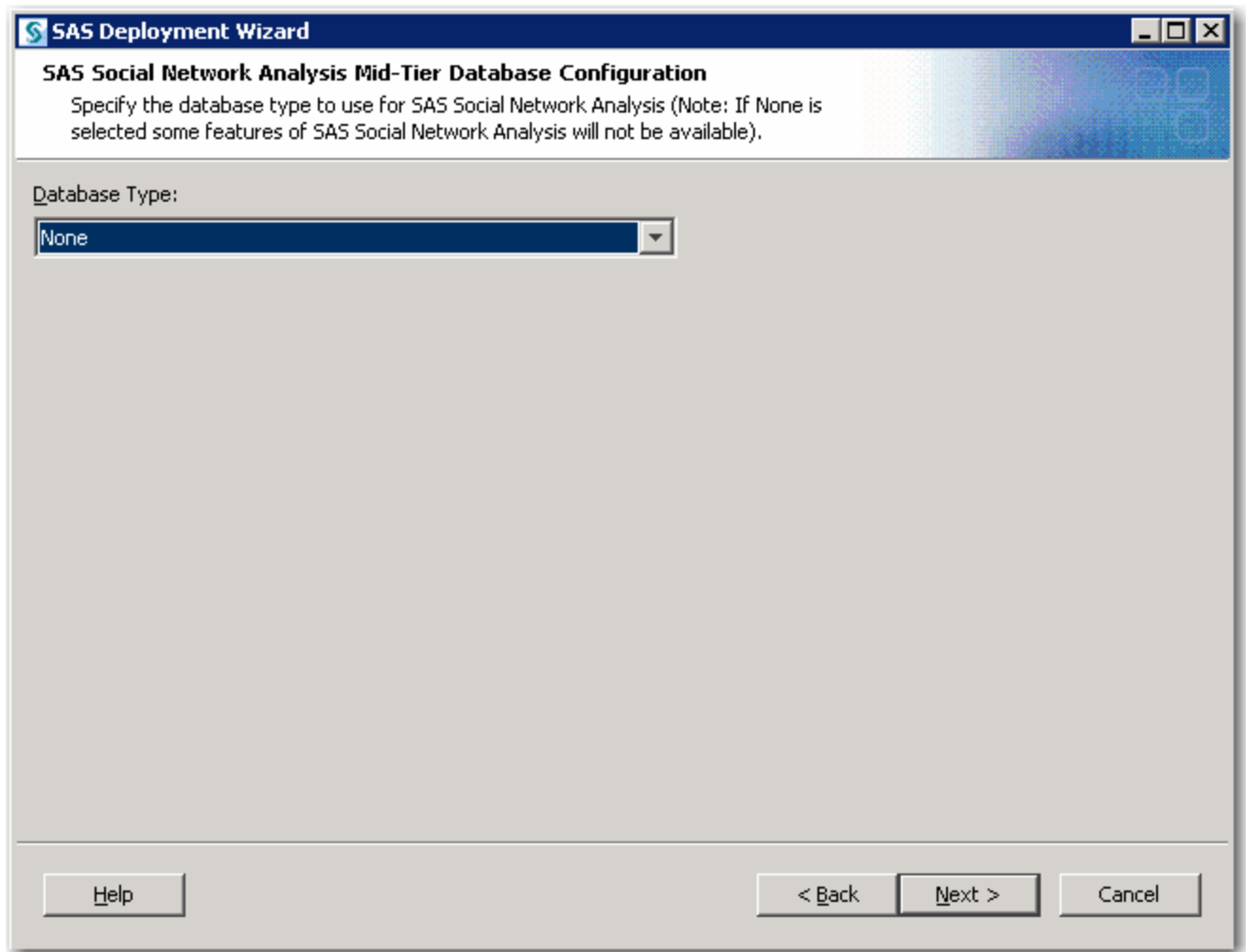
Display 3.4 SAS Enterprise Case Management Middle-Tier Configuration – SAS Social Network Analysis Information



Select the Web application server from the drop-down box, and click **Next**.

The following page prompts you for the database type to use for SAS Social Network Analysis.

Display 3.5 SAS Enterprise Case Management Middle-Tier Configuration – SAS Social Network Analysis Database Information



Select the database type from the drop-down box, and click **Next**.

Note: SAS Social Network Analysis is bundled with SAS Enterprise Case Management. If you have purchased a full license for SAS Social Network Analysis, then select the correct database type to ensure you have access to all of the features. If you have not purchased a full license for SAS Social Network Analysis, choose **None** as the database type.

PostgreSQL Database

The following page prompts you for the connection information for your PostgreSQL database.

Display 3.6 SAS Enterprise Case Management Server-Tier Configuration – Database Connection Information

SAS Deployment Wizard

SAS Enterprise Case Management Server Database Properties
Specify the connection information for the database server

☐ Bypass Database Initialization

Host Name:
banksws01

Port:
5432

Database Name:
YourPostgreSQLDBName

Help < Back Next > Cancel

You must enter information for the following text boxes:

Host Name

specifies the host name of the machine that the database is installed on.

Port

specifies the port used by the database.

Database Name

specifies the database name.

Bypass Database Initialization

when selected, specifies to bypass the initialization of the database.

The following page prompts you for the connection information for JDBC on the server.

Display 3.7 SAS Enterprise Case Management Server-Tier Configuration – JDBC Connection Information

SAS Deployment Wizard

SAS Enterprise Case Management Server JDBC Properties
Specify the connection information for JDBC on server machine

Username:
CASEMGMT

Password:

Confirm Password:

Path to JDBC jar file:
D:\Public\postgresql\postgresql-postgresql-9-0-801.jdbc4.jar Browse...

Help < Back Next > Cancel

You must enter information for the following text boxes:

Username

specifies the user name for the PostgreSQL database.

Password

specifies a valid password for the user name that is associated with the PostgreSQL database.

Confirm Password

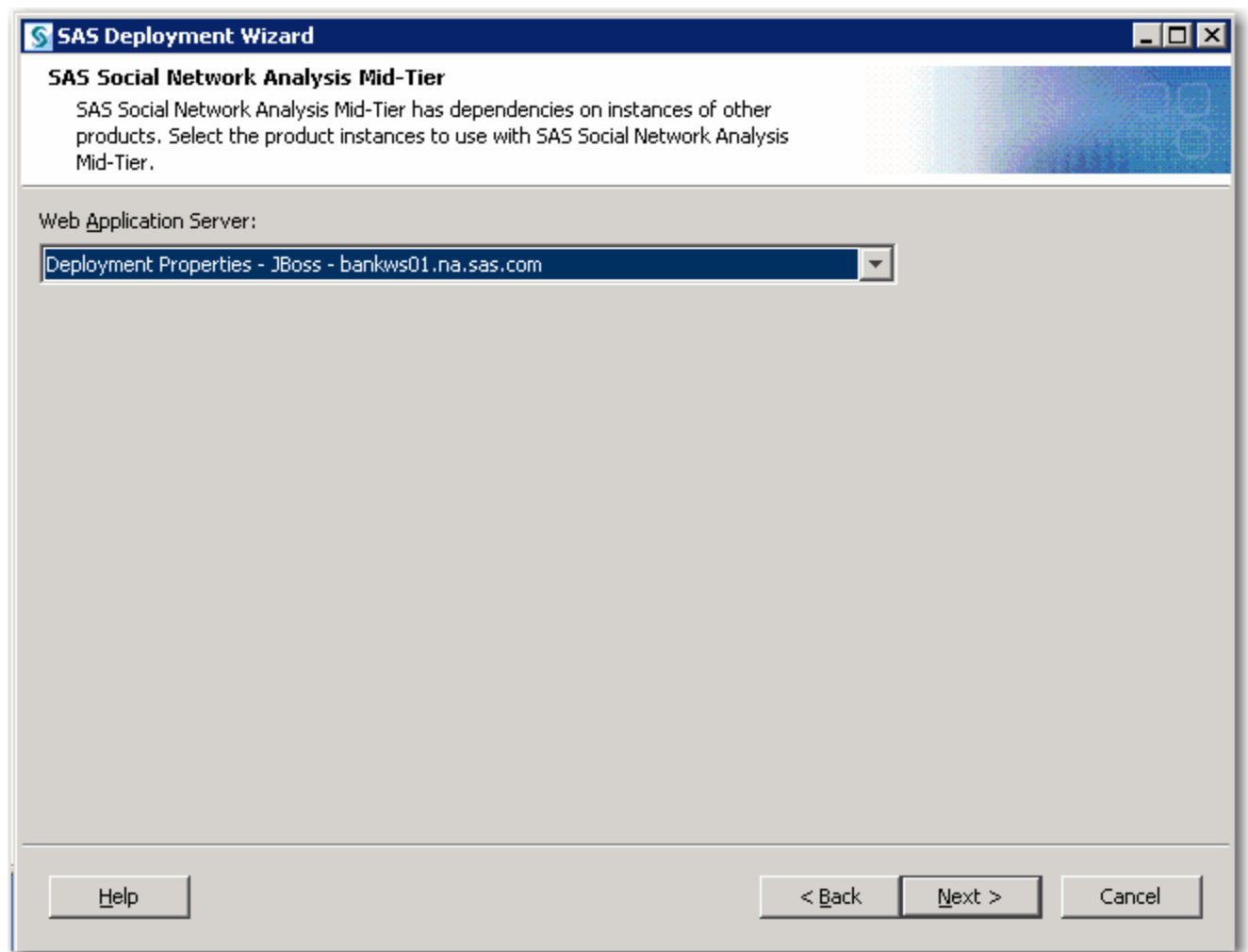
confirms the password for the user name for the PostgreSQL database.

Path to JDBC Jar file

specifies the path to the JDBC JAR file that is provided by the database vendor. This file facilitates Java access and must be available on this host in order for configuration to occur.

The following page prompts you for SAS Social Network Analysis middle-tier information.

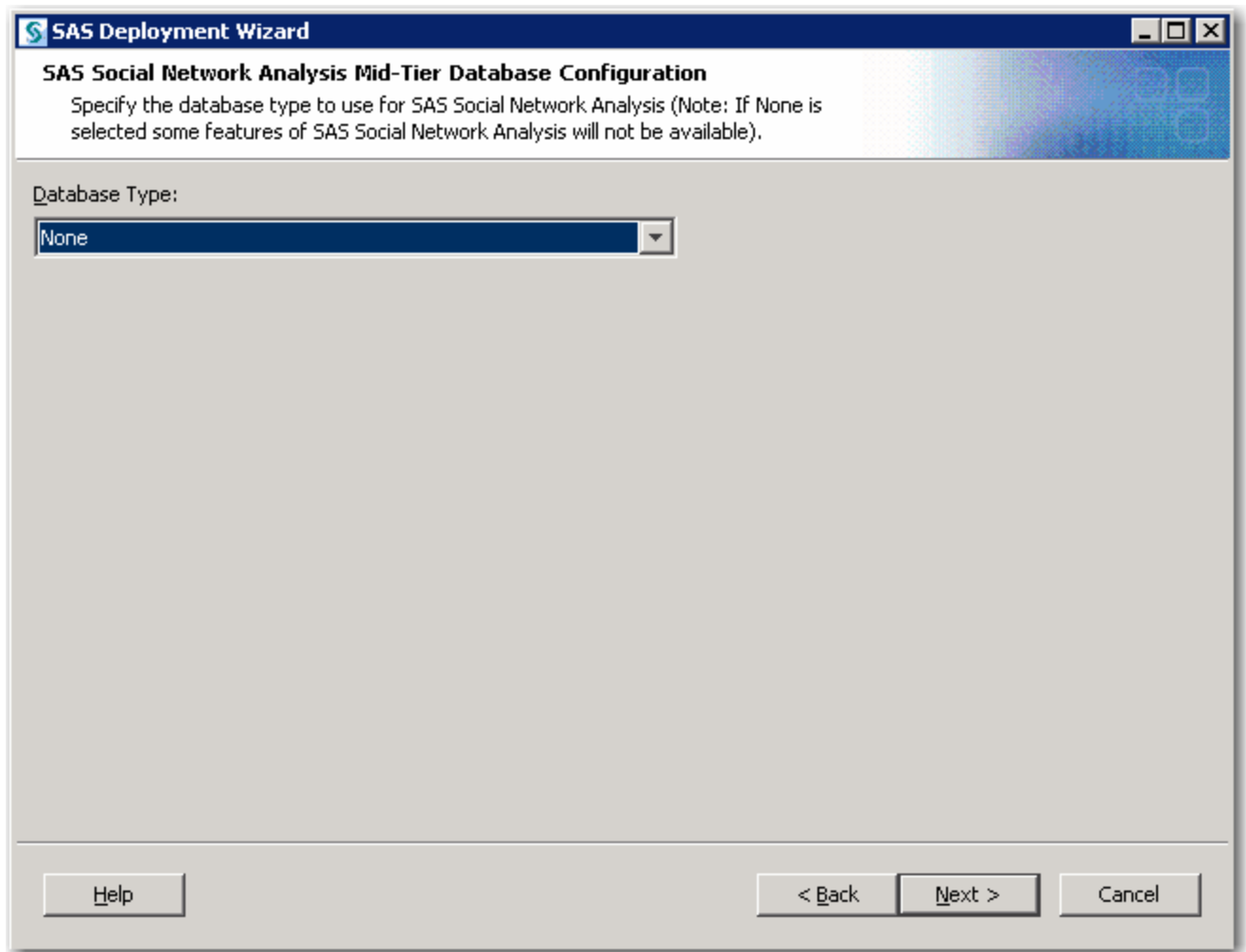
Display 3.8 SAS Enterprise Case Management Middle-Tier Configuration – SAS Social Network Analysis Information



Select the Web application server from the drop-down box, and click **Next**.

The following page prompts you for the database type to use for SAS Social Network Analysis.

Display 3.9 SAS Enterprise Case Management Middle-Tier Configuration – SAS Social Network Analysis Database Information



Select the database type from the drop-down box, and click **Next**.

Note: SAS Social Network Analysis is bundled with SAS Enterprise Case Management. If you have purchased a full license for SAS Social Network Analysis, then select the correct database type to ensure you have access to all the features. If you have not purchased a full license for SAS Social Network Analysis, choose **None** as the database type.

SQLServer Database

The following page prompts you for the connection information for your SQLServer database.

Display 3.10 SAS Enterprise Case Management Server-Tier Configuration – Database Connection Information

SAS Deployment Wizard

SAS Enterprise Case Management Server Database Properties
Specify the connection information for the database server

☐ Bypass Database Initialization

Host Name:
banksws01

Port:
1433

Database Name:
YourSQLDBName

Help < Back Next > Cancel

You must enter information for the following text boxes:

Host Name

specifies the host name of the machine that the database is installed on.

Port

specifies the port used by the database.

Database Name

specifies the database name.

Bypass Database Initialization

when selected, specifies to bypass the initialization of the database.

The following page prompts you for the connection information for JDBC on the server.

Display 3.11 SAS Enterprise Case Management Server-Tier Configuration – JDBC Connection Information

SAS Deployment Wizard

SAS Enterprise Case Management Server JDBC Properties
Specify the connection information for JDBC on server machine

Username:
CASEMGMT

Password:

Confirm Password:

Path to JDBC jar file:
D:\sqlserver-jdbc-driver\sqljdbc4.jar

You must enter information for the following text boxes:

Username

specifies the user name for the SQLServer database.

Password

specifies a valid password for the user name that is associated with the SQLServer database.

Confirm Password

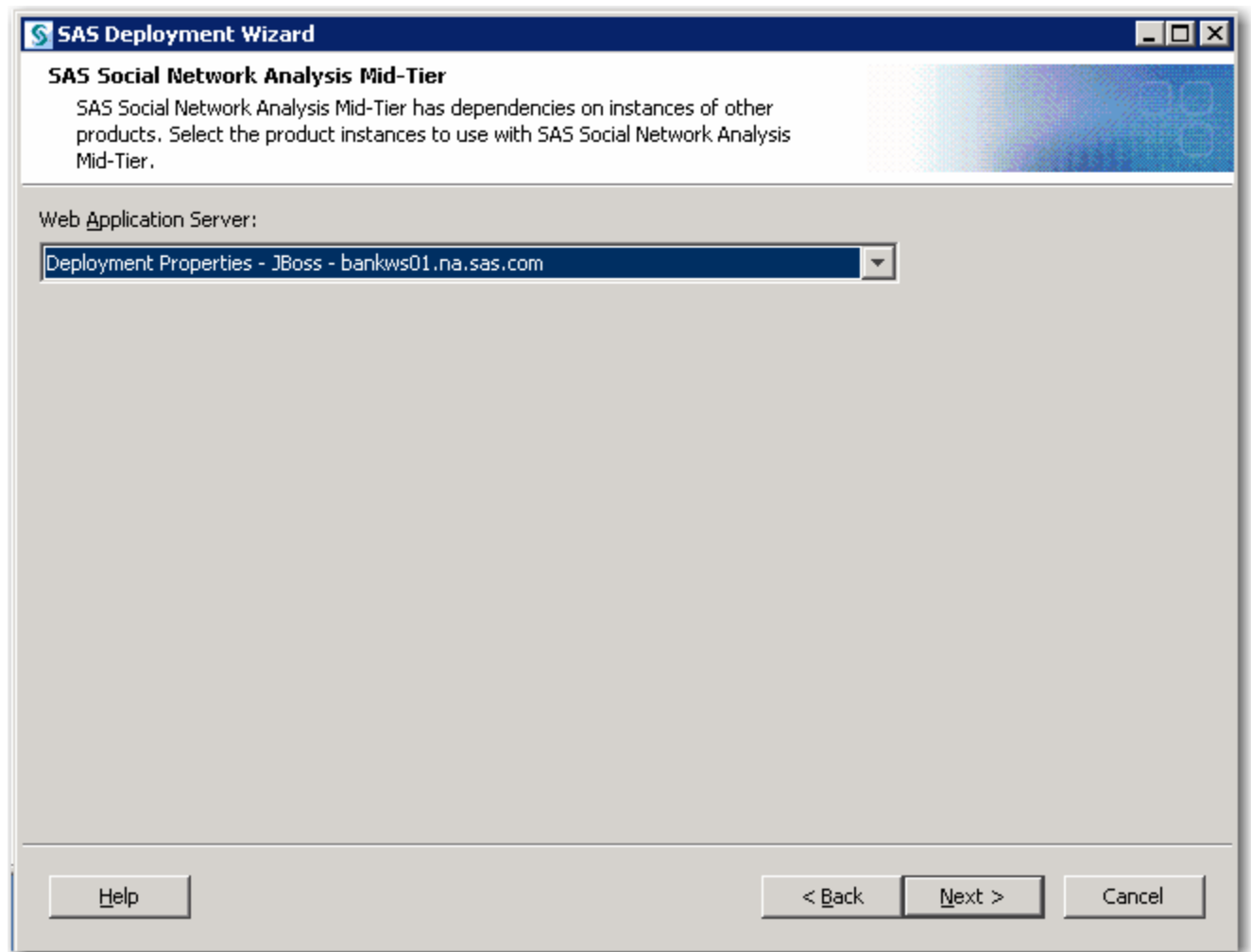
confirms the password for the user name for the SQLServer database.

Path to JDBC Jar file

specifies the path to the JDBC JAR file that is provided by the database vendor. This file facilitates Java access and must be available on this host in order for configuration to occur.

The following page prompts you for SAS Social Network Analysis middle-tier information.

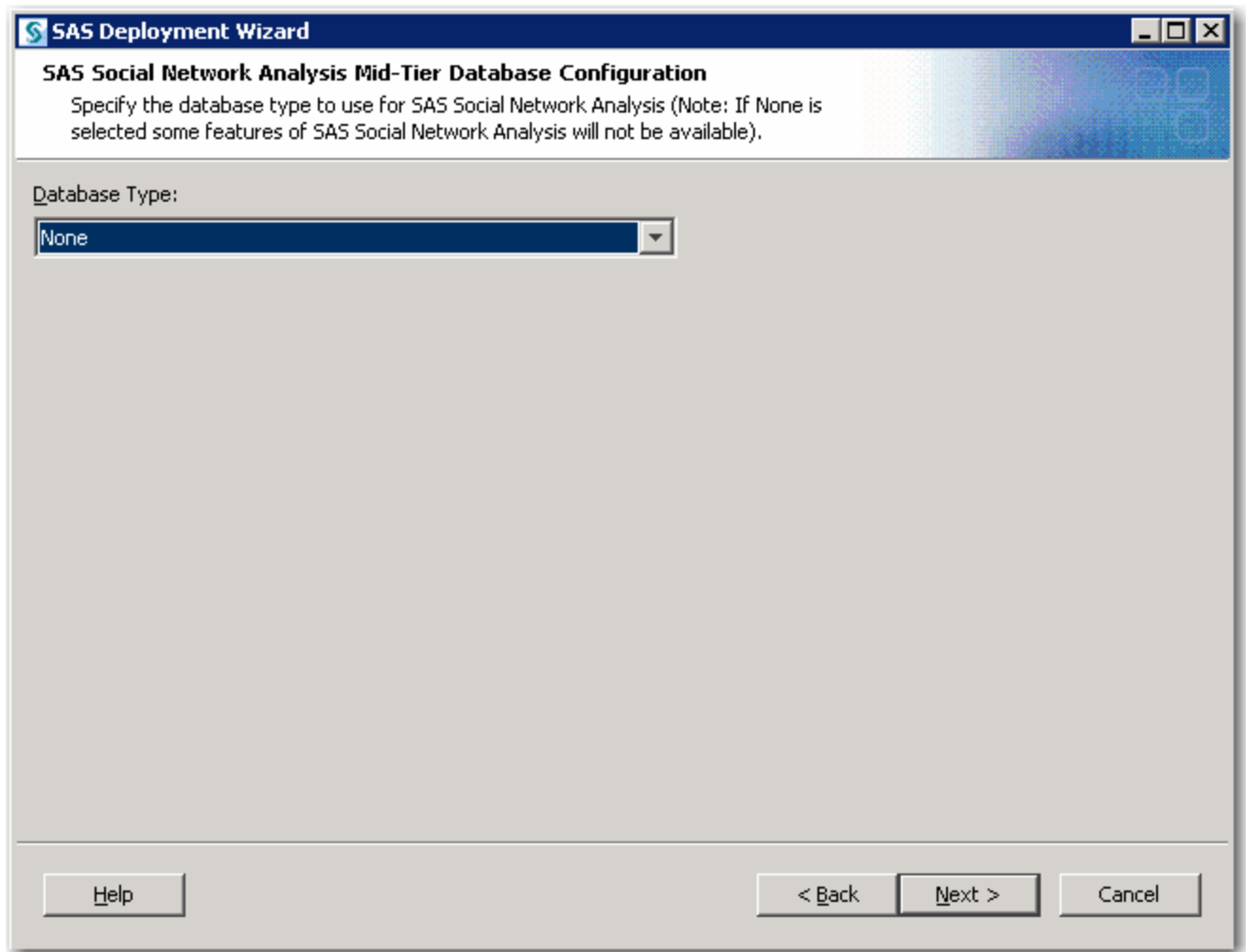
Display 3.12 SAS Enterprise Case Management Middle-Tier Configuration – SAS Social Network Analysis Information



Select the Web application server from the drop-down box, and click **Next**.

The following page prompts you for the database type to use for SAS Social Network Analysis.

Display 3.13 SAS Enterprise Case Management Middle-Tier Configuration – SAS Social Network Analysis Database Information



Select the database type from the drop-down box, and click **Next**.

Note: SAS Social Network Analysis is bundled with SAS Enterprise Case Management. If you have purchased a full license for SAS Social Network Analysis, then select the correct database type to ensure you have access to all the features. If you have not purchased a full license for SAS Social Network Analysis, choose **None** as the database type.

Reviewing the Instructions.html File

After you have installed and configured your SAS software, the SAS Deployment Wizard writes an instructions file called `Instructions.html` to the **Documents** directory in your SAS configuration directory. The `Instructions.html` file contains additional information and details for configuring your installation. You can review this file for any additional steps to your installation.

Default File Locations

The following table shows the default locations of the directories and files that are installed with SAS Enterprise Case Management.

Table 3.1 Default File Locations

Directory/File	Windows Path	UNIX Path
<i>SAS_HOME</i>	C:\Program Files\SAS	<install-dir>/SAS93
<i>!SASROOT</i>	C:\Program Files\SAS\SAS Foundation\9.3	<install-dir>/SAS/SASFoundation/9.3
<i>SAS_CONFIG</i>	C:\SAS\Config\Lev<num>	<install-dir>/SAS/Config/Lev<num>
SAS Enterprise Case Management Server <casemgmtmva>	<i>SAS_CONFIG</i> \Applications\SASCaseManagementServerCfg\3.1	<i>SAS_CONFIG</i> /Applications/SASCaseManagementServerCfg/3.1
SAS Enterprise Case Management Middle-Tier Staging Directory	<i>SAS_CONFIG</i> \Web\Staging	<i>SAS_CONFIG</i> /Web/Staging
SAS Enterprise Case Management Stored Processes	<i>SAS_CONFIG</i> \Applications\SASCaseManagementServerCfg\3.1\sasstp	<i>SAS_CONFIG</i> /Applications/SASCaseManagementServerCfg/3.1/sasstp
SAS Enterprise Case Management Macro Definitions	<i>!SASROOT</i> \casemgmtmva\ucmacros and <i>SAS_CONFIG</i> \Applications\SASCaseManagementServerCfg\3.1\ucmacros	<i>!SASROOT</i> /ucmacros/casemgmtmva and <i>SAS_CONFIG</i> /Applications/SASCaseManagementServerCfg/3.1/macros
SAS Deployment Wizard Summary	<i>SAS_CONFIG</i> \Documents\DeploymentSummary.html	<i>SAS_CONFIG</i> /Documents/DeploymentSummary.html
Configuration Logs	<i>SAS_CONFIG</i> \Logs\Configure	<i>SAS_CONFIG</i> /Logs/Configure
SAS Enterprise Case Management Middle-Tier Web Log	<i>SAS_CONFIG</i> \Web\Logs\SASEntCaseManagement3.1.log	<i>SAS_CONFIG</i> /Web/Logs/SASEntCaseManagement3.1.log

Updating the SAS SID File

The SAS installation data (SID) file will need to be updated at the appropriate SAS renewal period. The correct method to update an SID for Enterprise Case Management is to use the SAS Deployment Manager to apply the SID. Updating the SID file using the SAS License and Renewal feature for Base SAS installation will not update the system correctly. The SNA graph will not display and the SNA application log files will log a license error.

Apply All SAS Hotfix Updates

After completing the post-installation steps, apply any hotfixes required for all SAS components listed in your order. The SAS 9.3 hotfix download is found at: http://ftp.sas.com/techsup/download/hotfix/HF2/93_all_hosts.html.

Chapter 4

Post-installation Requirements and Tasks

Post-installation Overview	32
Clustering Support	32
Customizing Your SAS Enterprise Case Management Installation	32
Setting User Permission to the E-File Directory	32
Loading the SAS Enterprise Case Management Configuration Tables	32
Deploying SAS Enterprise Case Management Using WebLogic 10.3	33
Defining Users, Groups, and Roles	34
Overview	34
Defining Users in SAS Management Console	38
Defining Groups in SAS Management Console	39
Defining an Administrative User in the SAS Metadata Repository	39
Defining Roles for SAS Enterprise Case Management Access	40
Uploading Definitions and Properties	40
Uploading Workflow Definitions	40
Uploading User Interface Definitions	41
Uploading Custom Properties	42
Clearing the Cache	42
Capabilities in SAS Enterprise Case Management	43
Associating Capabilities	43
SAS Enterprise Case Management Capabilities – User Interface Impact	46
Subscriptions and Notifications	49
Introduction to Subscriptions and Notifications	49
Event Alert Notifications	50
Case Report Notifications	54
Task List Notifications	54
Adjusting the Reminder Interval for the Task List	55
Notifications and the SAS Information Delivery Portal	56
Configuring the SAS Information Delivery Portal for SAS Enterprise Case Management	56
What to Expect from the Portal	57
Controlling Alert Notifications from the SAS Preferences Manager	57
Configuring the Web Service	59
Deploy SAS Spelling Correction	60
Installation	60
Configuration of SAS Spelling Correction	62

Deploying Case Network Analysis Graph Functionality in an HTTPS Web Environment	63
---	----

Post-installation Overview

At the end of the installation process, the SAS Deployment Wizard produces an HTML document named `Instructions.html`. If your server tier and middle tier are hosted on separate machines, you will have an `Instructions.html` file for each machine. To complete your installation, you will need the information that is provided in `Instructions.html` and the information specific to SAS Enterprise Case Management that is documented in this chapter.

Clustering Support

You can deploy SAS Enterprise Case Management in a cluster, providing fault tolerance and scalability. SAS Enterprise Case Management has the same limitations as the SAS Intelligence Platform, whereby each user's session must be tied to a single server. For more information about how to configure SAS Web applications in a cluster, see the *SAS Intelligence Platform: Middle-Tier Administration Guide* at <http://support.sas.com/documentation/onlinedoc/intellplatform>.

Customizing Your SAS Enterprise Case Management Installation

Setting User Permission to the E-File Directory

The SAS Enterprise Case Management stored process generates e-files for regulatory reporting. The network account defined as the SAS Spawnd Servers account should have Write permission to the `SAS_CONFIG/Applications/SASCaseManagementServerCfg/3.1/Source/efiles` directory.

Loading the SAS Enterprise Case Management Configuration Tables

After you have completed your installation, you should customize your SAS Enterprise Case Management installation. To populate the SAS Enterprise Case Management configuration tables, you should provide your own data. For example, the following SAS files contain sample data:

- `load_post_install_data.sas`
- `loadrr_config_fincen_base.sas`
- `loadrr_config_fincen_sardi.sas`
- `loadrr_config_fincen_ctr.sas`

Note: The `load_post_install_data.sas` file must be executed before `load_fincen_sar_di_data.sas` is executed.

To execute these sample files, follow these steps:

1. From the SAS Enterprise Case Management configuration directory (`/Lev1/Applications/SASCaseManagementServerCfg/3.1/Source/control`), start a SAS session and open the `ecm_autoexec.sas` file in the session.
2. Select **Run** to execute this file in an interactive SAS session.
3. Enter `%ecm_db_connect;` in the program editor, and click **Run** to execute the macro. Verify that the SAS Enterprise Case Management tables were created in `ecm_db`. If SAS is terminated when executing this macro, you are not a valid SAS Enterprise Case Management user. You should either log on with the installer ID or add yourself as a SAS Enterprise Case Management user. The instructions for defining a SAS Enterprise Case Management user is discussed in [“Defining Users in SAS Management Console” on page 38](#).
4. From the following directory, depending on your platform, open the `load_post_install_data.sas` file in SAS:
 - Windows platforms: `!SASROOT\casemgmtmva\sasmisc\sample\config`
 - UNIX platforms: `!SASROOT/misc/casemgmtmva/sample/config`
5. Select **Run** to execute this file in SAS.
6. From the directory used in step 4, open the `loadrr_config_fincen_base.sas` file in SAS.
7. Select **Run** to execute this file in SAS.
8. Repeat steps 6 and 7 for `loadrr_config_fincen_sardi.sas` and `load_rr_config_fincen_ctr.sas`.

Note: The samples must be executed in the specified order.

Deploying SAS Enterprise Case Management Using WebLogic 10.3

If you are deploying SAS Enterprise Case Management with the Web application server WebLogic 10.3, you should make additional changes after the SAS Deployment Wizard has completed. The following steps apply if you are installing WebLogic 10.3:

1. From the home page of the WebLogic Administrative Console, under **Domain Structure**, select **Services** ⇒ **Messaging** ⇒ **JMS Modules**.
2. Select `sasJmsSystemResource`.
3. Select `SASQueueConnectionFactory`.
4. Select the **Transactions** tab.
5. Check the **XA Connection Factory Enabled** box.

To apply the JDBC connection workaround, follow these steps:

1. Under **Domain Structure**, select **Services** ⇒ **JDBC** ⇒ **Data Sources**.
2. Select **SharedServices**.
3. Select the **Connection Pool** tab. Enter the following values:
 - **Maximum capacity:** `1`

- **Capacity increment:** 1
 - **Statement Cache Size:** 0
4. Save the changes to the JDBC connection. Activate the changes.
 5. Restart the Web application server.

Defining Users, Groups, and Roles

Overview

You must configure users, groups, and roles to use SAS Enterprise Case Management. The deployment process created several groups and roles for SAS Enterprise Case Management that you can use to get started.

Users

Every user who needs to log on to the SAS Enterprise Case Management Web application must be defined in the SAS Metadata Repository and be associated with one or more groups and one or more roles that have one or more capabilities within SAS Enterprise Case Management. Every SAS Enterprise Case Management user should be a member of the Enterprise Case Management Users group.

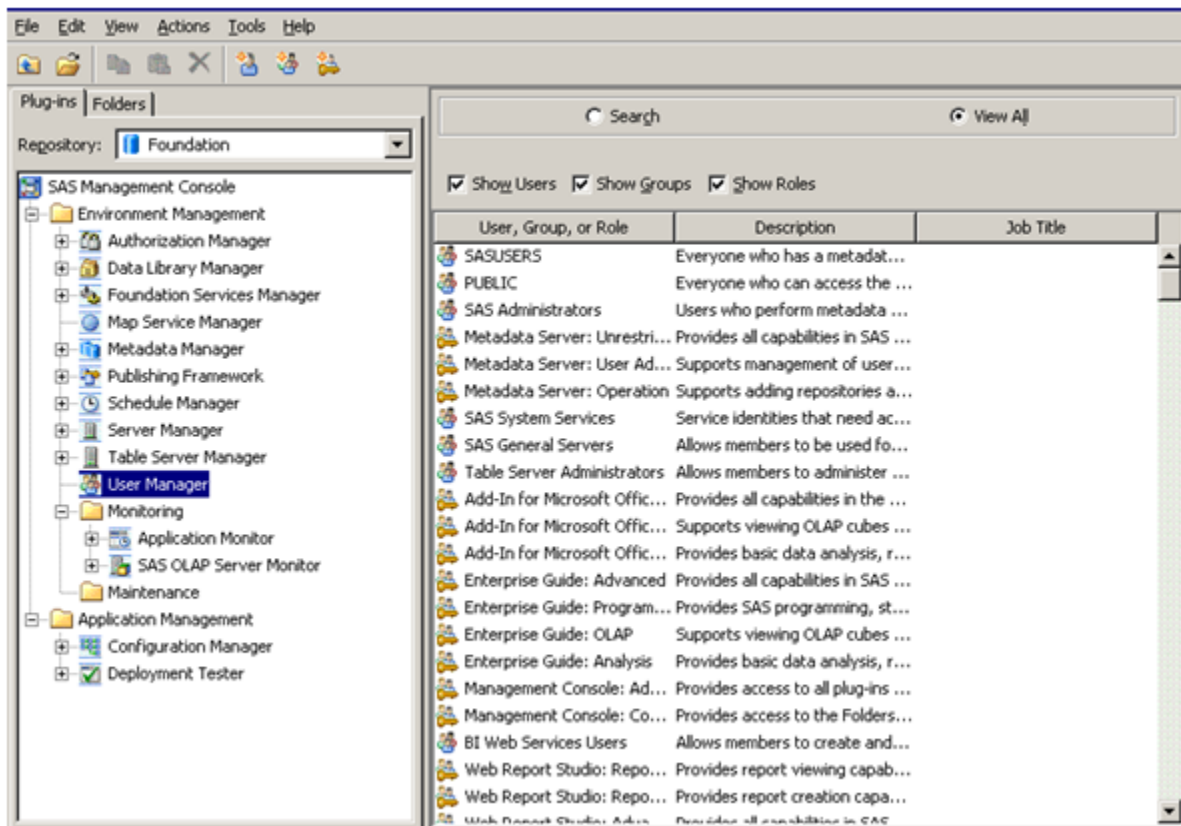
Groups

A group is a group of users classified by common traits or common data access levels. Groups are typically used for giving users access to data. Groups can also be used within workflows to allow a restricted set of users to perform an activity. The Enterprise Case Management Users group is pre-loaded during installation. It enables members to access SAS Enterprise Case Management. You must define all other groups.

Roles

A role provides a grouping functionality. Roles determine what a user can do within the application. Roles can also be used within workflows to allow a restricted set of users to perform an activity. The Case Management: Advanced role is pre-loaded during installation. It provides all capabilities in SAS Enterprise Case Management. You must define all other roles.

Groups, roles, and users are defined with the User Manager function in SAS Management Console, as shown in the following display.

Display 4.1 User Manager – SAS Management Console

Note: For specific information about defining users, groups, and roles, see the *SAS Management Console: Guide to Users and Permissions*.

Groups and roles can be used as drop-down lists when configured as reference tables on the search panel, as shown in the following display. Preparation of reference tables and search panels are discussed in [Customizing SAS Enterprise Case Management on page 66](#).

Display 4.2 Groups and Roles — Drop-down List

SAS Enterprise Case Management

Cases Incidents Subjects Administration

New Case...

Search Cases

Case ID:

Description:

Type:

Category:

Case status:

Principal investigator:

Priority:

Final disposition:

Date created:

From:

To:

Date last modified:

From:

To:

ECM Administrator

R&D Test User 0001

R&D Test User 0002

R&D Test User 0003

R&D Test User 0004

R&D Test User 0005

R&D Test User 0006

R&D Test User 0007

R&D Test User 0008

R&D Test User 0009

R&D Test User 0010

R&D Test User 0011

R&D Test User 0012

R&D Test User 0013

(mm/dd/yyyy)

(mm/dd/yyyy)

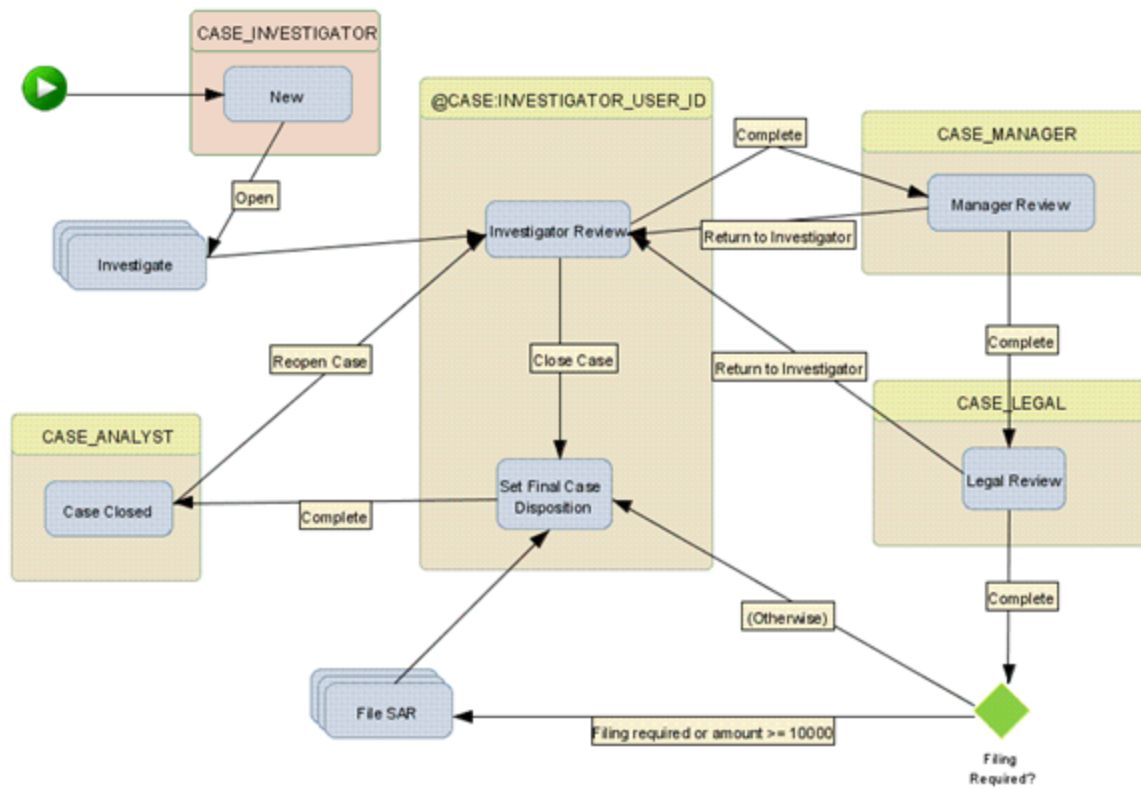
(mm/dd/yyyy)

(mm/dd/yyyy)

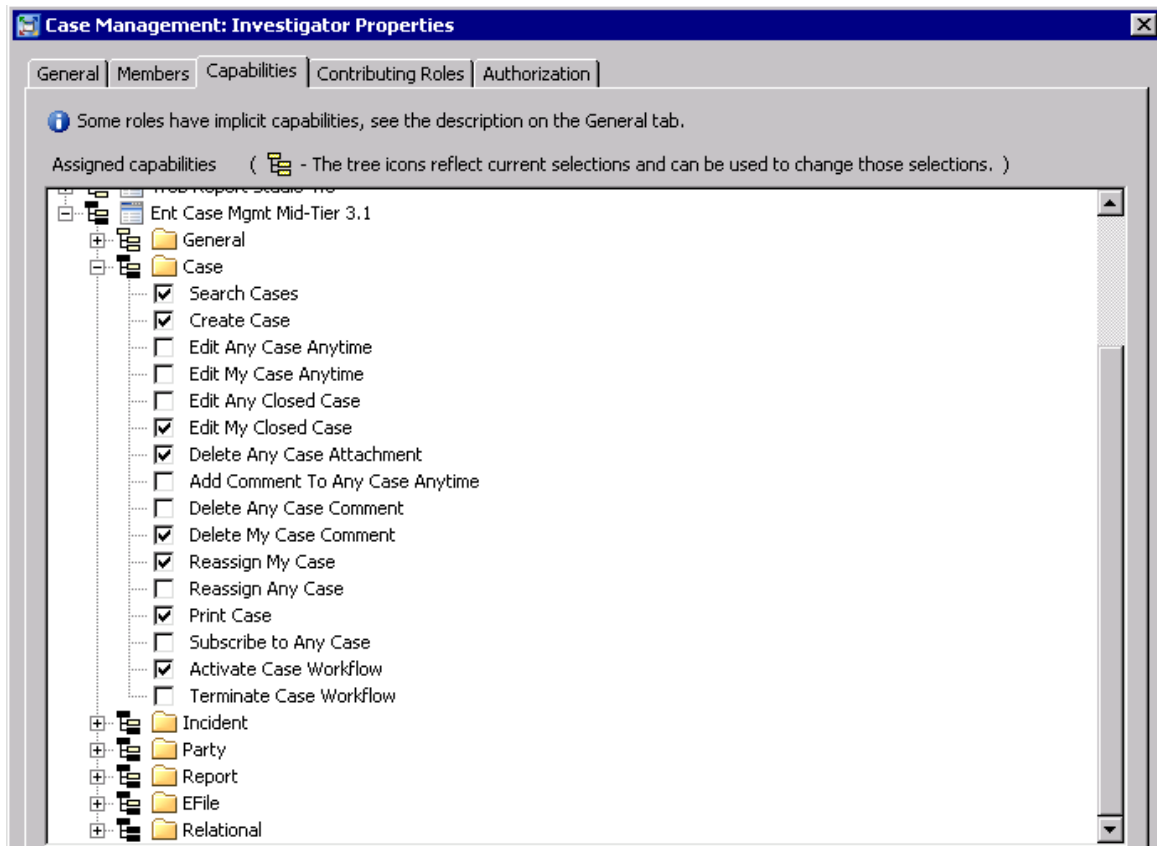
Groups, roles and users can be used in workflow definitions to determine who can perform activities in the investigative process. In the following display, the following roles are referenced in the workflow definition:

- CASE_INVESTIGATOR
- CASE_ANALYST
- CASE_MANAGER
- CASE_LEGAL

The display also shows the data object @CASE_INVESTIGATOR_USER_ID, which refers to the primary owner of the case. For more information about how the primary owner is set, see [“Assigning the Primary Owner to a Case” on page 193](#).

Display 4.3 Roles – Workflow Definition

Capabilities can be associated with roles in the SAS Management Console as shown in the following display.

Display 4.4 Roles – Capabilities

Groups, roles and users can be referenced in user interface definitions. The following user interface definition shows how to get the display name for the primary owner for a case.

```
<field name="CASE.INVESTIGATOR_USER_ID" type="string" required="false"
  values="GetUserDisplayName(CASE.INVESTIGATOR_USER_ID)">
  <label>
    <message key="field.case.investigator_user_id.label.txt" />
  </label>
</field>
```

Users and groups can be referenced in configuration tables. You can specify the primary owner for newly created cases. You can also specify the initial set of groups that have access to newly created cases, incidents, and parties.

Defining Users in SAS Management Console

To define users, log on to the SAS Management Console as a user who has the capability to manage users, groups, and roles. For details, see the online Help for the New User page.

1. Select **Administration** ⇒ **Users and Group** ⇒ **Users** ⇒ **New**.
2. Click the **User Manager** plug-in.
3. Select **Actions** ⇒ **New** ⇒ **User**. The New User Properties window appears.
4. Enter valid data in the **Name** and **Display Name** text boxes.

5. Select the **Groups and Roles** tab.
6. Click the **Accounts** tab.
7. Click **New**. The New Login Properties dialog box appears.
8. Define login information for the user.
9. Click **OK**. For more information, see the online Help in SAS Management Console or *SAS Management Console: Guide to Users and Permissions*.

Defining Groups in SAS Management Console

A SAS Enterprise Case Management group is defined by default during installation. If necessary, you can add users to this group to access SAS Enterprise Case Management. To create additional groups in SAS Management Console, complete these steps:

1. Log on to SAS Management Console as sasadm or as a user who has the capability to manage users, groups, and roles.
2. Click the **User Manager** plug-in.
3. Select **Actions** ⇒ **New** ⇒ **Group**. The New Group Properties window appears.
4. Enter valid data in the **Name** and **Display Name** text boxes.
5. Select the **Members** tab.
6. From the **Available Identities** list, select users that you want to be members of the group and move them to the **Current Members** list. Note that you can define users later if they are not yet defined.
7. Click **OK**.

For more information, see the online Help in SAS Management Console or *SAS Management Console: Guide to Users and Permissions*.

Defining an Administrative User in the SAS Metadata Repository

There is no limit to the number of users that can administer SAS Enterprise Case Management. However, there must be at least one. The following steps describe creating users in SAS metadata to act as SAS Enterprise Case Management administrators.

A SAS Enterprise Case Management installer user account, SASInstall, is automatically created during configuration. However, to complete the rest of the setup through the SAS Enterprise Case Management Web application, the installer needs to have administrative privileges. In SAS Management Console:

1. Select the **User Manager** tab.
2. Right-click **SASInstall**, and select **Properties**.
3. If you want to create a new user instead of using SASInstall, select **New User** and enter the required information for the user, including adding a user account on the **Accounts** tab.
4. Make the user a member of the Enterprise Case Management Users group on the **Groups and Roles** tab.
5. Make the user a member of a role (or a group that is a member of a role) that has administrative capabilities for SAS Enterprise Case Management.

Defining Roles for SAS Enterprise Case Management Access

Roles in SAS Enterprise Case Management are activity based. Roles are granted to users and are cumulative. For example, if a user is assigned to more than one role, then the capabilities will always honor the grant. If role 1 grants a user a specific capability but role 2 does not, the user will still have the capability. The **Advanced** role is provided with your SAS Enterprise Case Management installation by default.

Note: A user can be associated with a maximum of 22 roles and groups.

1. Log on to SAS Management Console as sasadm or as a user who has the capability to manage users, groups, and roles.
2. Click the **User Manager** plug-in.
3. Select **Actions** ⇒ **New** ⇒ **Role**. The New Role Properties window appears.
4. Enter valid data in the **Name** and **Display Name** text boxes.
5. Select the **Members** tab.
6. From the **Available Identities** list, select users that you want to assign to the role and move them to the **Current Members** list. Note that you can define users later if they are not yet defined.
7. Select the **Capabilities** tab. All of the capabilities from all of the installed applications are displayed.
8. You can select a capability or capabilities.
9. Click **OK**. For more information, see the online Help in SAS Management Console or *SAS Management Console: Guide to Users and Permissions*.

Uploading Definitions and Properties

Uploading Workflow Definitions

After you have set up and populated your database, you must upload your workflow definitions. You can provide your own workflow definitions or use the samples. Samples of the workflow definitions can be found from one of the following paths:

- Windows platforms: `!SASROOT\casemgmtmva\sasmisc\sample\workflow`
- UNIX platforms: `!SASROOT/misc/casemgmtmva/sample/workflow`

To use these samples, follow these steps:

1. Start the Windows Workflow Studio Client by selecting **All Programs** ⇒ **SAS** ⇒ **SAS Workflow Studio 1.2**. For UNIX, you must copy the workflow to a directory that is accessible by SAS Workflow Studio.

Note: SAS Workflow Studio will likely be located in `C:\Program Files\SASHome\SASWorkflowStudio\1.2`.

2. Log on to the workflow engine by selecting **Server** ⇒ **Logon**. Connect to the Web server running the BI Web services using `http://<hostname>:<portnumber>`. This is an application that is part of the Web Infrastructure Platform (WIP), which by default is usually running on server 1, if there are multiple Web servers running the

SAS middle-tier Web applications (for example, `http://hostname.sas.com:8080`). Use a SAS Enterprise Case Management administrator user that you created earlier to connect.

3. In the **File** menu, open all of the XML files from the sample directory.
4. Complete the following steps for the `SERVER_URL` data object when you create new workflows or when you upload the sample workflows.
 - a. In the process tree list of the workflow that you are working on, expand **Data Objects**.
 - b. Right-click `SERVER_URL` and select **Edit** to edit the data object. Follow the comment in the description to specify the host name and port number of the SAS Enterprise Case Management Web application (for example, `http://hostname.sas.com:8780`) in **Properties Value**.
 - c. Upload the edited workflow process template by choosing **Server** ⇒ **Save to Repository**.
 - d. Click **Activate** at the bottom of the dialog box if you would also like to activate the current version in workflow.

Note: If you do not click **Activate** for a workflow that is being uploaded for the first time, you will receive an error telling you that an invalid workflow has been configured when you open cases that are configured to use that workflow.

5. Select the **Upload** option for each workflow definition file.

Uploading User Interface Definitions

After you have uploaded your workflow definitions, you must upload the user interface definitions from one of the following paths:

- Windows platforms: `!SASROOT\casemgmtmva\sasmisc\install\uidef`
- UNIX platforms: `!SASROOT/misc/casemgmtmva/install/uidef`

First, upload the user interface definitions for the standard object creation dialog boxes by performing the following steps:

1. Log on to SAS Enterprise Case Management as the admin user.
2. Select **Clear Cache** on the **Administration** tab to ensure that the application has the latest configuration data.
3. Select **UI Definitions** on the **Administration** tab.
4. Upload the needed user interface definitions. You must upload each file individually.

After uploading the object creation dialog boxes, upload user interface definitions for each entity type. Sample user interface definition files are provided from one of the following paths:

- Windows platforms: `!SASROOT\casemgmtmva\sasmisc\sample\uidef`
- UNIX platforms: `!SASROOT/misc/casemgmtmva/sample/uidef`

To upload the samples, follow these steps:

1. Select **UI Definitions** on the **Administration** tab.
2. Upload the needed user interface definitions. You must upload each file individually.

Uploading Custom Properties

After you have uploaded your user interface definitions, you can upload your custom property definitions in SAS Enterprise Case Management from one of the following paths:

- Windows platforms: `!SASROOT\casemgmtmva\sasmisc\sample\properties`
- UNIX platforms: `!SASROOT/misc/casemgmtmva/sample/properties`

For example, the following file that contains sample custom properties can be executed. To use this sample, follow these steps:

1. Log on to SAS Enterprise Case Management as the admin user.
2. Select **Custom Property Files** on the **Administration** tab.
3. Upload the needed custom property definitions. You must upload the files individually.
4. After all the custom properties files have been uploaded, click **Refresh Report Mart Labels** on the **Administration** tab.

Note: Report mart labels should be refreshed any time changes are made to the table or column label translations in any of the custom properties files or any time a new language is added to the ECM_LOCALE table. Report mart labels are generated only for the locales in the ECM_LOCALE table.

Clearing the Cache

SAS Enterprise Case Management caches various configuration data in memory for better performance. The following configurations are cached in memory:

- all user-defined field definitions
- all static and user-defined reference table values
- all user display names defined in the SAS Metadata Repository
- all search panel configurations
- all custom resource bundle properties
- all properties defined in the SAS Metadata Repository for SAS Enterprise Case Management

If any of the above configurations are changed, then the administrator should go to the **Administration** tab in the SAS Enterprise Case Management Web application and select the **Clear Cache** menu option to clear cached data.

Note: If the SAS Enterprise Case Management Web application is deployed on multiple servers, then the clear cache action needs to be performed on all servers in the cluster.

Capabilities in SAS Enterprise Case Management

Associating Capabilities

SAS Enterprise Case Management provides various functional capabilities that are applicable to cases, incidents, parties, reports, and e-files. The following tables show the different capabilities.

Note: All the capabilities listed apply to the **Advanced** role in SAS Enterprise Case Management.

Table 4.1 SAS Enterprise Case Management – Case Capabilities

Case Capability	Description
Search Cases	Enables users to search for cases. Users must have the Search Cases capability for any of the subsequent capabilities to take effect.
Create Case	Enables users to create a case.
Edit Any Case Anytime	Enables users to edit any case anytime.
Edit My Case Anytime	Enables users to edit their own cases anytime.
Edit Any Closed Case	Enables users to edit any closed case.
Edit My Closed Case	Enables users to edit their own closed cases.
Add Comment To Any Case Anytime	Enables users to add a comment to any case anytime.
Delete Any Case Attachment	Enables users to delete any case attachment.
Delete Any Case Comment	Enables users to delete any case comment.
Delete My Case Comment	Enables users to delete any case comment that they created.
Reassign Any Case	Enables users to set the primary owner for any case and unlock any case.
Reassign My Case	Enables users to reassign any case that they own.
Subscribe to Any Case	Enables users to subscribe to any case for alerting when the case is modified.
Print Case	Enables users to preview or generate a printable case report.
Activate Case Workflow	Enables users to activate a particular workflow for the case.
Terminate Case Workflow	Enables users to terminate a workflow associated with the case.

Table 4.2 SAS Enterprise Case Management – Incident Capabilities

Incident Capabilities	Description
Search Incidents	Enables users to search for incidents. Users must have the Search Incidents capability for any of the subsequent capabilities to take effect.
Create Incident	Enables users to create an incident.
Edit Incident	Enables users to edit an incident.
Delete Any Incident Attachment	Enables users to delete any incident attachment.
Add Comment to Any Incident	Enables users to add a comment to any incident.
Delete Any Incident Comment	Enables users to delete any incident comment.
Delete My Incident Comment	Enables users to delete any incident comment that they created.
Subscribe to Any Incident	Enables users to subscribe to any incident for alerting when the incident is modified.

Table 4.3 SAS Enterprise Case Management – Party Capabilities

Party Capability	Description
Search Parties	Enables users to search for parties. Users must have the Search Parties capability for any of the subsequent capabilities to take effect.
Create Party	Enables users to create a party or subject.
Edit Party	Enables users to edit a party or subject.
Delete Any Party Attachment	Enables users to delete any party or subject attachment.
Add Comment To Any Party	Enables users to add a comment to any party or subject.
Delete Any Party Comment	Enables users to delete any party or subject comment.
Delete My Party Comment	Enables users to delete any party or subject comment that they created.
Subscribe to Any Subject	Enables users to subscribe to any party or subject for alerting when the party or subject is modified.

Table 4.4 SAS Enterprise Case Management – E-Filing Capabilities

E-File Capability	Description
Search E-Files	Enables users to search for e-files. Users must have the Search E-Files capability for any of the subsequent capabilities to take effect.
Create E-File	Enables users to create an e-file or subject.
Edit E-File	Enables users to edit an e-file or subject.
Delete Any E-File Attachment	Enables users to delete any e-file or subject attachment.
Add Attachment to E-File	Enables users to add an attachment to any e-file.
Add Comment To Any E-File	Enables users to add a comment to any e-file or subject.
Delete Any E-File Comment	Enables users to delete any e-file or subject comment.
Delete My E-File Comment	Enables users to delete any e-file or subject comment that they created.
Subscribe to Any E-File	Enables users to subscribe to any e-file or subject for alerting when the e-file or subject is modified.

Table 4.5 SAS Enterprise Case Management – Report Capabilities

Report Capability	Description
Search Reports	Enables users to search for reports. Users must have the Search Reports capability for any of the subsequent capabilities to take effect.
Create Report	Enables users to create a report.
Edit Report	Enables users to edit any report.
Add Comment To Any Report	Enables users to add a comment to a report.
Delete Any Report Attachment	Enables users to delete any report attachment.
Delete Any Report Comment	Enables users to delete any report comment.
Delete My Report Comment	Enables users to delete any report comment that they created.
Subscribe to Any Report	Enables users to subscribe to any report for alerting when the report is modified.

Report Capability	Description
Add Attachment to Report	Enables users to add an attachment to a report.
Activate Report Workflow	Enables user to activate a particular workflow for the report.
Terminate Report Workflow	Enables the user to terminate a workflow associated with the report.

Table 4.6 SAS Enterprise Case Management – Relational Capabilities

Relational Capability	Description
Add Incident To Case	Enables users to add an incident to a case.

Table 4.7 SAS Enterprise Case Management – General Capabilities

General Capability	Description
Administration	Enables users to perform any administrative task within the Administration tab.

SAS Enterprise Case Management Capabilities – User Interface Impact

Case Capability	User Interface Impact
Search Cases	The Cases application tab is visible.
Create Case	The New Case action is visible on the cases search panel toolbar.
Edit Any Case Anytime	The Edit menu action is always enabled.
Edit My Case Anytime	The Edit menu action is always enabled for any case that the user owns.
Edit Any Closed Case	The Edit menu action is always enabled for any closed case.
Edit My Closed Case	The Edit menu action is always enabled for any closed case that the user owns.
Delete Any Case Attachment	The Delete Attachment action icon is visible for all attachments on any case the user can edit.

Case Capability	User Interface Impact
Delete Any Case Comment	The Delete Comment action icon is visible for all comments on any case the user can edit or add a comment to.
Delete My Case Comment	The Delete Comment action icon is visible for all comments created by the user on any case the user can edit or add a comment to.
Add Comment To Any Case Anytime	The Comment input fields and button are always visible. Without this capability, the Comment input fields and button are visible only on cases the user can edit.
Reassign Any Case	The Set Primary Owner and Unlock menu actions for a case are always enabled.
Reassign My Case	The Reassign Case menu action is enabled for cases that the user owns.
Print Case	Enables users to preview or generate a printable case report.
Activate Case Workflow	Enables users to activate a particular workflow for the case.
Terminate Case Workflow	Enables users to terminate a workflow associated with the case.
Incident Capability	User Interface Impact
Search Incidents	The Incidents application tab is visible.
Create Incident	The New Incident action is visible on the incident search panel toolbar.
Edit Incident	The Edit menu action is always enabled.
Delete Any Incident Attachment	The Delete Attachment action icon is visible for all attachments on any incident the user can edit.
Add Comment To Any Incident	The Comment input fields and button are always visible. Without this capability, the Comment input fields and button are visible only on incidents the user can edit.
Delete Any Incident Comment	The Delete Comment action icon is visible for all comments on any incident the user can edit or add a comment to.
Delete My Incident Comment	The Delete Comment action icon is visible for all comments created by the user on any incident the user can edit or add a comment to.

Party Capability	User Interface Impact
Search Parties	The Subjects application tab is visible.
Create Party	The New Subject action is visible on the party search panel toolbar.
Edit Party	The Edit menu action is always enabled.
Delete Any Party Attachment	The Delete Attachment action icon is visible for all attachments on any party the user can edit.
Add Comment To Any Party	The Comment input fields and button are always visible. Without this capability, the Comment input fields and button are visible only on parties the user can edit.
Delete Any Party Comment	The Delete Comment action icon is visible for all comments on any party the user can edit or add a comment to.
Delete My Party Comment	The Delete Comment action icon is visible for all comments created by the user on any party the user can edit or add a comment to.
Report Capability	User Interface Impact
Search Reports	The Reports application tab is visible.
Create Reports	The Add Report action is visible on the table of reports in a case or incident.
Edit Reports	The Edit menu action is always enabled.
Delete Any Report Attachment	The Delete Attachment action icon is visible for all attachments on any report the user can edit.
Delete Any Report Comment	The Delete Comment action icon is visible for all comments on any report the user can edit or add a comment to.
Delete My Report Attachment	The Delete Comment action icon is visible for all comments created by the user on any report the user can edit or add a comment to.
Add Comment To Any Report Anytime	The Comment input fields and button are always visible. Without this capability, the Comment input fields and button are visible only on reports the user can edit.
Activate Report Workflow	Enables users to activate a particular workflow for the report.

Report Capability	User Interface Impact
Terminate Report Workflow	Enables users to terminate a workflow associated with the report.
Relational Capability	User Interface Impact
Add Incident To Case	The Related Cases menu action (on incident search panel) and toolbar action (on incident detail panel) are always enabled for unassigned incidents.
General Capability	User Interface Impact
Administration	The Administration application tab is visible.

You should consider the following specific details about capabilities and workflows and their impact on the SAS Enterprise Case Management user interface:

- If a user is able to work on a workflow activity as defined in the associated workflow for a case, then that case can be edited, regardless of the user's capabilities.
- Attachments can be added only to cases, incidents, or parties that can be edited. In addition, you can add only attachments that are 50 MB or less in size.
- Security access and restrictions within the case, incident, and party detail panels are controlled from within the user interface definitions.

Subscriptions and Notifications

Introduction to Subscriptions and Notifications

Several features in SAS Enterprise Case Management can be used to send notifications that are similar to Microsoft Outlook's task reminders.

- Users can subscribe to entities.
- Users can generate case reports offline and be notified whether these reports were generated successfully.
- Users can set reminders for tasks in the Task List component.

By default, SAS Enterprise Case Management is installed with templates for plain-text formatted e-mail, HTML formatted e-mail, and SMS messaging. The templates reside on the content server under the path `/sasdav/Templates/notifications/en`.

A utility included with the SAS installation can be found under `SAS_CONFIG/Web/Utilities/DAVTree.bat`. You can browse the content server by using this utility and opening the following URL: `http://localhost:8080/SASContentServer/repository/default`. Use your SAS Administrator user name and password to log on.

You can modify the templates in place or add templates for additional languages using the DAVTree tool. To modify a template, right-click it and select **Edit**. After you have finished with your modifications, click **Save**. Since templates are cached in the content server, restart Remote Services, SAS Server 1, and SAS Server 8 when you have finished modifying the template. Keep in mind that template names must remain the same.

The following sections outline the features that can send notifications and describe the templates.

Note: Special characters such as < > and & will be encoded in plain text and SMS notifications.

Event Alert Notifications

From the Search pop-up menu on the case, incident, party, report, or e-file and the menu bar on the case, incident, party, report, or e-file itself, a user has the option to subscribe to the entity to be alerted of changes. Any saved change to the entity triggers an alert notification using one of three templates. The success template names for case notifications are as follows:

- SAS_Solutions_ECM_Subscriber_Case.html (for HTML formatted e-mail)
- SAS_Solutions_ECM_Subscriber_Case.txt (for plain-text formatted e-mail)
- SAS_Solutions_ECM_Subscriber_Case.sms (for text messaging)
- SAS_Solutions_ECM_Subscriber_Case_Attachment.html
- SAS_Solutions_ECM_Subscriber_Case_Attachment.txt
- SAS_Solutions_ECM_Subscriber_Case_Attachment.sms
- SAS_Solutions_ECM_Subscriber_Case_Comment.html
- SAS_Solutions_ECM_Subscriber_Case_Comment.txt
- SAS_Solutions_ECM_Subscriber_Case_Comment.sms
- SAS_Solutions_ECM_Subscriber_Case_Link.html
- SAS_Solutions_ECM_Subscriber_Case_Link.txt
- SAS_Solutions_ECM_Subscriber_Case_Link.sms
- SAS_Solutions_ECM_Subscriber_Case_Reassign.html
- SAS_Solutions_ECM_Subscriber_Case_Reassign.txt
- SAS_Solutions_ECM_Subscriber_Case_Reassign.sms
- SAS_Solutions_ECM_Subscriber_Case_Save.html
- SAS_Solutions_ECM_Subscriber_Case_Save.txt
- SAS_Solutions_ECM_Subscriber_Case_Save.sms
- SAS_Solutions_ECM_Subscriber_Case_Unlock.html
- SAS_Solutions_ECM_Subscriber_Case_Unlock.txt
- SAS_Solutions_ECM_Subscriber_Case_Unlock.sms
- SAS_Solutions_ECM_Subscriber_Case_Workflow.html
- SAS_Solutions_ECM_Subscriber_Case_Workflow.txt
- SAS_Solutions_ECM_Subscriber_Case_Workflow.sms

The following template properties can be used:

Property	Value
%CASE_ID	<CASE_ID>
%CASE_LINK	A link to the case that generated the alert.
%CASE_DETAILS	A short description of the event.

The success template names for incident notifications are as follows:

- SAS_Solutions_ECM_Subscriber_Incident.html (for HTML formatted e-mail)
- SAS_Solutions_ECM_Subscriber_Incident.txt (for plain-text formatted e-mail)
- SAS_Solutions_ECM_Subscriber_Incident.sms (for text messaging)
- SAS_Solutions_ECM_Subscriber_Incident_Attachment.html
- SAS_Solutions_ECM_Subscriber_Incident_Attachment.txt
- SAS_Solutions_ECM_Subscriber_Incident_Attachment.sms
- SAS_Solutions_ECM_Subscriber_Incident_Comment.html
- SAS_Solutions_ECM_Subscriber_Incident_Comment.txt
- SAS_Solutions_ECM_Subscriber_Incident_Comment.sms
- SAS_Solutions_ECM_Subscriber_Incident_Link.html
- SAS_Solutions_ECM_Subscriber_Incident_Link.txt
- SAS_Solutions_ECM_Subscriber_Incident_Link.sms
- SAS_Solutions_ECM_Subscriber_Incident_Save.html
- SAS_Solutions_ECM_Subscriber_Incident_Save.txt
- SAS_Solutions_ECM_Subscriber_Incident_Save.sms

The following template properties can be used:

Property	Value
%INCIDENT_ID	<INCIDENT_ID>
%INCIDENT_LINK	A link to the incident that generated the alert.
%INCIDENT_DETAILS	A short description of the event.

The success template names for party or subject notifications are as follows:

- SAS_Solutions_ECM_Subscriber_Subject.html (for HTML formatted e-mail)
- SAS_Solutions_ECM_Subscriber_Subject.txt (for plain-text formatted e-mail)
- SAS_Solutions_ECM_Subscriber_Subject.sms (for text messaging)
- SAS_Solutions_ECM_Subscriber_Subject_Attachment.html
- SAS_Solutions_ECM_Subscriber_Subject_Attachment.txt

- SAS_Solutions_ECM_Subscriber_Subject_Attachment.sms
- SAS_Solutions_ECM_Subscriber_Subject_Comment.html
- SAS_Solutions_ECM_Subscriber_Subject_Comment.txt
- SAS_Solutions_ECM_Subscriber_Subject_Comment.sms
- SAS_Solutions_ECM_Subscriber_Subject_Link.html
- SAS_Solutions_ECM_Subscriber_Subject_Link.txt
- SAS_Solutions_ECM_Subscriber_Subject_Link.sms
- SAS_Solutions_ECM_Subscriber_Subject_Save.html
- SAS_Solutions_ECM_Subscriber_Subject_Save.txt
- SAS_Solutions_ECM_Subscriber_Subject_Save.sms
- SAS_Solutions_ECM_Subscriber_Subject_Unlock.html
- SAS_Solutions_ECM_Subscriber_Subject_Unlock.txt
- SAS_Solutions_ECM_Subscriber_Subject_Unlock.sms

The following template properties can be used:

Property	Value
%SUBJECT_ID	<SUBJECT_ID>
%SUBJECT_LINK	A link to the subject that generated the alert.
%SUBJECT_DETAILS	A short description of the event.

The success template names for e-file notifications are as follows:

- SAS_Solutions_ECM_Subscriber_EFile_Attachment.html (for HTML formatted e-mail)
- SAS_Solutions_ECM_Subscriber_EFile_Attachment.txt (for plain-text formatted e-mail)
- SAS_Solutions_ECM_Subscriber_EFile_Attachment.sms (for text messaging)
- SAS_Solutions_ECM_Subscriber_EFile_Comment.html
- SAS_Solutions_ECM_Subscriber_EFile_Comment.txt
- SAS_Solutions_ECM_Subscriber_EFile_Comment.sms
- SAS_Solutions_ECM_Subscriber_EFile_Link.html
- SAS_Solutions_ECM_Subscriber_EFile_Link.txt
- SAS_Solutions_ECM_Subscriber_EFile_Link.sms
- SAS_Solutions_ECM_Subscriber_EFile_Save.html
- SAS_Solutions_ECM_Subscriber_EFile_Save.txt
- SAS_Solutions_ECM_Subscriber_EFile_Save.sms
- SAS_Solutions_ECM_Subscriber_EFile_Unlock.html
- SAS_Solutions_ECM_Subscriber_EFile_Unlock.txt
- SAS_Solutions_ECM_Subscriber_EFile_Unlock.sms

The following template properties can be used:

Property	Value
%EFILE_ID	<EFILE_ID>
%EFILE_LINK	A link to the e-file that generated the alert.
%EFILE_DETAILS	A short description of the event.

The success template names for report notifications are as follows:

- SAS_Solutions_ECM_Subscriber_Report_Attachment.html (for HTML formatted e-mail)
- SAS_Solutions_ECM_Subscriber_Report_Attachment.txt (for plain-text formatted e-mail)
- SAS_Solutions_ECM_Subscriber_Report_Attachment.sms (for text messaging)
- SAS_Solutions_ECM_Subscriber_Report_Comment.html
- SAS_Solutions_ECM_Subscriber_Report_Comment.txt
- SAS_Solutions_ECM_Subscriber_Report_Comment.sms
- SAS_Solutions_ECM_Subscriber_Report_Link.html
- SAS_Solutions_ECM_Subscriber_Report_Link.txt
- SAS_Solutions_ECM_Subscriber_Report_Link.sms
- SAS_Solutions_ECM_Subscriber_Report_Save.html
- SAS_Solutions_ECM_Subscriber_Report_Save.txt
- SAS_Solutions_ECM_Subscriber_Report_Save.sms
- SAS_Solutions_ECM_Subscriber_Report_Unlock.html
- SAS_Solutions_ECM_Subscriber_Report_Unlock.txt
- SAS_Solutions_ECM_Subscriber_Report_Unlock.sms
- SAS_Solutions_ECM_Subscriber_Report_Workflow.html
- SAS_Solutions_ECM_Subscriber_Report_Workflow.txt
- SAS_Solutions_ECM_Subscriber_Report_Workflow.sms

The following template properties can be modified:

Property	Value
%RR_ID	<RR_ID>
%RR_LINK	A link to the report that generated the alert.
%RR_DETAILS	A short description of the event.

Case Report Notifications

From a case's **Print** menu, a user has the option to generate a case report offline. This action triggers one of two notifications: either the case report was generated successfully, or it failed. The success template names are as follows:

- SAS_Solutions_ECM_Subscriber_CaseReport.html (for HTML formatted e-mail)
- SAS_Solutions_ECM_Subscriber_CaseReport.txt (for plain-text formatted e-mail)
- SAS_Solutions_ECM_Subscriber_CaseReport.sms (for text messaging)

The failure template names are as follows:

- SAS_Solutions_ECM_Subscriber_CaseReport_Error.html (for HTML formatted e-mail)
- SAS_Solutions_ECM_Subscriber_CaseReport_Error.txt (for plain-text formatted e-mail)
- SAS_Solutions_ECM_Subscriber_CaseReport_Error.sms (for text messaging)

The following template properties can be modified:

Property	Value
%CASE_ID	<CASE_ID>
%REPORT_LINK	A link to the Generated Reports page for that user. Currently this property is supported only in the success template.

Task List Notifications

The Case panel can be configured to use the Task List component, which allows users to set reminders for tasks. The template names for reminders are as follows:

- SAS_Solutions_ECM_ToDo_Reminder.html (for HTML formatted e-mail)
- SAS_Solutions_ECM_ToDo_Reminder.txt (for plain-text formatted e-mail)
- SAS_Solutions_ECM_ToDo_Reminder.sms (for text messaging)

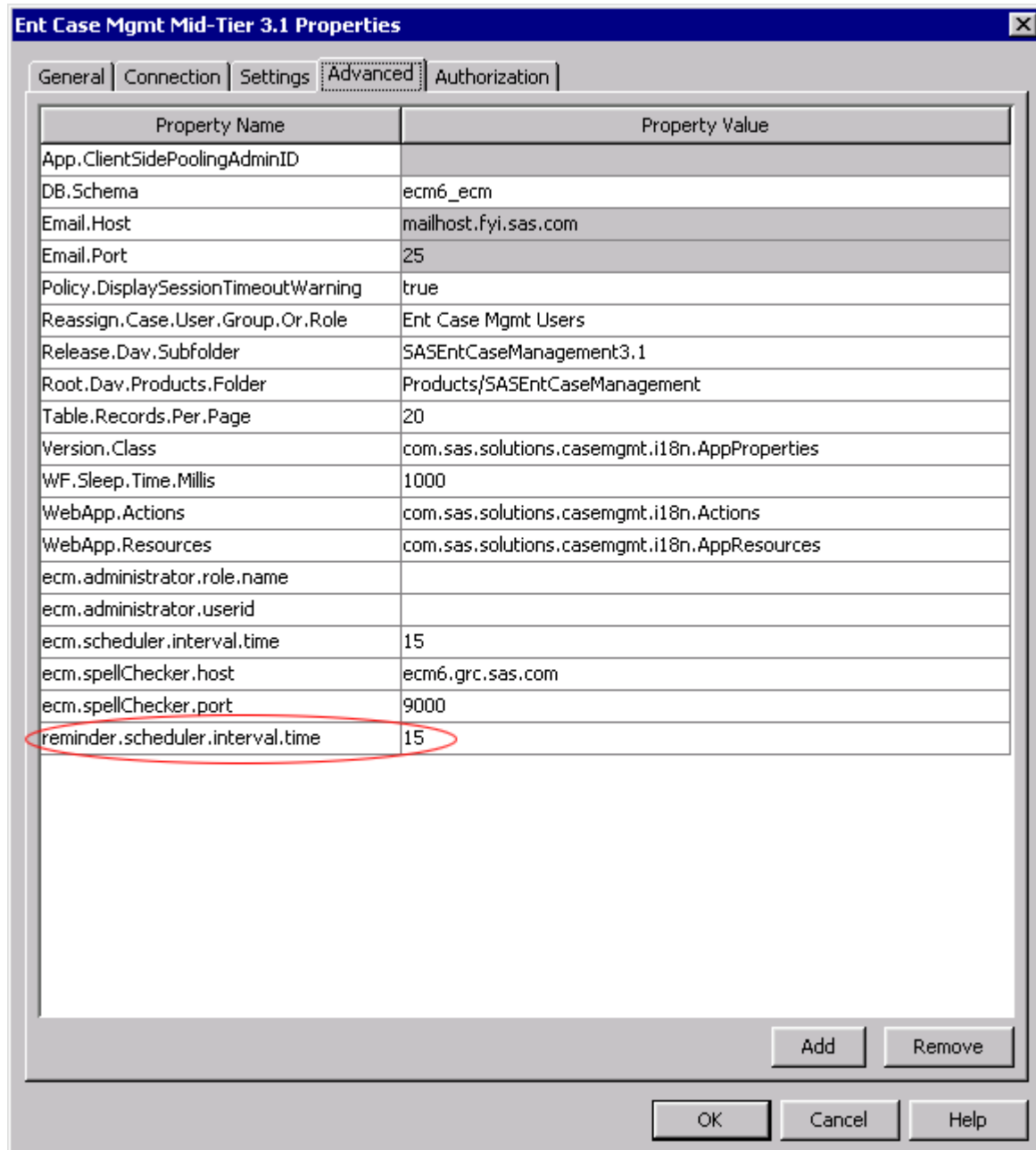
The following template properties can be used within the e-mail to insert pertinent information:

Property	Value
%OBJ	CASE-<CASE_ID>
%TASK	Task Description
%DUE_DATE	Task Due Date
%OBJ_LINK	A link back to the case. Currently this property is supported only in the HTML template.

Adjusting the Reminder Interval for the Task List

SAS Enterprise Case Management is installed with a metadata property for the reminder timer interval that is set to 15 minutes by default. This means the reminder scheduler will wake up every 15 minutes to determine whether there are any alerts or reminders that need to be sent. This property is also configurable, but it is recommended to remain at 15-minute intervals. If you decide to modify this property, it is also recommended to set this property in multiples of 15, preferably 15, 30, or 60. Keep in mind that the Task List only allows for reminder times at a minimum of 15 minutes. Therefore, setting this interval at odd numbers will cause any alerts or reminders to be sent later than expected. You can find the **reminder.scheduler.interval.time** setting in SAS Management Console under the **Advanced** tab of the SAS Enterprise Case Management Application Management section.

The following display shows the Task List reminder interval setting.

Display 4.5 Task List Reminder Interval Setting

Notifications and the SAS Information Delivery Portal

Configuring the SAS Information Delivery Portal for SAS Enterprise Case Management

To log on to the portal, enter **http://yourmachine/SASPortal** in the browser address bar. Use your configured user name and password to log on the same way you

would for SAS Enterprise Case Management. To add the Alerts portlet to your portal, complete the following steps:

1. Click **Customize** on the top banner, and select **Add Page** if you do not already have a page specified.
2. Fill in the required name information and any other optional information. Click **Add**, and then click **Done**. You will now be in your new Tab area with the name that you selected.
3. Click **Options** and select **Edit Page Content**.
4. Click **Add Portlets**.
5. Select the **Alerts** portlet type if not already selected. Enter the required name and any other information. Click **Add**, and then click **Done**. Click **OK**. You will now see your Alerts portlet within your newly created Tab page.

What to Expect from the Portal

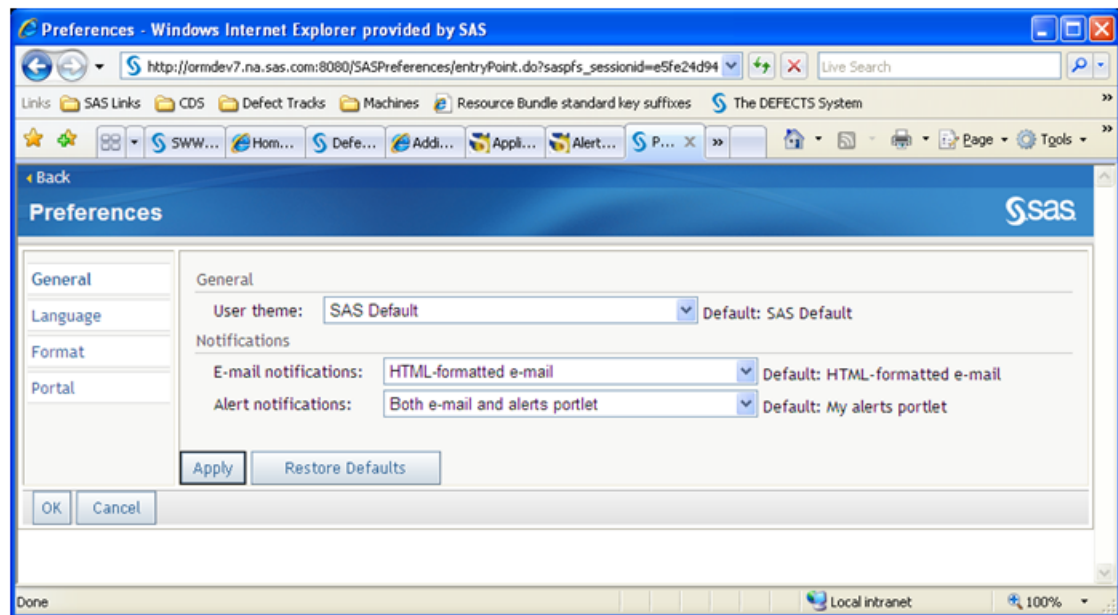
SAS Enterprise Case Management alerts are displayed in this portlet with the task name and the date that the alert was sent. You can delete any alerts that you want to remove. Click a task name to open the case that the alert was created from.

Controlling Alert Notifications from the SAS Preferences Manager

The SAS Preferences Manager is a Web application that provides a central facility for SAS application users to manage their preferences and settings. To open the SAS Preferences Manager, click **Options** ⇒ **Preferences** at the top right corner of the application window. Use the Portal section to configure options for notifications of both e-mail and alerts. By default, alert notifications will be set to Portlet only. To receive e-mail, you must select an option that includes e-mail alerts, and the user that you created in metadata must have a valid e-mail address.

For more information about using the SAS Preferences Manager, see the *SAS Intelligence Platform: Web Application Administration Guide* available at <http://support.sas.com>.

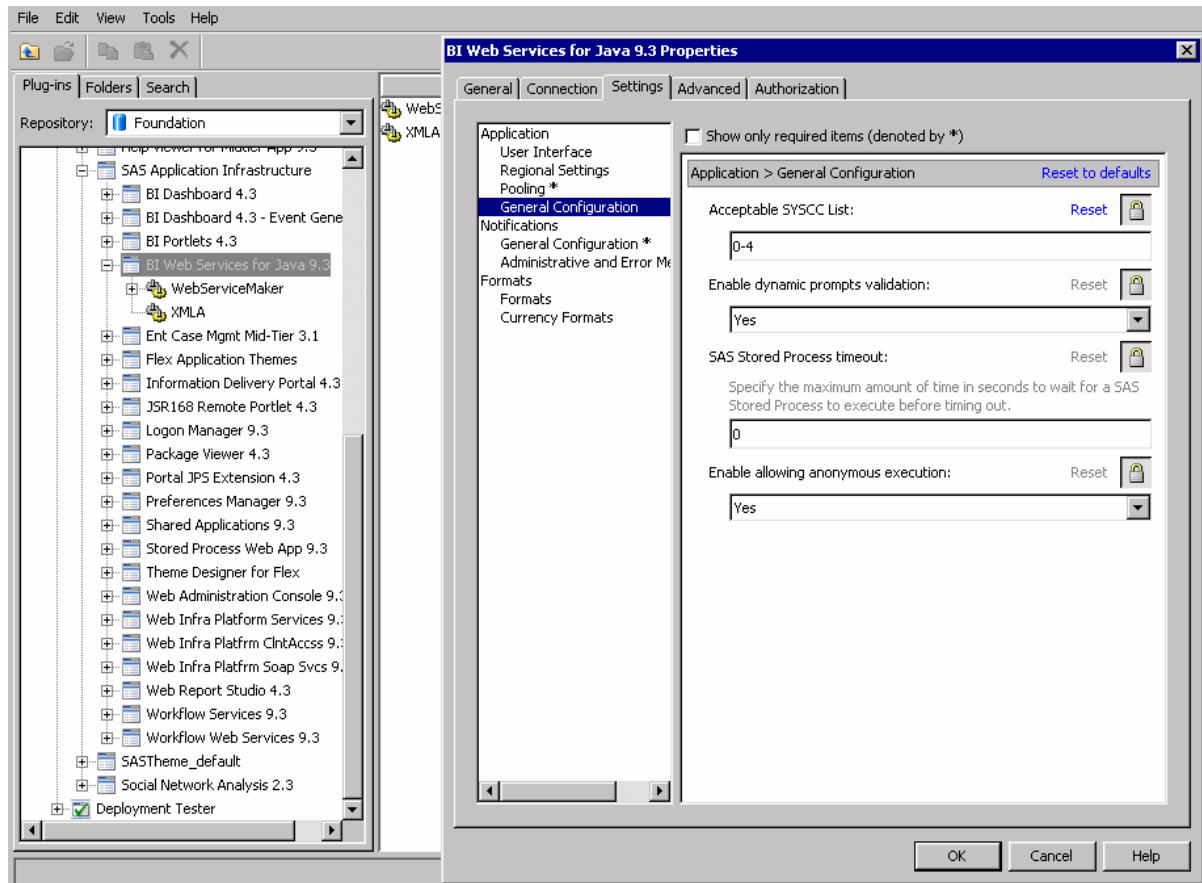
The following display shows the Preferences dialog box.

Display 4.6 Portal Preferences

Configuring the Web Service

As shown in the following display, configure the Web service to accept warnings:

Display 4.7 Configuring the Web Service



By default, the SAS Web service is configured to accept stored process results only when the SAS stored process is completed with no errors (for example, completion code=0). To allow warnings in SAS code, the BI Web service for Java 9.3 properties should be changed as follows:

1. Use the **Plug-ins** tab of SAS Management Console to navigate to **Application Management** ⇒ **Configuration Manager** ⇒ **SAS Application Infrastructure**.
2. Right-click **BI Web Service for Java 9.3** and select **Properties**. Click the **Settings** tab.
3. On the left pane, select **Application** ⇒ **General Configuration**.
4. On the right pane, enter *0-4* for **Acceptable SYSCC list** as shown in [Display 4.7 on page 59](#). Click **OK**.
5. You can now exit SAS Management Console. Restart SAS Remote Services and the Web server on the middle-tier machine.

For more information about this setting, see “Configuring SAS BI Web Services for Java” in the *SAS Intelligence Platform: Web Application Administration Guide* available at <http://support.sas.com/documentation/onlinedoc/intellplatform>.

Deploy SAS Spelling Correction

SAS Spelling Correction provides the spell-checking capability in SAS Enterprise Case Management. It includes basic spelling correction functionality in which misspelled words are identified and possible corrections are offered. No grammatical suggestions are made. To take advantage of this functionality, perform the following installation instructions:

Installation

1. Locate the spelling-server.zip file in the **third_party** folder of the SAS Software Depot, found specifically in the **SAS_ Spelling_Correction \1_2\Portable_Entities** directory.
2. Extract the SAS Spelling Correction files to one of the following directories:

Windows (32- and 64-bit)	\$:\Program Files\SASSpellingServer
--------------------------	--

Linux (32- and 64-bit)	/opt/SASSpellingServer
------------------------	-------------------------------

SAX	/opt/SASSpellingServer
-----	-------------------------------

S64	/opt/SASSpellingServer
-----	-------------------------------

HP-UX (ia64)	/opt/SASSpellingServer
--------------	-------------------------------

AIX64/R64	/opt/SASSpellingServer
-----------	-------------------------------

The structure of the extracted volume is as follows:

Windows (32-bit)	\$:\Program Files\SASSpellingServer TeragramSpellingServer\bin win32_vc6_spelling_server.exe
------------------	--

Windows (64-bit)	\$:\Program Files\SASSpellingServer TeragramSpellingServer\bin win64_icl_mt _spelling_server.exe
------------------	---

Linux (32-bit)	/opt/SASSpellingServer/ TeragramSpellingServer/bin/linux32/ _spelling_server
----------------	---

Linux (64-bit)	<code>/opt/SASSpellingServer/ TeragramSpellingServer/bin/linux64/ _spelling_server</code>
SAX	<code>/opt/SASSpellingServer/ TeragramSpellingServer/bin/sunos_x86_64/ _spelling_server</code>
S64	<code>/opt/SASSpellingServer/ TeragramSpellingServer/bin/sunos64/ _spelling_server</code>
HP-UX (ia64)	<code>/opt/SASSpellingServer/ TeragramSpellingServer/bin/hpux_ia64/ _spelling_server</code>
AIX64 / R64	<code>/opt/SASSpellingServer/ TeragramSpellingServer/bin/aix64/ _spelling_server</code>

3. Run the following:

Note: SAS Spelling Correction must be invoked from the **spelling-server** directory. The script is a simple command line program. It does not spawn a separate server process. The script continues to run until you manually stop it by pressing CTRL+C.

Windows (32-bit)	In the <code>\$(\Program Files\SASSpellingServer TeragramSpellingServer</code> directory, run the following command: <code>bin\win32_vc6_spelling_server.exe --port 9000 --spelling-config data \spelling.config --http-admin-port 8123.</code>
Windows (64-bit)	In the <code>\$(\Program Files\SASSpellingServer TeragramSpellingServer</code> directory, run the following command: <code>bin\win64_icl_mt_spelling_server.exe --port 9000 --spelling-config data \spelling.config --http-admin-port 8123.</code>
Linux (32-bit)	In the <code>/opt/SASSpellingServer/ TeragramSpellingServer</code> directory, run the following command: <code>bin/linux32/_spelling_server --port 9000 --spelling-config data/spelling.config --http-admin-port 8123.</code>
Linux (64-bit)	In the <code>/opt/SASSpellingServer/ TeragramSpellingServer</code> directory, run the following command: <code>bin/linux64/_spelling_server --port 9000 --spelling-config data/spelling.config --http-admin-port 8123.</code>

SAX	In the <code>/opt/SASSpellingServer/TeragramSpellingServer</code> directory, run the following command: <code>bin/sunos_x86_64/_spelling_server --port 9000 --spelling-config data/spelling.config --http-admin-port 8123</code> .
S64	In the <code>/opt/SASSpellingServer/TeragramSpellingServer</code> directory, run the following command: <code>bin/sunos64/_spelling_server --port 9000 --spelling-config data/spelling.config --http-admin-port 8123</code> .
HP-UX (ia64)	In the <code>/opt/SASSpellingServer/TeragramSpellingServer</code> directory, run the following command: <code>bin/hpux_ia64/_spelling_server --port 9000 --spelling-config data/spelling.config --http-admin-port 8123</code> .
AIX64 / R64	In the <code>/opt/SASSpellingServer/TeragramSpellingServer</code> directory, run the following command: <code>bin/aix64/_spelling_server --port 9000 --spelling-config data/spelling.config --http-admin-port 8123</code> .

Note: Ports 9000 and 8123 are used for illustration.

Configuration of SAS Spelling Correction

1. Open SAS Management Console.
2. On the **Plug-ins** tab, navigate to **Application Management** ⇒ **Configuration Manager** ⇒ **SAS Application Infrastructure**.
3. Right-click **Ent Case Mgmt Mid-Tier 3.1** and select **Properties**.
4. On the **Advanced** tab, enter your environment's property values for `ecm.spellChecker.host` and `ecm.spellChecker.port`. Set the host and port based on where the script in step 3 of the installation steps was run and what port number was chosen.

Note: **Property Name** is case-sensitive.

Display 4.8 Ent Case Mgmt Mid-Tier 3.1 Properties

Property Name	Property Value
App.ClientSidePoolingAdminID	
DB.Schema	_ecm
Email.Host	mailhost.fyi.sas.com
Email.Port	25
Policy.DisplaySessionTimeoutWarning	true
Reassign.Case.User.Group.Or.Role	Ent Case Mgmt Users
Release.Dav.Subfolder	SASEntCaseManagement3.1
Root.Dav.Products.Folder	Products/SASEntCaseManagement
Table.Records.Per.Page	20
Version.Class	com.sas.solutions.casemgmt.i18n.AppProperties
WF.Sleep.Time.Millis	1000
WebApp.Actions	com.sas.solutions.casemgmt.i18n.Actions
WebApp.Resources	com.sas.solutions.casemgmt.i18n.AppResources
ecm.administrator.role.name	
ecm.administrator.userid	
ecm.scheduler.interval.time	15
ecm.spellChecker.host	mailhost.fyi.sas.com
ecm.spellChecker.port	9000
reminder.scheduler.interval.time	15

Buttons: Add, Remove, OK, Cancel, Help

5. Restart SAS Server8 or the server on which SAS Enterprise Case Management has been deployed.

Deploying Case Network Analysis Graph Functionality in an HTTPS Web Environment

The SAS Deployment Wizard does not support HTTPS installation of Case Network Analysis. If your deployment requires HTTPS access, then you must configure this access at the completion of a standard HTTP installation.

Here are the high-level steps to complete the process for post-installation configuration of Case Network Analysis for HTTPS access.

1. Update the `services-config.xml` file to reflect the secure channel definition.
2. Update the `remoting-config.xml` file to reflect use of the secure channel definition as the default operation.

After the configuration files are updated with the secure channel definition information, the SAS Social Network Analysis Server can be accessed through a secure URL.

Here are the detailed steps for configuring the solution for secure access.

The files that require updating are located in the following directory.

Windows

```
<ServerHome>\deploy_sas\sas.socialnetworkanalysis2.3.ear
\sas.sso.snaserver.war\WEB-INF\flex
```

UNIX

```
<ServerHome>/deploy_sas/sas.socialnetworkanalysis2.3.ear/
sas.sso.snaserver.war/WEB-INF/flex
```

1. Locate the `services-config.xml` file in the directory specified.
2. Define the secure channel-definition element (with a `my-secure-amf` ID) to resemble the following, including the class reference.

```
<channel-definition id="my-secure-amf"
class="mx.messaging.channels.SecureAMFChannel">
  <endpoint url="https://{server.name}:{server.port}/SASSNA/messagebroker/amf"
class="flex.messaging.endpoints.SecureAMFEndpoint"/>
</channel-definition>
```

3. Locate the `remoting-config.xml` file in the directory specified.
4. Update the `default-channels` element to reflect the use of secure access, as indicated in the following example.

```
<default-channels>
  <channel ref="my-secure-amf"/>
</default-channels>
```


Chapter 5

Customizing SAS Enterprise Case Management

Introduction to Customizing	66
User Interface Definitions	66
Workflows	67
Reference Tables	68
Customizable Search Panels	69
User-Defined Fields	69
User-Defined Field Tables	69
Configuring User-Defined Fields in the Database	70
User-Defined Fields: Example	71
User-Defined Generic Data Tables	72
User Interface Definitions	73
User Interface Definition Files	73
Updating User Interface Definitions	73
Required User Interface Definition Files	73
Configurations	74
Case Configurations	74
Incident Configurations	75
Party Configurations	76
Report Configurations	77
E-File Configurations	78
Data Security	79
Data Security: Record Level	79
Data Security: Field Level	79
Resource Bundles	79
Custom Resource Bundles	79
Workflows	80
Defining Workflows	80
Data Objects	81
Data Object Types Supported in SAS Workflow Studio	82
Adding Data Objects	83
Defining Actors	83
Defining Static Actors	83
Dynamically Determined Actors	83
Statuses	83
Synchronizing Workflow Values	83
Versioning	85
Reference Tables	85

Defining Reference Tables	85
Configuring Reference Tables in the Database	85
Defining Static Reference Tables	86
Adding User-Defined Reference Tables	88
Rules and Conventions for Database Fields and Reference Table Values	89
Search Panels	90
Search Criteria	90
User-Specified Configurations	90
Searchable Fields	90
Field Labels	91
User Interface Controls	92
Search Filters	92
User-Specified Configurations	93
Filterable Fields	93
Field Labels	93
Search Results	93
User-Specified Configurations	94
Displayable Fields	94
Column Header Labels	94
SAS Metadata Repository Properties	95

Introduction to Customizing

User Interface Definitions

User interface definitions are used to define customizable windows within the SAS Enterprise Case Management user interface. The following display shows a customizable window that is defined using a user interface definition.

Display 5.1 Customized Window

SAS Enterprise Case Management • Case 2011-10283

Save
 Manage Subscriptions
 Comments (0)
 Attachments (0)
 Web Search
 Print
 Return to List

Expand All Sections

Action Items

Save Entity and Action Items

Terminate Workflow

Activity	Completed Date	Completed By	Activity Status
Determine Total Amount			
Determine Primary Suspect(s)			
Review Related Cases			
Search External Sources			
New	09Nov11:10:05:16	ECM System Admin	Open

* Case Information

* Case Details

Incidents

Subjects

Associated Cases

Financial Summary

Regulatory Reports

Case History

Case ID: 2011-10283

Description: Example

Primary owner:

Category: Check Kiting

Subcategory:

Priority: (none selected)

Final disposition: (none selected)

XML is used to describe user interface definitions. The following XML code is defined in the user interface definition used to render the previous example.

```
<section id="caseInfo">
  <label><message key="section.case.information.header.txt" /></label>
  <tab-section id="viewCaseTab">
    <tab id="caseTab">
      <label><message key="tab.case.details.header.txt" /></label>
      <field name="CASE.CASE_ID" type="string" readonly="true">
        <label>
          <message key="field.case.case_id.label.txt" />
        </label>
      </field>
      <field name="CASE.CASE_ID" type="hidden"/>
      <field name="CASE.CASE_DESC" type="textarea" length="40"
        required="false">
        <label>
          <message key="field.case.case_desc.label.txt" />
        </label>
      </field>
      <field name="CASE.INVESTIGATOR_USER_ID" type="string" required="false"
        values="GetUserDisplayName(CASE.INVESTIGATOR_USER_ID)">
        <label>
          <message key="field.case.investigator_user_id.label.txt" />
        </label>
      </field>
      <field name="CASE.SOURCE_SYSTEM_CD" type="dropdown" readonly="true"
        values="GetLabelValues('RT_SOURCE_SYSTEM')">
        <label>
          <message key="field.case.source_system_cd.label.txt" />
        </label>
      </field>
      <field name="CASE.CASE_TYPE_CD" type="dropdown" required="false"
        readonly="true"
        values="GetLabelValues('RT_CASE_TYPE')">
        <label>
          <message key="field.case.case_type_cd.label.txt" />
        </label>
      </field>
    </tab>
  </tab-section>
</section>
```

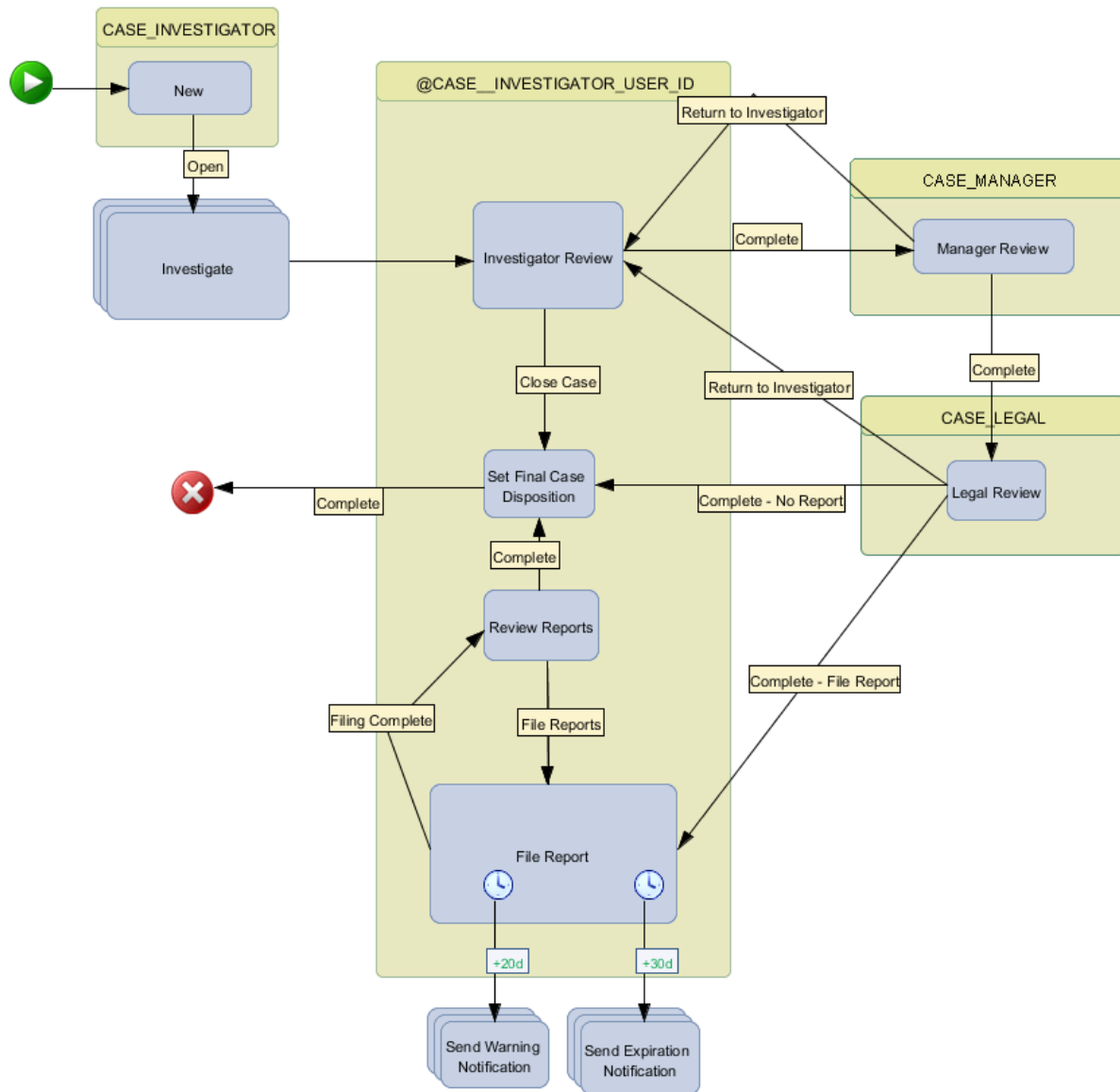
Workflows

Workflows are used to manage the investigative process. Workflow definitions define what activities are involved in the investigative process and which users can perform the activities. The workflow services used within SAS Enterprise Case Management support the following:

- automation of SAS processes
- routing of activities based on events, data, timers, groups, and/or roles
- e-mail notifications
- visual process designer
- concurrent activities
- decision gateways that allow conditional branching

The following display shows an example workflow template.

Display 5.2 Workflow Template



Reference Tables

Reference tables define the list of possible values for a particular field or selection list. In the following display, the drop-down list values for case status come from a configurable reference table named `RT_CASE_STATUS`. The coded values and displayable values for each selectable option are specified in the `RT_CASE_STATUS` reference table. User-defined reference tables can also be defined.

Display 5.3 Reference Tables

Customizable Search Panels

The case, incident, party, report, and e-file search panels are fully customizable. Any static or user-defined field can be used as a search criterion. Any static or user-defined field with possible values described using a reference table can be used as a search filter. The search results can display any static or user-defined field that holds a single value.

Note: User-defined fields that are part of a tables of values cannot be used in the search results because the UI table cannot show multiple values for a single field.

User-Defined Fields

User-Defined Field Tables

There are seven types of data objects in SAS Enterprise Case Management. They are case, incident, party (also called subject), report, e-file, financial item, and generic data. The user-defined fields of each data object type are defined in the table `<data_object_type>_UDF_DEF`. Each row in the `<data_object_type>_UDF_DEF` table represents a user-defined field definition for the data object. To define user-defined fields, add the appropriate data in these tables.

Configuring User-Defined Fields in the Database

The structure for all seven tables (CASE_UDF_DEF, INCIDENT_UDF_DEF, PARTY_UDF_DEF, RR_UDF_DEF, EFILE_UDF_DEF, FINANCIAL_ITEM_UDF_DEF, and GENERIC_DATA_UDF_DEF) is identical. Each contains the following columns:

- UDF_TABLE_NM
- UDF_NM
- UDF_TYPE_NM
- UDF_DESC
- MAX_CHAR_CNT

User-defined field names must have the following characteristics:

- The length must be 3 to 30 characters.
- The first two characters must be “X_”.
- The characters following “X_” can be any combination of uppercase letters, numbers, and underscores.

UDF_TABLE_NM

contains the name of the user-defined field’s table. If a user-defined field contains one value, then this name will be the same as the data object name CASE, INCIDENT, PARTY, RR, or EFILE. UDF_TABLE_NM and UDF_NM together make up the unique key for a user-defined field. If a user-defined field can have more than one value, then this name must have the following characteristics:

- The length must be 3 to 30 characters.
- The first two characters must be “X_”.
- The characters following “X_” can be any combination of uppercase letters, numbers, and underscores.
- The name must be unique with respect to all other static and user-defined table names.

UDF_NM

contains the name of the user-defined field. UDF_TABLE_NM and UDF_NM together make up the unique key for a user-defined field. User-defined field names must have the following characteristics:

- The length must be 3 to 30 characters.
- The first two characters must be “X_”.
- The characters following “X_” can be any combination of uppercase letters, numbers, and underscores.

UDF_TYPE_NM

contains the data type name for the user-defined field.

Table 5.1 User-Defined Field Data Types

Data Type	Description	Java Type
VARCHAR	Character string	String

Data Type	Description	Java Type
BIGINT	Whole number	Long
DOUBLE	Double precision number	Double
BOOLEAN	Boolean (true/false)	Boolean
DATE	Date	Date
TIMESTAMP	Date and time	Timestamp
LNGVARCHAR	Character string more than 4000 characters	CLOB for Oracle; VARCHAR(MAX) for SQLServer; TEXT for PostgreSQL

Note: UDF fields with a type of BIGINT are stored in double precision floating point columns in the database. Therefore, they have only 53 bits of precision, not the full precision of a Long Java type.

UDF_DESC

contains a description of the user-defined field.

MAX_CHAR_CNT

contains the maximum number of characters for user-defined fields with a VARCHAR data type.

If an invalid value is loaded into the UDF_DEF tables, an error message is logged and that field is ignored by the application. Common errors include the following:

- including invalid characters in UDF_TABLE_NM or UDF_NM
- defining a UDF_TABLE_NM or UDF_NM longer than 30 characters
- not including a MAX_CHAR_CNT for a VARCHAR column
- defining a MAX_CHAR_CNT as < 0 or > 1000

User-Defined Fields: Example

In the following example configuration table, each case can have a loss amount specified. Because there is only one value for the loss amount field for each case, UDF_TABLE_NM is CASE. There can be zero or more accounts associated with a case. We also need to store whether each account is closed or not. Therefore, we create a user-defined table called X_ACCOUNT, which contains two user-defined fields: X_ACCOUNT_ID and X_CLOSED_FLG. We also need to track all suspicious activities related to the case; there could be more than one of these activities. We therefore create user-defined table X_SUSPICIOUS_ACTIVITY, which contains one user-defined field: X_SUSPICIOUS_ACTIVITY_CD.

UDF_TABLE_NM	UDF_NM	UDF_TYPE_NM	MAX_CHAR
CASE	X_LOSS_AMT	DOUBLE	
X_ACCOUNT	X_ACCOUNT_ID	VARCHAR	32

UDF_TABLE_NM	UDF_NM	UDF_TYPE_NM	MAX_CHAR
X_ACCOUNT	X_CLOSED_FLG	BOOLEAN	
X_SUSPICIOUS_ ACTIVITY	X_SUSPICIOUS_ ACTIVITY_CD	VARCHAR	3

User-Defined Generic Data Tables

Generic data look-up functions can be defined in a custom user interface (UI). Generic data tables are tables that are not directly linked to a particular case, incident, party, report, e-file, or financial item. All data fields in generic data tables are defined in the GENERIC_DATA_UDF_DEF table as user-defined fields, and they follow the same name-value pair structure as in other ECM tables.

One major difference between generic data tables and the other SAS Enterprise Case Management tables is that generic data does not have a live table. That means there is no data table for storing the most current records. To get a list of current data, records in GENERIC_DATA_UDF_<data type>_VALUE should be filtered by empty VALID_TO_DTTM. To verify that the data is loaded properly in generic data tables, see the step for placing a generic data table in a rectangular structure in [“Adding Custom SAS Code” on page 169](#).

To facilitate FINCEN reporting, the sample code for defining financial institution and branch look-up tables is shipped with the solution. It can also be found in loadrr_config_fincen_base.sas in the following locations:

Platform	Path
Windows	<code>!SASROOT\casemgmtmva\sasmisc\sample\config</code>
UNIX	<code>!SASROOT/misc/casemgmtmva/sample/config</code>

The sample UI definitions rr-fincen-sardi-01.xml and rr-fincen-ctr-01.xml are examples of how a report form can be defined for SAR and CTR reporting. This file is located in the following locations:

Platform	Path
Windows	<code>!SASROOT\casemgmtmva\sasmisc\sample\uidef</code>
UNIX	<code>!SASROOT/misc/casemgmtmva/sample/uidef</code>

Note: The UDF fields X_INSTITUTION_OPEN_DT and X_INSTITUTION_CLOSE_DT of X_INSTITUTION and X_BRANCH_OPEN_DT and X_BRANCH_CLOSE_DT of X_BRANCH are the open and close dates of the institution and branch. These fields are used in rr-fincen-sardi-01.xml and rr-fincen-ctr-01.xml to filter active institutions and branches based on the case creation date.

User Interface Definitions

User Interface Definition Files

User interface definition files specify the form and content of panels presented in SAS Enterprise Case Management, the data that is captured, and how that data is validated. The sample user interface definition files for all panels that make use of the Custom Page Builder are located in one of the following directories, depending on your platform:

- Windows platforms: `SAS_HOME\SASFoundation\9.3\casemgmtmva\sasmisc\sample\uiodef`
- UNIX platforms: `SAS_HOME/SASFoundation/9.3/misc/casemgmtmva/sample/uiodef`

Updating User Interface Definitions

Over time, changes will need to be made to user interface definitions. Customers must decide whether they should update a user interface definition or create a new version of the user interface definition. For minor changes that don't cause existing cases, incidents, parties, reports, or e-files to become invalid, it is permissible to update an existing user interface definition. For major changes that might cause existing entities to become invalid, it is recommended that customers create a new version of the user interface definition (by giving it a new unique name). This version is used only for new records. Existing records continue to use the older version of the user interface definition. User interface definitions must be uploaded from the **Administration** tab.

Required User Interface Definition Files

When you create a new case, incident, subject, report, e-file, or financial item in SAS Enterprise Case Management, the system requires some basic information to determine which user interface definition to use to capture the new entity. To provide that information, the following user interface definitions need to be updated:

Windows platforms:

- `!SASROOT/casemgmtmva/sasmisc/install/uiodef/newCase-uiodef.xml`
- `!SASROOT/casemgmtmva/sasmisc/install/uiodef/newIncident-uiodef.xml`
- `!SASROOT/casemgmtmva/sasmisc/install/uiodef/newParty-uiodef.xml`
- `!SASROOT/casemgmtmva/sasmisc/install/uiodef/newReport-uiodef.xml`
- `!SASROOT/casemgmtmva/sasmisc/install/uiodef/newEFile-uiodef.xml`
- `!SASROOT/casemgmtmva/sasmisc/install/uiodef/newFinancialItem-uiodef.xml`

UNIX platforms:

- `!SASROOT/misc/casemgmtmva/install/uidef/newCase-uidef.xml`
- `!SASROOT/misc/casemgmtmva/install/uidef/newIncident-uidef.xml`
- `!SASROOT/misc/casemgmtmva/install/uidef/newParty-uidef.xml`
- `!SASROOT/misc/casemgmtmva/install/uidef/newReport-uidef.xml`
- `!SASROOT/misc/casemgmtmva/install/uidef/newEFile-uidef.xml`
- `!SASROOT/misc/casemgmtmva/install/uidef/newFinancialItem-uidef.xml`

Users cannot create any of the entities in the system without these files. The files can be customized. However, it is important to note that none of the fields defined in these files should be removed. An example of a customization is to mark one of the existing fields as required. Another example would be to override the default generated ID for the entity.

Configurations

Case Configurations

The CASE_CONFIG and CASE_CONFIG_X_USER_GROUP tables are used to store information about how cases are associated with the following:

- user interface definition. This is used to render the case detail panel.
- workflow definition. This is used to create a workflow instance to manage the investigative process for the case.
- case owner. If specified, the case will be initially assigned to the specified user. Otherwise, the case will be initially unassigned.
- user groups. Each row in the CASE_CONFIG_X_USER_GROUP table specifies one user group that is associated with a case configuration. Any user in any of the associated user groups will have access to the case.

The CASE_CONFIG table must be configured to handle every possible type of case created in SAS Enterprise Case Management at the customer site. The CASE_CONFIG table contains the following columns:

CASE_CONFIG_SEQ_NO

contains the sequence number of the case configuration. Case configurations are processed in order until a matching configuration is found.

CASE_TYPE_CD, CASE_CATEGORY_CD and CASE_SUBCATEGORY_CD

are used to determine whether the newly created case matches this configuration. CASE_TYPE_CD is required. CASE_CATEGORY_CD and CASE_SUBCATEGORY_CD can be null. If null, these columns are not factored in when determining whether the newly created case matches this configuration.

UI_DEF_FILE_NM

contains the filename of the user interface definition if the newly created case matches this configuration.

INVESTIGATE_WORKFLOW_DEF_NM

contains the name of the investigation workflow definition if the newly created case matches this configuration.

REOPEN_WORKFLOW_DEF_NM

is not used in this release of SAS Enterprise Case Management and should be left null.

INVESTIGATOR_USER_ID

is the user ID of the user whom the case is initially assigned to. If null, the case will be initially unassigned.

The CASE_CONFIG_X_USER_GROUP table contains the following columns:

CASE_CONFIG_SEQ_NO

contains the sequence number of the case configuration. This column maps to the corresponding configuration in the CASE_CONFIG table.

USER_GROUP_NM

contains the name of a user group defined in the SAS Metadata Repository that has access to newly created cases that match this configuration. A user has to be in only one of the user groups from one of the CASE_CONFIG_X_USER_GROUP rows to access a case.

If a new case type, category, or subcategory is added to the system, then the reference tables must be updated so that items are available in the drop-down lists when creating a new case. If a new case type is added, then a corresponding reference table value should be added to RT_CASE_TYPE. If a new case category is added, then a corresponding reference table value should be added to RT_CASE_CATEGORY. If a new case subcategory is added, then a corresponding reference table value should be added to RT_CASE_SUBCATEGORY.

Incident Configurations

The INCIDENT_CONFIG and INCIDENT_CONFIG_X_USER_GROUP tables are used to store information about how incidents are associated with the following:

- user interface definition. This is used to render the incident detail panel.
- user groups. One or more user groups can be associated with an incident. Any user in the associated user groups will have access to the incident.

The INCIDENT_CONFIG table must be configured to handle every possible type of incident created in SAS Enterprise Case Management. The INCIDENT_CONFIG table contains the following columns:

INCIDENT_CONFIG_SEQ_NO

contains the sequence number of the incident configuration. Incident configurations are processed in order until a matching configuration is found.

INCIDENT_TYPE_CD, INCIDENT_CATEGORY_CD and INCIDENT_SUBCATEGORY_CD

are used to determine whether the newly created incident matches this configuration. INCIDENT_TYPE_CD is required. INCIDENT_CATEGORY_CD and INCIDENT_SUBCATEGORY_CD can be null. If null, these columns are not factored in when determining whether the newly created incident matches this configuration.

UI_DEF_FILE_NM

contains the filename of the user interface definition, if the newly created incident matches this configuration.

The INCIDENT_CONFIG_X_USER_GROUP table contains the following columns:

INCIDENT_CONFIG_SEQ_NO

contains the sequence number of the incident configuration. This column maps to the corresponding configuration in the INCIDENT_CONFIG table.

USER_GROUP_NM

contains the name of a user group defined in the SAS Metadata Repository that has access to newly created incidents that match this configuration.

If a new incident type, category, or subcategory is added to the system, then the reference tables must be updated so that items are available in the drop-down lists when creating a new incident. If a new incident type is added, then a corresponding reference table value should be added to RT_INCIDENT_TYPE. If a new incident category is added, then a corresponding reference table value should be added to RT_INCIDENT_CATEGORY. If a new incident subcategory is added, then a corresponding reference table value should be added to RT_INCIDENT_SUBCATEGORY.

Party Configurations

The PARTY_CONFIG and PARTY_CONFIG_X_USER_GROUP tables are used to store information about how parties are associated with the following:

- user interface definition. This is used to render the party detail panel.
- user groups. One or more user groups can be associated with a party. Any user in the associated user groups will have access to the party.

The PARTY_CONFIG table must be configured to handle every possible type of party created in SAS Enterprise Case Management. The PARTY_CONFIG table contains the following columns:

PARTY_CONFIG_SEQ_NO

contains the sequence number of the party configuration. Party configurations are processed in order until a matching configuration is found.

PARTY_TYPE_CD, PARTY_CATEGORY_CD and PARTY_SUBCATEGORY_CD

are used to determine whether the newly created party matches this configuration. PARTY_TYPE_CD is required. PARTY_CATEGORY_CD and PARTY_SUBCATEGORY_CD can be null. If null, these columns are not factored in when determining whether the newly created party matches this configuration.

UI_DEF_FILE_NM

contains the filename of the user interface definition if the newly created party matches this configuration.

The PARTY_CONFIG_X_USER_GROUP table contains the following columns:

PARTY_CONFIG_SEQ_NO

contains the sequence number of the party configuration. This column maps to the corresponding configuration in the PARTY_CONFIG table.

USER_GROUP_NM

contains the name of a user group defined in the SAS Metadata Repository that has access to newly created parties that match this configuration.

If a new party type, category, or subcategory is added to the system, then the reference tables must be updated so that items are available in the drop-down lists when creating a new party. If a new party type is added, then a corresponding reference table value should be added to RT_PARTY_TYPE. If a new party category is added, then a corresponding reference table value should be added to RT_PARTY_CATEGORY. If a

new party subcategory is added, then a corresponding reference table value should be added to RT_PARTY_SUBCATEGORY.

Report Configurations

The RR_CONFIG and RR_CONFIG_X_USER_GROUP tables are used to store information about how reports are associated with the following:

- user interface definition. This is used to render the report detail panel.
- workflow definition. This is used to create a workflow instance to manage the investigative process for the report.
- user groups. One or more user groups can be associated with a report. Any user in the associated user groups has access to the report.

The RR_CONFIG table must be configured to handle every possible type of report created in SAS Enterprise Case Management. The RR_CONFIG table contains the following columns:

RR_CONFIG_SEQ_NO

contains the sequence number of the report configuration. Report configurations are processed in order until a matching configuration is found.

RR_TYPE_CD, RR_CATEGORY_CD and RR_SUBCATEGORY_CD

are used to determine whether the newly created report matches this configuration. RR_TYPE_CD is required. RR_CATEGORY_CD and RR_SUBCATEGORY_CD can be null. If null, these columns are not factored in when determining whether the newly created report matches this configuration.

UI_DEF_FILE_NM

contains the filename of the user interface definition if the newly created report matches this configuration.

FORM_AGENCY_CD and FORM_TYPE_CD

are the identifiers of a regulatory form defined in FORM_CONFIG. It is used to retrieve the most current government form.

EFILE_CONFIG_SEQ_NO

is the identifier of an e-file configuration record in EFILE_CONFIG. It is used to define which e-file type, category, and subcategory combination should be used for filing the report.

WORKFLOW_DEF_NM

contains the name of the workflow definition if the newly created report matches this configuration.

The RR_CONFIG_X_USER_GROUP table contains the following columns:

RR_CONFIG_SEQ_NO

contains the sequence number of the report configuration. This column maps to the corresponding configuration in the RR_CONFIG table.

USER_GROUP_NM

contains the name of a user group defined in the SAS Metadata Repository that has access to newly created reports that match this configuration.

If a new report type, category, or subcategory is added to the system, then the reference tables must be updated so that items are available in the drop-down lists when creating a new report. If a new report type is added, then a corresponding reference table value should be added to RT_RR_TYPE. If a new report category is added, then a corresponding reference table value should be added to RT_RR_CATEGORY. If a new

report subcategory is added, then a corresponding reference table value should be added to RT_RR_SUBCATEGORY.

E-File Configurations

The EFILE_CONFIG and EFILE_CONFIG_X_USER_GROUP tables are used to store information about how e-files are associated with the following:

- user interface definition. This is used to render the e-file detail panel.
- user groups. One or more user groups can be associated with an e-file. Any user in the associated user groups have access to the e-file.

The EFILE_CONFIG table must be configured to handle every possible type of e-file created in SAS Enterprise Case Management. The EFILE_CONFIG table contains the following columns:

EFILE_CONFIG_SEQ_NO

contains the sequence number of the e-file configuration. E-file configurations are processed in order until a matching configuration is found.

EFILE_TYPE_CD, EFILE_CATEGORY_CD and EFILE_SUBCATEGORY_CD

are used to determine whether the newly created e-file matches this configuration. EFILE_TYPE_CD is required. EFILE_CATEGORY_CD and EFILE_SUBCATEGORY_CD can be null. If null, these columns are not factored in when determining whether the newly created e-file matches this configuration.

UI_DEF_FILE_NM

contains the filename of the user interface definition if the newly created e-file matches this configuration.

FORM_AGENCY_CD and FORM_TYPE_CD

are the identifiers of a regulatory form defined in FORM_CONFIG. It is used to retrieve the most current government form.

The EFILE_CONFIG_X_USER_GROUP table contains the following columns:

EFILE_CONFIG_SEQ_NO

contains the sequence number of the e-file configuration. This column maps to the corresponding configuration in the EFILE_CONFIG table.

USER_GROUP_NM

contains the name of a user group defined in the SAS Metadata Repository that has access to newly created e-file reports that match this configuration.

If a new e-file type, category, or subcategory is added to the system, then the reference tables must be updated so that items are available in the drop-down lists when creating a new report. If a new report type is added, then a corresponding reference table value should be added to RT_EFILE_TYPE. If a new report category is added, then a corresponding reference table value should be added to RT_EFILE_CATEGORY. If a new report subcategory is added, then a corresponding reference table value should be added to RT_EFILE_SUBCATEGORY.

Data Security

Data Security: Record Level

The initial user groups that have access to a case are determined and configured during case creation. The initial user groups are stored in the CASE_X_USER_GROUP table, which associates a case to one or more user groups defined in the SAS Metadata Repository. Any user in the associated groups, or the owner of the case, has access to the case. This means that editing the case is allowed unless the Edit Case capability was not granted to the user. Similarly INCIDENT_X_USER_GROUP, PARTY_X_USER_GROUP, RR_X_USER_GROUP, and EFILE_X_USER_GROUP store the incident, party, report, and e-file user group association.

If a user does not have access to an entity, the entity is not visible to the user in the system unless the user is looking at the entity within the context of its parents. For example, if a user is in group A, that user has access to only A-type cases and incidents. However, if there is an A-type case with a B-type incident, then the user is able to view, but not edit, the B-type incident if the user is assigned to that case.

If the type, category, or subcategory of an entity is changed, the permissions for the entity are redetermined and stored in <ENTITY>_X_USER_GROUP. Therefore, the permissions for an entity can change after creation.

Currently, there is not a way to modify user groups associated with an entity within SAS Enterprise Case Management without changing the type, category, and subcategory of the entity. This can be done only by an administrator who can modify the appropriate database tables directly.

Data Security: Field Level

Field-level security within the entity detail panels is controlled by the user interface definitions. Field-level security on the entity search panels is controlled by the search panel configuration, which is performed at the user level.

Resource Bundles

Custom Resource Bundles

Custom resource bundles are necessary for creating labels for user-defined fields in the customizable data model. When a user-defined field is created, the field can be referenced in the user interface definition file. A label can also be shown for that field. The label tag in the user interface definition has a message tag with a key attribute. The key is a reference to a property in the resource bundle.

For example, X_BRANCH_ID has been defined as a user-defined field for a case. To define a label for the field, the following entry is made in the custom resource bundle file:

```
field.case.x_branch_id.label.txt=Branch ID:
```

To reference this property, the following can be added to the user interface definition file:

```
<label><message key="field.case.x_branch_id.label.txt" /></label>
```

Customers can also override resource bundle properties defined in SAS Enterprise Case Management using the custom resource bundle. For example, you can change the label for a party full name as follows:

- field.party.party_full_nm.label.txt=Person/Organization name:
- field.party.party_full_nm.header.txt=Person/Organization Name

Note: The property ending in **label.txt** is used for text next to input fields. The property ending in **header.txt** is used as the heading of a column when fields are used in a table.

The following files contain all of the properties used in SAS Enterprise Case Management:

- com.sas.solutions.casemgmt.i18n.AppResources.properties
- com.sas.solutions.casemgmt.i18n.Actions.properties
- com.sas.solutions.casemgmt.i18n.WebServiceResources.properties
- com.sas.solutions.cpb.i18n.CPBResources.properties

The properties in all of these files can be overridden, except for the actions resource bundle (com.sas.solutions.casemgmt.i18n.Actions.properties.) If customers want to override a property in this file, they have to modify the actual file in the SAS Enterprise Case Management JAR file.

The naming convention for the custom resource bundle files is as follows:

- custom.properties
- custom_<locale>.properties

For example, a file for the Canada-French locale would be named custom_ca_FR.properties.

Note: When a table is empty, the following message is displayed: **No results found.** You can customize this message by changing the value of the table.default.no.rows.message.txt property as follows:

```
table.default.no.rows.message.txt = <Empty table message.>
```

Workflows

Defining Workflows

Each case within SAS Enterprise Case Management can be associated with a workflow instance (also known as a process instance) that is used to manage the investigative process. Workflow templates (also known as process templates) need to be defined using SAS Workflow Studio before workflow instances can be created for a case. See the *SAS Workflow Studio: User's Guide* for step-by-step instructions on defining workflow definitions.

Data Objects

The following root process-level data object is required in all workflow definitions for use in SAS Enterprise Case Management:

CASE__CASE_RK

- Set Type = Short Text
- Set Value = 0
- When the workflow instance is created, the case key of the associated case is set as the value for this data object.

The following optional root process-level data object can be used to automatically open the case when it is first edited in SAS Enterprise Case Management:

AUTO_OPEN_STATUS

- Set Type = Short Text
- Set Value = the name of the status to automatically apply when the case is first edited

If other static or user-defined case fields are needed within the workflow definition as input to decision gateways and policies, they can be added as root process-level data objects using the following naming convention:

<TableName>__<FieldName>

Here are some examples:

- CASE__REGULATORY_RPT_RQD_FLG (static case field)
- CASE__X_LOSS_AMT (single-valued user-defined case field)
- X_SUSPICIOUS_ACTIVITY__X_SUSPICIOUS_ACTIVITY_CD (multi-valued user-defined case field)

The following table describes how data object values are set for the different case field data types:

Table 5.2 Data Object Values

Data Type	Data Object Value Description
VARCHAR	Raw value
BIGINT	Numerical value
DOUBLE	Numerical value
BOOLEAN	String value – true or false
DATE	Formatted string value – yyyy.MM.dd
TIMESTAMP	Formatted string value – yyyy.MM.dd HH:mm:ss
Multi-valued field	Comma delimited string of the above formatted values

All root process-level data objects that map to case fields (static and user-defined) in the SAS Enterprise Case Management database are set to the current value in the database every time the case is saved. Incident fields and party fields cannot be used as data objects even though they might be associated with a case.

The following optional data object adds a delay between when an activity is started (such as saving a case or report) and when the UI refreshes.

SLEEP_TIME_MILLIS

- Set Type = Number
- Set Value = the length of the delay, in milliseconds

This data object can be added to both activities and to root-level processes. When defined, this value overrides the application-wide default workflow transition wait time. SAS Enterprise Case Management waits the given number of milliseconds after any case or report save. Any save to a case or report can trigger one or more policies to execute, and those policies might update the case or report. To guarantee that all policies are completed before the user edits the object again, this value might need to be tuned for your environment. If this data object is defined with a negative value, it is ignored.

When an activity executes, the workflow checks whether this data object is defined for the activity. If it is, its value is used as the wait time. If this data object is not defined for the activity, then the root process is checked for the data object. If it is defined there, that data object's value is used as the wait time for the activity. If the data object is defined at neither the activity nor root process-level, a wait time is retrieved by looking up the WF.Sleep.Time.Millis property in the metadata. (See [“SAS Metadata Repository Properties” on page 95](#) for more information.)

Data Object Types Supported in SAS Workflow Studio

While designing custom workflow templates, keep in mind that the following list of data object types are no longer used in SAS 9.3:

- Check Box
- Database Object
- File
- Organizational Role
- Picklist
- User

The following data objects are supported in SAS Workflow Studio in SAS 9.3:

- Date
- E-mail
- Number
- Short Text
- Long Text
- URL
- XML Object
- ItemList

For more information about data object types, see the *SAS Workflow Studio: User's Guide* at <http://support.sas.com/documentation/onlinedoc/workflow/>.

Adding Data Objects

For information about adding data objects, see the *SAS Workflow Studio: User's Guide* at <http://support.sas.com/documentation/onlinedoc/workflow/>.

Defining Actors

For information about defining actors, see the *SAS Workflow Studio: User's Guide* at <http://support.sas.com/documentation/onlinedoc/workflow/>.

Defining Static Actors

For information about defining static actors, see the *SAS Workflow Studio: User's Guide* at <http://support.sas.com/documentation/onlinedoc/workflow/>.

Dynamically Determined Actors

For information about dynamically determined actors, see the *SAS Workflow Studio: User's Guide* at <http://support.sas.com/documentation/onlinedoc/workflow/>.

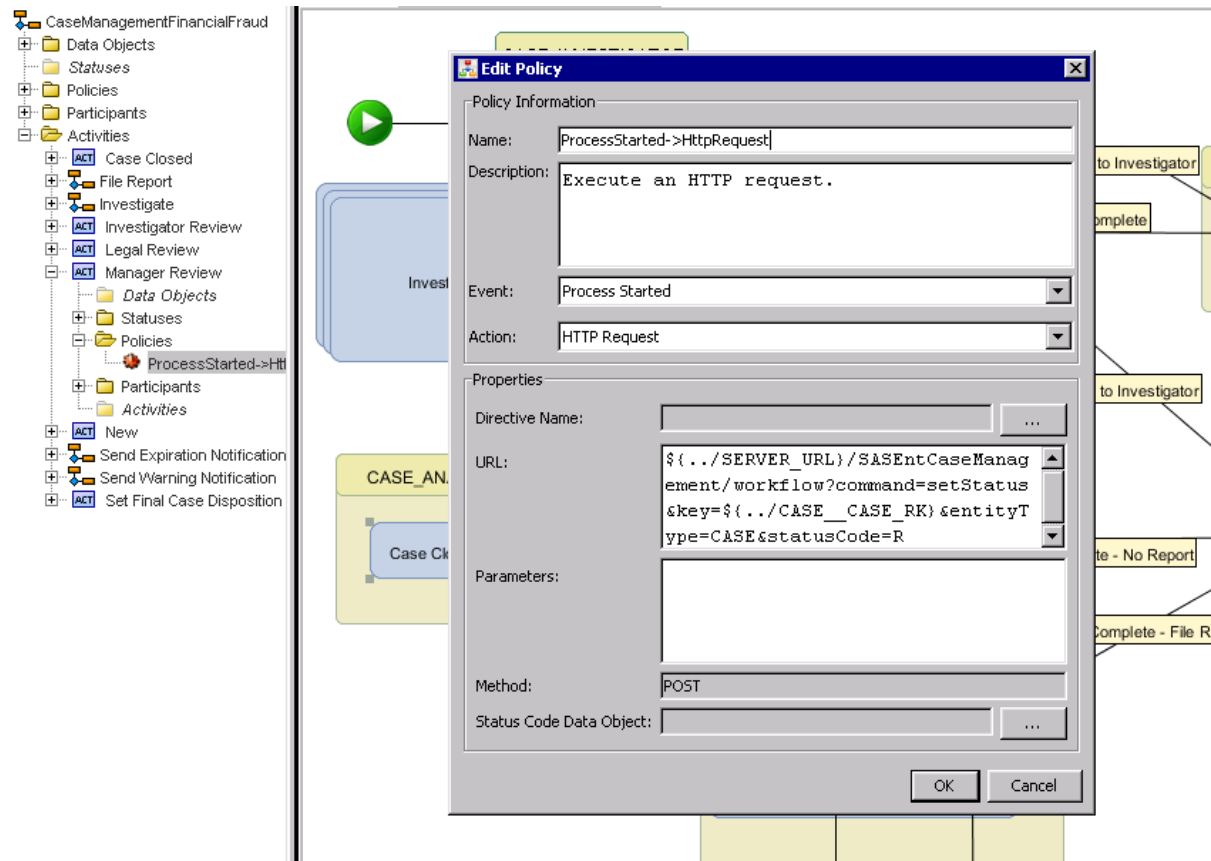
Statuses

For information about statuses, see the *SAS Workflow Studio: User's Guide* at <http://support.sas.com/documentation/onlinedoc/workflow/>.

Synchronizing Workflow Values

The workflow definition can be configured to notify SAS Enterprise Case Management when certain events happen within the workflow. This ensures that the solution state is synchronized with the workflow. This is accomplished by using workflow policies, specifically the HttpRequest policy. This policy can be configured to invoke an HTTP URL in SAS Enterprise Case Management to send notifications of relevant data changes, such as status and operand values.

To set up a synchronization notification, go to the relevant triggering activity and right-click **Policies** to add a new policy. You can also edit existing policies from here, as shown in the following display.

Display 5.4 Edit Policy

In the **Event** field, specify the event that causes the policy to execute (for example, ProcessStarted, ProcessFinished). In the **Action** field, select **HttpRequest**. The following notifications are supported:

Set case status

HTTP URL:

```
/SASentCaseManagement/workflow?command=setStatus&key=
$ { ../CASE__CASE_RK } &statusCode=<caseStatusCode>
```

The CASE_STATUS_CD database field is set to the value of the statusCode request parameter shown as <caseStatusCode> in the preceding URL. The status code value should be defined in the RT_CASE_STATUS static reference table.

Set case closed

HTTP URL:

```
/SASentCaseManagement/workflow?command=setStatus&key=
$ { ../CASE__CASE_RK } &statusCode=<caseStatusCode>&caseClosed=true
```

The CASE_STATUS_CD database field is set to the value of the statusCode request parameter shown as <caseStatusCode> in the preceding URL. The status code value should be defined in the RT_CASE_STATUS static reference table.

The CLOSE_DTTM database field is set to the current date and time if the caseClosed request parameter is true. Otherwise, this field is set to null.

Set case opened

HTTP URL:

```
/SASentCaseManagement/workflow?command=setOpened&key=
${../CASE__CASE_RK}
```

The OPEN_DTTM database field is set to the current date and time.

Set case reopened

HTTP URL:

```
/SASentCaseManagement/workflow?command=setReopened&key=
${../CASE__CASE_RK}
```

The REOPEN_DTTM case database field is set to the current date and time.

HTTP Method should always equal **POST**. **HTTP User** and **HTTP Password** should always be left blank. If the host name and port are not included in the HTTP URL, the HTTP request is sent to the SAS Enterprise Case Management Web application running on the same server as the workflow services on the SAS platform. For more information, see the *SAS Workflow Studio: User's Guide* at <http://support.sas.com/documentation/onlinedoc/workflow/>.

Versioning

For information about versioning, see the *SAS Workflow Studio: User's Guide* at <http://support.sas.com/documentation/onlinedoc/workflow/>.

Reference Tables

Defining Reference Tables

Reference tables define the list of possible values for a particular field or selection list. Each row in the REF_TABLE_VALUE table represents a possible value for a static or user-defined reference table. To define user-defined reference tables or add possible values for static reference tables, add the appropriate data in this table.

Configuring Reference Tables in the Database

The REF_TABLE_VALUE table contains the following columns:

REF_TABLE_NM

contains the name of the static or user-defined reference table. Reference table names must have the following characteristics:

- The length must be 3 to 30 characters.
- The first three characters must be “RT_” for static reference tables.
- The first two characters must be “X_” for user-defined reference tables.
- The characters following the above prefix can be any combination of upper case letters, numbers, and underscores.
- The name must be unique with respect to all other static and user-defined table names.

VALUE_CD

contains the coded value. REF_TABLE_NM and VALUE_CD together make up the unique key for a reference table possible value.

VALUE_DESC	contains the displayable value.
PARENT_REF_TABLE_NM	optionally contains the name of the parent reference table used for cascading prompts.
PARENT_VALUE_CD	optionally contains the name of the parent coded value used for cascading prompts.
DISPLAY_ORDER_NO	contains a number that determines the display order of the reference table value in the user interface. If two or more reference table values have the same display order, then they will be ordered alphabetically by the VALUE_DESC column.

Defining Static Reference Tables

The following static reference tables must be defined in the REF_TABLE_VALUE table. These reference tables are considered static because the SAS Enterprise Case Management application has hardcoded references to these reference tables. All other reference tables are considered user-defined.

RT_EVENT_TYPE	contains event types for audit. This reference table is preloaded during the installation. The possible values should not be modified for this reference table.
RT_CASE_STATUS	contains case statuses. This reference table is not preloaded during the installation. Customers must add all possible case statuses.
RT_CASE_TYPE	contains case types for case classification. This reference table is not preloaded during the installation. Customers must add all possible case types.
RT_CASE_CATEGORY	contains case categories for case classification. This reference table is not preloaded during the installation. Customers must add all possible case categories if this reference table is needed.
RT_CASE_SUBCATEGORY	contains case subcategories for case classification. This reference table is not preloaded during the installation. Customers must add all possible case subcategories if this reference table is needed.
RT_INCIDENT_TYPE	contains incident types for incident classification. This reference table is not preloaded during the installation. Customers must add all possible incident types.
RT_INCIDENT_CATEGORY	contains incident categories for incident classification. This reference table is not preloaded during the installation. Customers must add all possible incident categories if this reference table is needed.
RT_INCIDENT_SUBCATEGORY	contains incident subcategories for incident classification. This reference table is not preloaded during the installation. Customers must add all possible incident subcategories if this reference table is needed.
RT_PARTY_TYPE	contains party types for party classification. This reference table is not preloaded during the installation. Customers must add all possible party types.

RT_PARTY_CATEGORY

contains party categories for party classification. This reference table is not preloaded during the installation. Customers must add all possible party categories if this reference table is needed.

RT_PARTY_SUBCATEGORY

contains party subcategories for party classification. This reference table is not preloaded during the installation. Customers must add all possible party subcategories if this reference table is needed.

RT_EFILE_TYPE

contains e-file types for e-file classification. This reference table is not preloaded during the installation. Customers must add all possible e-file types.

RT_EFILE_CATEGORY

contains e-file categories for e-file classification. This reference table is not preloaded during the installation. Customers must add all possible e-file categories if this reference table is needed.

RT_EFILE_SUBCATEGORY

contains e-file subcategories for e-file classification. This reference table is not preloaded during the installation. Customers must add all possible e-file subcategories if this reference table is needed.

RT_EFILE_STATUS

contains e-file statuses. This reference table is not preloaded during the installation. Customers must add all possible e-file statuses.

RT_RR_TYPE

contains e-file types for e-file classification. This reference table is not preloaded during the installation. Customers must add all possible e-file types.

RT_RR_CATEGORY

contains regulatory report categories for regulatory report classification. This reference table is not preloaded during the installation. Customers must add all possible regulatory report categories if this reference table is needed.

RT_RR_SUBCATEGORY

contains regulatory report subcategories for regulatory report classification. This reference table is not preloaded during the installation. Customers must add all possible regulatory report subcategories if this reference table is needed.

RT_RR_STATUS

contains regulatory report statuses. This reference table is not preloaded during the installation. Customers must add all possible regulatory report statuses.

RT_RR_READY

contains report-ready code that represents the life cycle of a report. This reference table is preloaded during the installation.

RT_SOURCE_SYSTEM

contains source system code. It is preloaded with SASECM for SAS Enterprise Case Management.

SNA_CONFIG_MASTER

contains labels for Case Network Analysis match criteria defined in the SNA_CONFIG_MASTER table. It is preloaded with NATIONAL_ID.

Table 5.3 Example Static Reference Tables

REF_TABLE_NM	VALUE_CD	VALUE_DESC	PARENT_TABLE	PARENT_VAL
RT_CASE_TYPE	ML	Money Laundering		
RT_CASE_TYPE	FF	Financial Fraud		
RT_CASE_CATEGORY	CF	Check Fraud	RT_CASE_TYPE	FF
RT_CASE_CATEGORY	CK	Check Kiting	RT_CASE_TYPE	FF
RT_CASE_CATEGORY	CCF	Credit Card Fraud	RT_CASE_TYPE	FF
RT_CASE_CATEGORY	DCF	Debit Card Fraud	RT_CASE_TYPE	FF
RT_CASE_STATUS	N	New		
RT_CASE_STATUS	I	Investigate		
RT_CASE_STATUS	R	Review		
RT_CASE_STATUS	F	File		

Adding User-Defined Reference Tables

User-defined reference tables are not preloaded during the installation. Customers must add all user-defined reference table values to REF_TABLE_VALUE.

Table 5.4 Example User-Defined Reference Tables

REF_TABLE_NM	VALUE_CD	VALUE_DESC	PARENT_TABLE	PARENT_VAL
X_COUNTRY	USA	United States		
X_COUNTRY	MEX	Mexico		
X_COUNTRY	CAN	Canada		
X_STATE_PROVINCE	AL	Alabama	X_COUNTRY	USA
X_STATE_PROVINCE	AK	Alaska	X_COUNTRY	USA
X_STATE_PROVINCE	AB	Alberta	X_COUNTRY	CAN
X_STATE_PROVINCE	ON	Ontario	X_COUNTRY	CAN
X_NATIONAL_ID_TYPE	SSN	Social Security Number		
X_NATIONAL_ID_TYPE	EIN	Employer ID Number		

Rules and Conventions for Database Fields and Reference Table Values

When you are creating custom fields and associated reference table values, you must follow the rules and conventions described in this section. Otherwise, version history labels will not display properly.

Custom Fields

Labels in the custom.properties file must be prefixed with **field** and suffixed with **.label.txt**. The following is an example of how to create a property for a custom field CASE.X_FED_REGULATOR_CD where CASE is the custom table name and X_FED_REGULATOR_CD is the custom field name:

```
field.case.x_fed_regulator_cd.label.txt = Fed Regulator
```

Custom Table Headers

For custom table headers in the version history, the label must be prefixed with **table** and suffixed with **.label.txt**. The following example is for a custom table header “X_ACCOUNT”:

```
table.x_account.label.txt = Accounts
```

Reference Tables

Using the same example for determining a reference table value, any field name ending in a reserved suffix is looked up in the table REF_TABLE_VALUE in the database. Only suffix a field name if you intend it to be looked up as a reference to another value or formatted as a specific value. The following table shows the reserved suffixes and how they are formatted and looked up.

Reserved Field Name Suffix	Converted Format
_CD*	String reference
_FLG*	Boolean reference
_AMT	Currency format
_DT	Date format
_TM	Time format
_DTTM	Date/time format

*These suffixes are referenced in the REF_TABLE_VALUE for a converted value to display. If none are found, the original value of the field is displayed.

When creating reference table values for a column, the naming convention for the reference table name is to prefix the base column name with “X_RT_” and to strip off the column suffix. For example, to create reference table values for a field named CASE.X_FED_REGULATOR_CD, create records in REF_TABLE_VALUE with REF_TABLE_NM = “X_RT_FED_REGULATOR”.

Search Panels

Search Criteria

Fields that appear as search criteria on the case search panel are configured in the CASE_SEARCH_CRITERIA_FIELD table. Fields that appear as search criteria on the incident search panel are configured in the INCIDENT_SEARCH_CRITERIA_FIELD table. Fields that appear as search criteria on the party search panel are configured in the PARTY_SEARCH_CRITERIA_FIELD table. Fields that appear as search criteria on the report search panel are configured in the RR_SEARCH_CRITERIA_FIELD table. Fields that appear as search criteria on the e-file search panel are configured in the EFILE_SEARCH_CRITERIA_FIELD table. The structure for all five tables is the same, and each table contains the following columns:

Note: Searches on LONGVARCHAR fields are not supported.

USER_ID

contains the user ID of the user this configuration applies to. The value equals “*” for the default configuration for all users.

TABLE_NM

contains the table name of the search criteria field.

FIELD_NM

contains the name of the search criteria field.

DISPLAY_ORDER_NO

contains the search criteria display order. If the value is greater than 100, then the search criteria appear in a second column within the search criteria section.

REF_TABLE_NM

optionally contains the reference table name used to populate a drop-down list of possible values to search for.

User-Specified Configurations

Default configurations are installed with the product for case, incident, party, report, and e-file search criteria. The default configurations only reference static fields (no user-defined fields). Customers can change the default configurations by modifying the previous database tables directly. Customers can also define user-specific search criteria configurations by setting the USER_ID column value appropriately. If there is no user-specific configuration for the user, the default configuration is used.

Searchable Fields

Any static or user-defined field on any business object (case, incident, party, report, or e-file) table can be used as search criteria. Customers can reference fields in associated business object tables as search criteria. For example, the customer can specify PARTY.PARTY_FULL_NM in the CASE_SEARCH_CRITERIA_FIELD table to allow users to search for cases by party full name. The search looks for all cases that have one or more parties associated with the case with full name equal to the specified party full name.

The following special field can be used as search criteria within the CASE_SEARCH_CRITERIA_FIELD table:

TEMP.WORK_LIST_CASE_FLG

returns all cases that the currently logged-on user can work on as defined in the workflow instances associated with the cases.

The following special field can be used as search criteria within the INCIDENT_SEARCH_CRITERIA_FIELD table:

TEMP.UNASSIGNED_INCIDENT_FLG

returns all unassigned incidents (incidents not associated with a case).

The following special field can be used as search criteria within the RR_SEARCH_CRITERIA_FIELD table:

TEMP.WORK_LIST_REPORT_FLG

returns all reports that the currently logged-on user can work on as defined in the workflow instances associated with the reports.

The following special fields can be used as search criteria within the RR_SEARCH_CRITERIA_FIELD and EFILE_SEARCH_CRITERIA_FIELD tables:

FORM_CONFIG.FORM_AGENCY_CD

returns all reports or e-files whose form configuration matches the value given for the form configuration agency.

FORM_CONFIG.FORM_TYPE_CD

returns all reports or e-files whose form configuration matches the value given for the form configuration type.

FORM_CONFIG.FORM_COUNTRY_CD

returns all reports or e-files whose form configuration matches the value given for the form configuration country code.

The following special fields can be used as search criteria within all of the search criteria field tables:

TEMP.ENTITY_SUBSCRIPTION_FLG

returns all the objects of the type being searched for that the currently logged in user has a subscription to.

Note: It is not necessary to enter wildcards (*) when entering search criteria. The search returns results that include all instances of the search criteria. For example: Entering 2009 in the Case ID field returns all cases that contain 2009 in their Case ID. You do not have to enter a wildcard with 2009.

Field Labels

The labels for all static search criteria shipped in the default configuration are specified in the SAS Enterprise Case Management resource bundle file (com.sas.solutions.casemgmt.i18n.AppResources.properties). All other labels must be specified in the custom resource bundle file. The naming convention for search criteria field resource bundle keys is as follows:

- field.<lowerCaseTableName>.<lowerCaseFieldName>.label.txt
- Here is an example:
 - field.party.party_full_nm.label.txt=Subject name:

User Interface Controls

Field Data Type	UI Control	Description
Any	Drop-down list	<p>If REF_TABLE_NM is specified, show a drop-down list of possible values from the reference table.</p> <p>The REF_TABLE_NM is also useful for Boolean fields when searching for checked or unchecked values. By creating entries in REF_TABLE_VALUE, you can create a drop-down list where REF_TABLE_NM is 'X_RT_SEARCH_FLG'. The VALUE_CD field will hold the Boolean values 0 and 1, and the VALUE_DESC field will contain whatever is needed as the label in the drop-down list, (for example, True/False or Yes/No). See “Configuring Reference Tables in the Database” on page 85 for more information.</p>
VARCHAR	Text	Show text input field.
BIGINT / DOUBLE	Number range	Show number from or to input fields.
BOOLEAN	Check box	<p>Show a check box.</p> <p>This is useful only for special case search fields as defined in “Searchable Fields” on page 90. An example is TEMP.WORK_LIST_CASE_FLG.</p>
DATE / TIMESTAMP	Date range	Show date from or to input fields. The date format is determined by the user locale.

Search Filters

Fields that appear as search filters on the case search panel are configured in the CASE_SEARCH_FILTER_FIELD table. Fields that appear as search filters on the incident search panel are configured in the INCIDENT_SEARCH_FILTER_FIELD table. Fields that appear as search filters on the party search panel are configured in the PARTY_SEARCH_FILTER_FIELD table. Fields that appear as search filters on the report search panel are configured in the RR_SEARCH_FILTER_FIELD table. Fields

that appear as search filters on the e-file search panel are configured in the `EFILE_SEARCH_FILTER_FIELD` table. The structure for all of the tables is the same, and each contains the following columns:

USER_ID

contains the user ID of the user this configuration applies to. The value equals “*” for the default configuration for all users.

TABLE_NM

contains the table name of the search filter field.

FIELD_NM

contains the name of the search filter field.

DISPLAY_ORDER_NO

contains the search filter display order.

REF_TABLE_NM

optionally contains the reference table name used to populate a drop-down list of possible values to filter by.

User-Specified Configurations

Default configurations are installed with the product for case, incident, party, report, and e-file search filters. The default configurations only reference static fields (no user-defined fields). Customers can change the default configurations by modifying the previous database tables directly. Customers can also define user-specific search filter configurations by setting the `USER_ID` column value appropriately. If there is no user-specific configuration for the user, the default configuration is used.

Filterable Fields

Any static or user-defined field on any business object (case, incident, party, report, and e-file) table that can be used in conjunction with a reference table can be used as a search filter. Customers can reference fields in associated business object tables as search filters. For example, the customer can specify `PARTY.PARTY_TYPE` in the `CASE_SEARCH_FILTER_FIELD` table to allow users to filter cases by party type. The search looks for all cases that have one or more parties associated with the case with the specified party type.

Field Labels

The labels for all static search filters shipped in the default configuration are specified in the SAS Enterprise Case Management resource bundle file (`com.sas.solutions.casemgmt.i18n.AppResources.properties`). All other labels must be specified in the custom resource bundle file. The naming convention for search filter field resource bundle keys is the same as specified for search criteria.

Search Results

Fields that appear as search results on the case search panel are configured in the `CASE_SEARCH_RESULT_FIELD` table. Fields that appear as search results on the incident search panel are configured in the `INCIDENT_SEARCH_RESULT_FIELD` table. Fields that appear as search results on the party search panel are configured in the `PARTY_SEARCH_RESULT_FIELD` table. Fields that appear as search results on the report search panel are configured in the `RR_SEARCH_RESULT_FIELD` table. Fields

that appear as search results on the e-file search panel are configured in the EFILE_SEARCH_RESULT_FIELD table. The structure for all three tables is the same, and each contains the following columns:

USER_ID

contains the user ID of the user this configuration applies to. The value equals “*” for the default configuration for all users.

TABLE_NM

contains the table name of the search result field.

FIELD_NM

contains the name of the search result field.

DISPLAY_ORDER_NO

contains the search result column display order.

REF_TABLE_NM

optionally contains the reference table name used to render coded values as displayable values.

User-Specified Configurations

Default configurations are installed with the product for case, incident, party, report, and e-file search results. The default configurations only reference static fields (no user-defined fields). Customers can change the default configurations by modifying the previous database tables directly. Customers can also define user-specific search result configurations by setting the USER_ID column value appropriately. If there is no user-specific configuration for the user, the default configuration is used.

Displayable Fields

Any static or user-defined field in the business object (case, incident, party, report, or e-file) that contains one value can be shown in the search result table. You cannot show fields in associated business object (case, incident, party, report, or e-file) tables as search results. The following derived field can be shown in the incident search result table:

INCIDENT.CASE_ID

shows the ID of the associated case or is blank if the incident is unassigned.

Column Header Labels

The labels for all static search result column headers shipped in the default configuration are specified in the SAS Enterprise Case Management resource bundle file (com.sas.solutions.casemgmt.i18n.AppResources.properties). All other labels must be specified in the custom resource bundle file. The naming convention for search result field resource bundle keys is as follows:

- field.<lowerCaseTableName>.<lowerCaseFieldName>.header.txt
- Here is an example:
 - field.party.party_full_nm.header.txt=Subject Name

SAS Metadata Repository Properties

The following SAS Enterprise Case Management properties in the SAS Metadata Repository can be manually set from the SAS Management Console.

Property Name	Description
DB.Schema	The name of the database schema that contains the SAS Enterprise Case Management tables. The initial value is prompted for during the installation.
Reassign.Case.User.Group.Or.Role	The name of the group or role defined in the SAS Metadata Repository. This name is used to populate the drop-down list of users to set as primary owner. The initial value is “Ent Case Mgmt Users”, which contains all SAS Enterprise Case Management users.
Table.Records.Per.Page	The number of records to show per page within tables that support pagination. The initial value is 20.
WF.Sleep.Time.Millis	<p>The default workflow transition wait time. SAS Enterprise Case Management waits the given number of milliseconds after any case or report save when a workflow is updated. This helps ensure that any policies executed as a result of the workflow transition complete before the user edits the object again. The initial value is 1000.</p> <p><i>Note:</i> It is not recommended to set the WF.Sleep.Time.Millis property to a value of less than 1000 milliseconds.</p>

Chapter 6

Using the Custom Page Builder

Overview of the Custom Page Builder	97
Customizable User Interfaces	98
Assigning the Custom Page Builder Permission	99
Working with User Interface Definitions	99
View User Interface Definitions	99
Edit the User Interface Definition	99
Upload the User Interface Definition	99
Delete the User Interface Definition	100
Valid XML Elements and Descriptions for User Interface Definitions	100
Custom Page Builder: Creating Custom Help	108
Expressions and Functions	109
About Expressions and Functions	109
Customization Examples	109
How to Customize the User Interface Definition Files	109
About Required and Non-Required Fields	110
Customize Error Messages	111
Example: Hiding a Required Field	111
Example: Specifying a Read-Only Field	111
Example: Specifying the Number of Decimal Digits	111
Example: Validating Dates	111
Example: Specifying Drop-Down Lists and Radio Buttons	112
Example: Specifying a Text Area and a Text Field	112
Dynamic Conditional Logic in User Interface Definition Files	112
Example: Creating a Custom Function	114
Creating a Custom Component	115
Custom Page Builder Components	118
Overview	118
Static Component Field Formatters	119
Custom Action	119

Overview of the Custom Page Builder

User interface (UI) definition files specify the form and content of pages presented in SAS Enterprise Case Management, the data that is captured, and how data is validated.

UI definition files must be uploaded from the **Administration** tab within SAS Enterprise Case Management.

Using the Custom Page Builder, you can make fields either mandatory or optional. You can display or hide fields depending on the entries selected for other fields. In addition, default values and validations can be freely defined.

The following panels can be customized:

- case detail panel
- incident detail panel
- party (or subject) detail panel
- e-file detail panel
- report detail panel

In addition, the Custom Page Builder enables you to do the following:

- specify the order of fields on a panel
- group fields into sections, subsections, and tabs
- override the default labels for fields
- specify property keys to use strings from custom.properties (or other properties files)
- hide or show fields, sections, subsections, and tab-sections
- specify whether a field is Read-Only or can be edited
- configure the number of decimal digits visible for numeric fields
- specify the default value for any field
- specify custom validation expressions that must be passed before the user is able to continue through a workflow
- specify the maximum and minimum values allowed in date and number fields
- control field rendering for certain fields:
 - For single-select list fields, you can choose between a drop-down list and radio buttons.
 - For string fields, you can choose between a text field and a text area, and also control the size of the text field or the text area.

Note: You can also apply the above list to auxiliary (aux) fields.

Certain fields are required by design, and you can specify optional fields as required fields. Although you cannot specify required fields as optional, you can hide these fields. For more information see [“Example: Hiding a Required Field” on page 111](#).

Customizable User Interfaces

You can customize the following subsets of user interfaces with the Custom Page Builder for the following subject areas:

- Create/Edit Case
- Create/Edit Incident
- Create/Edit Subject

- Create/Edit Reports
- Create/Edit E-Files
- Add/Edit Row of User-Defined Table

Assigning the Custom Page Builder Permission

To load and update user interfaces using the Custom Page Builder, you must assign a global capability to an existing or new role. Then you must assign the user to that role. Use SAS Management Console to specify the role and to assign the user to the role. See *SAS Management Console: Guide to Users and Permissions* for more information.

Working with User Interface Definitions

View User Interface Definitions

To view user interface definitions, select the **Administration** tab and select the user interface definition that you want to view.

Edit the User Interface Definition

To edit a user interface definition:

1. Select the **Administration** tab.
2. Click **Download User Interface Definition** from the pop-up menu.
3. Edit the file with a text editor or with your favorite XML editor.

Note: You can validate the structure of the file against the uiDefinition.dtd file. The file can be found at `SAS_HOME/SASFoundation/9.3/misc/casemgmtmva/sample/uidef/uiDefinition.dtd` for UNIX platforms, or `SAS_HOME\SASFoundation\9.3\casemgmtmva\sasmisc\sample\uidef\uiDefinition.dtd` for Windows platforms.

4. Upload the changes. For more information, see [“Upload the User Interface Definition” on page 99](#).

Note: You do not need to restart the server after uploading the user interface definition.

Upload the User Interface Definition

To upload a user interface definition:

1. Select the **Administration** tab.
2. Click **Upload User Interface Definition**. The Upload User Interface Definition File window appears.
3. Type the path to the file, or click **Browse** to navigate to it.
4. Enter a description. This step is optional.

5. Click **OK**. Any warnings or errors found in the user interface definition file are displayed.
6. When you are satisfied with the results, click **Upload User Interface Definition**.

Note: You do not need to restart the server after uploading the user interface definition.

Delete the User Interface Definition

Deleting a user interface definition removes the file from the system. This is an unrecoverable operation. To delete a user interface definition:

1. Select the **Administration** tab.
2. For the corresponding user interface definition that you want to delete, click the action menu, and then click **Delete**.

Valid XML Elements and Descriptions for User Interface Definitions

A user interface definition file is an XML document consisting of a top-level `<ui-definition>` element with attributes and child elements that describe the form and content of the pages, their validations, derived fields, and conditional logic. The user interface definition files must be written in valid XML that conforms to the structure described in the document type definition (DTD) `uiDefinition.dtd`. For more information about where to locate a copy of this file, see [“Edit the User Interface Definition” on page 99](#).

Note: For information about XML, including how to handle special characters, see <http://www.w3.org/TR/REC-xml/>.

The following table describes the XML format used in the user interface definition files:

Table 6.1 XML Format

Element	Description
<code><ui-definition></code>	<p>The top-level element that describes the panels in the UI definition.</p> <p>Attributes:</p> <ul style="list-style-type: none"> id — A unique identifier for this user interface definition (user-defined). This must be a valid XML name. type — Indicates the type of object that this UI definition is used for. Valid values include Case, Incident, and Party. <p>Child Elements:</p> <ul style="list-style-type: none"> A <code><title></code> element. The title appears on the administration page, but it is not visible to the end user (for example, the user who is editing an issue). One or more <code><panel></code> elements.

Element	Description
<function>	<p>Declares a custom function.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • name — The name that is used to reference the custom function. Custom function names must begin with “C_” (or “c_”). • qualified-class-name — The fully qualified class name. <p>For more information about creating custom functions, see “Example: Creating a Custom Function” on page 114.</p>
<component>	<p>Declares a custom component.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • name — The name that is used to reference the custom function. Custom function names must begin with “C_” (or “c_”). • qualified-class-name — The fully qualified class name.
<panel>	<p>Describes the appearance and behavior of a single panel.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • ID — The panel ID. This must be a valid XML name and a valid SAS name. <p>Child elements:</p> <ul style="list-style-type: none"> • An optional <title> element providing the panel title. • An optional <initialize> section that describes any initialization that must be performed before the panel is rendered. • Any number of <field> elements. • An optional <finalize> section that describes any validations or computations that must be performed when the user clicks Save. • Zero or more <action-group> elements.
<initialize>	<p>An optional section that contains code that is executed before the panel appears.</p> <p>Child elements:</p> <ul style="list-style-type: none"> • Zero or more <set> elements that set variables to some computed value. • Zero or more panel-level <validation> elements that are performed before the panel is rendered.
<finalize>	<p>An optional section that contains code that is executed when the panel is considered complete (usually when the user clicks Save).</p> <p>Child elements:</p> <ul style="list-style-type: none"> • Zero or more <set> elements that compute derived fields. These fields are evaluated when the user clicks Save. • Zero or more panel-level <validation> elements that are checked when the user clicks Save.

Element	Description
<code><set></code>	<p>Evaluates an expression and stores its value in the memory hash table.</p> <p>Attributes:</p> <ul style="list-style-type: none">• name — The variable name that corresponds to a field. If the name is not specified, this is treated like a function call having no return value.• value — An expression that is evaluated with the resulting value stored in the named variable in the memory hash table.

Element	Description
<code><field></code>	<p>Describes a prompt for user input.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • name — The name of the field. This should be one of the names associated with the type of user interface definition that you are editing. • type — The GUI component that is used for the input control. The following are valid values: string, number, Boolean, drop-down, check box, radio, date, text area, hidden, read-only, component. • component-name (optional) — The name of a fixed panel component. Applicable only if type="component". Ignored otherwise. • length (optional) — The width of the input control on the panel (not necessarily the field length in the database). • rows (optional) — Applies to text area type only. Indicates the number of rows in the text area. • max-length (optional) — The maximum length of the input content allowed in the text input. • decimal-digits (optional) — Limits the number of digits in number format. • min (optional) — Minimum value for dates and numbers. • max (optional) — Maximum value for dates and numbers. • minSelectableDate (optional) — Minimum selectable date. This attribute affects only the date chooser and not validation. • maxSelectableDate (optional) — Maximum selectable date. This attribute affects only the date chooser and not validation. • default (optional) — An expression whose value is used as the default value for the field. This value is used only when creating a new object. • values (optional) — An expression whose value is a list of items used to populate a drop-down list, check box, or radio button group. Each item in the list is a label and value pair, where label is the displayed value, and value is the internally used value. Using the values attribute with a check box displays a group of check boxes to be multi-selected. <p><i>Note:</i> Although multiple values for a string, number, Boolean, or text area type field are permissible, a result will not be set if used, since these field types can handle only a single value.</p> <ul style="list-style-type: none"> • align (optional) — The alignment of the input field's label. Valid values are top, left, and inline. The default is left. • required (optional) — An expression that is evaluated by the expression handler to determine whether the user must complete the field before saving. Default is false. • visible (optional) — An expression that is evaluated by the expression handler to determine whether the field is visible. Default is true.

Element	Description
<field>	<p>Describes a prompt for user input.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • readonly (optional) — An expression that is evaluated by the expression handler to determine whether the field is Read-Only. Default is false. You can also specify neverBeenSaved. • escape-xml (optional) — By default, any XML character in a Read-Only field is escaped. Specifying false keeps the characters from being escaped. Default is true. Only valid for Read-Only fields. <p>Child elements:</p> <ul style="list-style-type: none"> • A <label> element that specifies the label and prompt for this input field. • Zero or more <validation> elements, which are evaluated when the user clicks Save. • Zero or more <param> elements, which are applicable only if type="component". • An optional <on_change> element, which describes any dynamic actions to be executed when the field value changes. • An optional <true_label> element, which is applicable only if type="boolean". • An optional <false_label> element, which is applicable only if type="boolean".
<label>	<p>The label or prompt displayed for a field. If styled input is required (for example, multiple lines with bullets), you can specify this element as a CDATAsection with embedded HTML.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • separator-visible — By default, a separator (colon) is added to the label. Specifying false suppresses the separator. <p>Child elements:</p> <ul style="list-style-type: none"> • Zero or more <message> elements.
<param>	<p>A parameter passed to a fixed panel component.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • name — The parameter name. The name is required when the parameter is for a field, but should be empty when the parameter is for a message. • value — An expression that is evaluated, with the result used as the parameter value. If no value is specified, the content of the element is used. <p><i>Note:</i> The entire <param> statement, including the attributes and values, must be on one line.</p>

Element	Description
<validation>	<p>A test that is performed at the panel, section, or field level. The test is evaluated by the expression handler (usually, when the user clicks Save), and if it is false, the error message is displayed and the user remains on the same panel.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • test — An expression that is evaluated by the expression handler using the current contents of the memory hash table (which will include the values from the database and everything the user has entered up to this point). For example, if the input field was a month number in a field named MONTH, the expression might be test="month ge 1 and month le 12". <p>Child elements:</p> <ul style="list-style-type: none"> • An <errmsg> element that describes the message to display if the test fails.
<errmsg>	<p>An error message that displays if a validation fails.</p> <p>Child elements:</p> <ul style="list-style-type: none"> • Zero or more <message> elements.
<section>	<p>Describes the appearance and behavior of a section of other elements.</p> <p>Attributes</p> <ul style="list-style-type: none"> • id — The section ID. This must be a valid XML name (also a valid SAS name). • required (optional) — An expression that is evaluated by the expression handler to determine whether the section should display the required indicator. Default is false. • visible (optional) — An expression that is evaluated by the expression handler to determine whether the section is visible. Default is true. • expanded (optional) — An expression that is evaluated by the expression handler to determine whether the section is expanded by default. Default is true. <p>Child elements:</p> <ul style="list-style-type: none"> • An optional <label> element providing the section label and title. • Zero or more <field> elements. • Zero or more <section> elements. • Zero or more <if> elements. • Zero or more <validation> elements, which are evaluated when the user clicks Save. • Zero or more <action-group> elements.

Element	Description
<tab-section>	<p>A <tab-section> element can be nested under a <section>, <tab>, or <panel> element to define a set of tab pages.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • id — The section ID. This must be a valid XML name (also a valid SAS name). <p>Child elements:</p> <ul style="list-style-type: none"> • One or more <tab> elements.
<tab>	<p>A tab is nested under a <tab-section> and defines a tab page.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • id — The section ID. This must be a valid XML name (also a valid SAS name). • required (optional) — An expression that is evaluated by the expression handler to determine whether the tab should display the required indicator. Default is false. <p>Child elements:</p> <ul style="list-style-type: none"> • An optional <label> element providing the tab label and title. • Zero or more <field> elements. • Zero or more <section> elements. • Zero or more <if> elements. • Zero or more <validation> elements, which are evaluated when the user clicks Save. • Zero or more <action-group> elements.
<if>	<p>A group of other elements that is conditionally included on the panel.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • test — An expression that is evaluated by the expression handler to determine whether the contents of the <if> should be active or not. <p>Child elements:</p> <ul style="list-style-type: none"> • Any number of <field> elements. • Any number of <section> elements. • Any number of <if> elements. • Zero or more <action-group> elements.
<message>	<p>A localized message.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • key — The key of the string in the resource bundle. <p>Child elements:</p> <ul style="list-style-type: none"> • Zero or more <param> elements.

Element	Description
<true-label>	<p>True label for fields of type Boolean.</p> <p>Child elements:</p> <ul style="list-style-type: none"> • Zero or one <message> elements.
<false-label>	<p>False label for fields of type Boolean.</p> <p>Child elements:</p> <ul style="list-style-type: none"> • Zero or one <message> elements.
<on-change>	<p>A group of dynamic actions to be executed when the value of the field changes.</p> <p>Child elements:</p> <ul style="list-style-type: none"> • Zero or more <set_visible> elements. • Zero or more <set_required> elements. • Zero or more <set_value> elements.
<set-visible>	<p>A dynamic action that sets the visibility of a field.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • name — The name of a field on the current panel. • test — An expression that is evaluated by the expression handler to determine whether the field will be shown or hidden.
<set-required>	<p>A dynamic action that sets the required state of a field.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • name — The name of a field on the current panel. • test — An expression that is evaluated by the expression handler to determine whether the field will be required or optional.
<set-values>	<p>A dynamic action that sets the selectable values of a drop-down list.</p> <p>Attributes:</p> <ul style="list-style-type: none"> • name — The name of a field on the current panel. • values — An expression that is evaluated by the expression handler to determine the selectable values that are allowed.
<set-value>	<p>A dynamic action that sets the value of the field</p> <p>Attributes:</p> <ul style="list-style-type: none"> • name — The name of a field on the current panel. • value — An expression that is evaluated by the expression handler to determine the value.

Custom Page Builder: Creating Custom Help

You can customize the help tags for SAS Enterprise Case Management. by adding context-sensitive help tags on the `<screen>` and `<help-text>` elements. However, using both the help attribute for the `<screen>` element (external help) and the `<help-text>` element (inline help) on the same page is not supported. If you configure external help and inline help on the same panel, then a warning displays when you upload the panel definition file. In addition, only the external help is available for the panel.

The following example demonstrates how to link to a Web page using the `help` attribute for the `<screen>` element:

Example Code 6.1 Linking to an External Help Page

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ui-definition SYSTEM "uiDefinition.dtd">
<ui-definition id="actionplan-ui-def" type="ActionPlan">
  <title>ActionPlan UI Definition</title>
  <screen id="actionPlan" help="http://www.sas.com">
    ...
  </screen>
</ui-definition>
```

You can add context sensitive help tags on the `<screen>` and `<help-text>` elements. This example demonstrates how to add inline help text using the `<help-text>` element:

Example Code 6.2 Inline Help Text

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE ui-definition SYSTEM "uiDefinition.dtd">
<ui-definition id="issue-ui-def" type="Issue">
  <title>Issue UI Definition</title>
  <screen id="issue">
    <help-text>
      <![CDATA[
        <h1>Sample Custom Help</h1>
        <ul>
          <li><b>Field #1:</b>Please help me with this field...</li>
          <li><b>Field #2:</b>Please help me with this field...</li>
          <li><b>Field #3:</b>Please help me with this field...</li>
        </ul>
        <p>This is example help text.</p>
      ]]>
    </help-text>
    ...
  </screen>
</ui-definition>
```

Expressions and Functions

About Expressions and Functions

An expression is any valid set of literals, variables, operators, and functions that evaluates to a single value. Quite a few element attributes support expressions, including the following examples:

- the **test** attribute of **<validation>** and **<if>** elements
- the **value** attribute of **<set>** elements and dynamic actions
- the **visible** and **required** attributes of **<field>** and **<section>** elements

Expressions can reference any valid field defined in the specific UI definition type. These fields include the fields of the primary object (for example, the Action Plan that is being edited) in addition to fields for any useful secondary objects (for example, the parent Issue of the Action Plan that is being edited). Temporary and derived fields are also supported. Expressions can use these fields in combination with the usual arithmetic operators (add, subtract, multiply, divide), relational operators (for example, $a > b$, $c = 10$, $d \leq 20$), and logical operators (for example, a and b or c and d and not e). The use of expressions and operators enables you to express business rules directly in the user interface definition files.

In addition to arithmetic, relational, and logical operators, there is a set of functions that you can use in the expressions. Some of these functions could be used for field validation (for example, `empty()`, `validNumber()`, `validDate()`). Also, you might use functions to construct derived fields (for example, `concat()`, `length()`, `if()`). Finally, you might use functions to access data sources (for example, `getCodeTableLabelValues()`, `getCodeEnumerationLabelValues()`, or `getAuxOptionLabelValues()`).

For more information about expressions and functions, see the Custom Page Builder documentation found on the **Administration** tab of SAS Enterprise Case Management.

Customization Examples

How to Customize the User Interface Definition Files

The following steps provide a high-level overview of the customization process for user interface definition files:

1. Log on to SAS Enterprise Case Management and download the user interface definition that you want to edit. For more information, see [“Working with User Interface Definitions”](#) on page 99.
2. Edit the user interface definition file by adding allowable XML elements to the file. For example, to add a new field to a panel, you add the **<field>** element. There are several customization examples provided in this chapter. For more information about supported XML elements, see [“Valid XML Elements and Descriptions for User Interface Definitions”](#) on page 100.

Note: You do not need to change the application source code or the database. By default, almost every panel provides six numeric, alphanumeric, Boolean,

currency, date, and drop-down fields. You can enable and label those fields as required. For more information about required fields, see [“About Required and Non-Required Fields” on page 110](#).

3. Enter validation code to validate user entries in the user interface definition files.
4. Upload any values that you added to the user interface definition files (for example, an additional value in a drop-down list).
5. To use the new field or structure in the user interface definition files, upload the changed version of the user interface definition file. For more information, see [“Upload the User Interface Definition” on page 99](#).
6. To make the new field available to users of the SAS reporting tools, you must give it a meaningful name that you can use within the target reports and the list of fields available to be included in reports. You can do this by using SAS Information Map Studio. In SAS Information Map Studio, you must select the referring field from the list of available fields in the database and give it a name. For more information, see the Help for SAS Information Map Studio, accessible within the product.

About Required and Non-Required Fields

Certain fields are required by design, and you can specify optional fields as required fields. Although you cannot specify required fields as optional, you can hide these fields. For more information, see [“Example: Hiding a Required Field” on page 111](#). When you set a field as required, the system automatically checks whether the user has provided data. If a drop-down list is used, then the system checks whether the user made a selection. If the user does not provide the data, or if the user does not select a value from the drop-down list, then the system automatically displays an error message when the user tries to save the information. You can customize the text for error messages. For more information, see [“Customize Error Messages” on page 111](#). If any fields within a section are required, then the section heading is marked as required. For example:

```
<section id="details">
  <label><message key="application.details.txt"/></label>
  <field name="issueShortDesc" type="string" required="true">
    <label><message key="issue.field.issueShortDesc
      .displayName.txt"/></label>
  </field>

  <field name="issueId" type="string" required="true">
    <label><message key="issue.field.issueId.displayName.txt"/>
  </label>
  </field>
</section>
```

Note: If you specify that a non-required field is required in a user interface definition file, the existing data loaders do not check the newly required field to ensure that there is information entered for it. For example, if you use the Issues data loader and you specify that a field on an issue is now required, then the data loader will load that field without any values because it does not know that you changed a non-required field to be required.

Customize Error Messages

SAS Enterprise Case Management provides you with `customMessages.properties` files for each supported language. You can customize error messages by adding the error messages from the `server.properties` file to the `customMessages.properties` file. For example:

```
errors.required.fmt.txt="{0}" is required.
```

Example: Hiding a Required Field

You can hide fields that are required by the database design by using the **visible** and **default** attributes on the **<field>** element. For example, to hide the Issue ID field:

```
<field name="issueId" type="string" required="true"
      default="toString(issueRk) " visible="false">
  <label><message key="issue.field.issueId.displayName.txt"/>
</label>
</field>
```

In this example, the Issue ID field requires a unique value for each object. Note that you can also use functions on the default attribute. For example:

```
default="concat('ABC-', issueRk) "
```

Example: Specifying a Read-Only Field

Use the **readonly="true"** attribute to specify that a field is Read-Only. The default value of the **readonly** attribute is **false**. The following example demonstrates how to specify a Read-Only field:

```
<field name="sourceSystemCd" type="dropdown" required="true"
      default="'MON' " readonly="true">
  <label><message key="issue.field.sourceSystemCd
      .displayName.txt"/></label>
</field>
```

Example: Specifying the Number of Decimal Digits

To specify the number of decimal digits that should be used when formatting a number, use the **decimal-digits** attribute on the **<field>** element. The following example demonstrates how to specify 2 decimal digits on the Loss Amount field:

```
<field name="auxNum1" type="number" decimal-digits="2" required="true">
  <label>Loss Amount</label>
</field>
```

Example: Validating Dates

To validate date entries, use the **type** attribute to specify that the input control is a date. Then use the **min** or **max** attributes with a function on the **<field>** element for determining the date. The following example demonstrates how to specify that the minimum value entered for a date must be today's date:

```
<field name="targetDt" type="date" min="today()">
  <label><message key="issueEx.field.targetDt.displayName.txt"/>
</label>
</field>
```

Example: Specifying Drop-Down Lists and Radio Buttons

To specify whether a drop-down list or radio buttons are used for single-select fields, use the **type** attribute on the **<field>** element. The following example demonstrates how to specify a drop-down list:

```
<field name="issuePriorityTypeCd" type="dropdown" required="true">
  <label><message key="issue.field.issuePriorityTypeCd.displayName.txt"/>
</label>
</field>
```

The following example demonstrates how to specify radio buttons:

```
<field name="issuePriorityTypeCd" type="radio" required="true">
  <label><message key="issue.field.issuePriorityTypeCd.displayName.txt"/>
</label>
</field>
```

Example: Specifying a Text Area and a Text Field

To specify whether a string field appears as a text area, use the **type** attribute on the **<field>** element. Using **type="textarea"** creates a text area on your form. In addition, you can specify the number of rows contained in a text area by using the **rows** attribute. The following example demonstrates how to specify a text area with 6 rows:

```
<field name="issueDesc" type="textarea" rows="6" required="true">
  <label><message key="issue.field.issueDesc.displayName.txt"/></label>
</field>
```

You can also use the **type** attribute to specify that a string field appears as a text field with a specified length for the field. Using the **type="string"** attribute creates a text field on your form. Using the **length** attribute specifies the length of the text field. The following example demonstrates how to specify a text field that is 32 characters long:

```
<field name="referenceNo" type="string" length="32" required="true">
  <label><message key="issue.field.referenceNo.displayName.txt"/></label>
</field>
```

Dynamic Conditional Logic in User Interface Definition Files

You can perform the following types of dynamic actions when a field value changes:

- **set-visible** — shows or hides another field or section.

This example demonstrates how to define conditional logic within a page. In this example, the Name of Spouse text field appears only if Y is selected in the Married drop-down list.

Example: Using the **set-visible** action

```
<field name="auxOptionCd1" type="dropdown"
  values="getAuxOptionLabelValues('issue', 'auxOptionCd1')">
  <label>Married</label>
<on-change>
```



```

        <set-visible name="auxStr1" test="auxOptionCd1 = 'Y'"/>
    </on-change>
</field>

<field name="auxStr1" type="string" visible="auxOptionCd1 = 'Y'">
    <label>Name of Spouse</label>
</field>

```

Note: The value that is specified for the **test** attribute of the **<set-visible>** element will most likely be the same as the value that is specified for the **visible** attribute of the target **<field>** element.

- **set-required** — makes another field required or optional.

This example demonstrates how to define conditional logic within a page. It specifies that the Justification field is required if the value entered for the Loss Amount is greater than 1 million.

Example: Using the **set-required** action

```

<field name="auxNum1" type="number" decimal-digits="2" required="true">
    <label>Loss Amount</label>
    <on-change>
        <set-required name="auxStr2" test="auxNum1 > 1000000"/>
    </on-change>
</field>

<field name="auxStr2" type="textarea" required="auxNum1 > 1000000">
    <label>Justification</label>
</field>

```

Note: The value that is specified for the **test** attribute of the **<set-required>** element will most likely be the same as the value that is specified for the **required** attribute of the target **<field>** element.

- **set-values** — updates the selectable values of a drop-down list. Although radio buttons currently allow **<set-values>** in the DTD, this feature is not currently implemented. It is planned for a future release.

Example: Using the **set-values** action

```

<initialize>
    <!-- create a filter expression to be used in the filterLabelValue
         () function -->
    <set name="TEMP.myFilterExpr" value="'if(auxNum2 > 1000000,
        value = &quot;high&quot;;, true)'" />
</initialize>
:
<field name="auxNum2" type="number" decimal-digits="2" required="true">
    <label>Loss Amount</label>
    <on-change>
        <set-values name="auxOptionCd2"
            values="filterLabelValues(getAuxOptionLabelValues
                ('risk', 'auxOptionCd2'), TEMP.myFilterExpr)"/>
    </on-change>
</field>

<field name="auxOptionCd2" type="dropdown" required="true"
    values="filterLabelValues(getAuxOptionLabelValues
        ('risk', 'auxOptionCd2'),TEMP.myFilterExpr)">

```

```
<label>Risk</label>
</field>
```

Note: The value that is specified for the **values** attribute of the **<set-values>** element will most likely be the same as the value that is specified for the **values** attribute of the target **<field>** element.

Example: Creating a Custom Function

You can write your own custom functions and reference them in the user interface definition expressions. To create a custom function for use in the user interface definition files:

1. Write the Java code that represents the custom function (and compile it into a class).
For example:

```
package com.sas.cpb.customFunctions;

import com.sas.solutions.cpb.expr.function.Function;
import com.sas.solutions.cpb.runtime.EvaluationException;

/**
 * A custom function to uppercase a String.
 */
public class UpperFunction extends Function {

    /**
     * Returns the number of arguments required by the function.
     * This function expects one argument.
     */
    @Override
    public int getArgumentCount() {
        return 1;
    }

    /**
     * Evaluates the function using arguments specified
     * in the XML file.
     *
     * @param args the arguments passed to the
     * function (specified in the XML)
     * @throws EvaluationException
     */
    @Override
    public Object evaluate(Object[] args) throws
    EvaluationException {
        if (args[0] != null) {
            return args[0].toString().toUpperCase();
        }
        return null;
    }
}
```

2. Register the custom function in the user interface definition file. Before the **<screen>** element, insert the **<function>** tag in the user interface definition file.
For example:

```
<function name="C_upper" qualified-class-name="com.sas.cpb.customFunctions
.UpperFunction"/>
```

Note: You must prefix the custom function names with “C_” to prevent naming conflicts with the Custom Page Builder standard components.

Creating a Custom Component

You can create your own custom components for use in the panel definition. Components are graphical widgets that appear on the panel (for example, the color chooser). A custom component is one that you can write to supplement the components that are provided in SAS Enterprise Case Management.

Follow these steps to create a custom component:

1. Write the Java code that represents the custom component. For example:

```
package com.sas.cpb.customComponents;

import java.io.IOException;
import java.util.Locale;
import java.util.Map;
import java.util.ResourceBundle;

import javax.servlet.ServletException;
import javax.servlet.http.HttpServletRequest;
import javax.servlet.jsp.JspException;
import javax.servlet.jsp.JspWriter;
import javax.servlet.jsp.PageContext;

import org.apache.commons.logging.Log;
import org.apache.commons.logging.LogFactory;

import com.sas.solutions.cpb.runtime.EvaluationException;
import com.sas.solutions.cpb.runtime.UIContext;
import com.sas.solutions.cpb.runtime.component.CustomComponent;
import com.sas.solutions.cpb.screendefs.Field;
import com.sas.solutions.cpb.server.ApplicationProperties;
import com.sas.solutions.cpb.util.FieldUtil;
import com.sas.solutions.cpb.web.runtime.FieldRenderContext;
import com.sas.solutions.cpb.web.util.HTMLUtil;
import com.sas.solutions.cpb.web.util.RequestUtil;

/**
 * An example custom component to allow the user
 * to choose a color (and store it
 * in the UI context as a String in the form "#RRGGBB").
 */
public class ColorChooserComponent extends com.sas.solutions.cpb.runtime.component.
    CustomComponent {

    private static final Log log = LogFactory.getFactory().getInstance
        (ColorChooserComponent.class);

    /**
     * Renders the HTML for this component.
```

```

*
* @param value the current value of the field being rendered by the component
* @param field the field being rendered by the component
* @param readOnly if the field should be rendered as read-only
* @param formName the name of the HTML form that will contain the field
* @param uiContext the current UIContext
* @param pageContext the current PageContext
* @param out the output stream to use for writing HTML
* @param locale the clients locale
*/

/**
* Update the UI context with the field value.
*
* @param field the field being rendered by the component
* @param uiContext the current UIContext
* @param parameters a map of parameters specified for the field (if any)
* @param request the HttpServletRequest
*/
@Override
public boolean updateContext(Field field, UIContext uiContext, Map<String, Object>
    parameters, HttpServletRequest request) {
    // this should match the name of the HTML input we rendered above
    String fieldName = FieldUtil.formatFieldName(field.getName());
    // retrieve the new value from the request
    String value = request.getParameter(fieldName);
    // If the value is null, then it wasnt submitted, so dont clear the
    // value in the UI context.
    if (value != null) {
        uiContext.setValue(fieldName, value);
        return true;
    }
    return false;
}

@Override
public void render(FieldRenderContext renderContext) throws IOException,
    ServletException, EvaluationException {
    try {
        Field field = renderContext.getField();
        UIContext uiContext = renderContext.getUIContext();
        Object value = renderContext.getFieldValue();
        JspWriter out = renderContext.getOut();
        String fieldName = field.getUnqualifiedFieldName();
        PageContext pageContext = renderContext.getPageContext();
        Locale locale = renderContext.getRequest().getLocale();

        // retrieve the application resource bundle
        final ResourceBundle bundle = ApplicationProperties.getBundle();
        // the name of the field, properly formatted for use as the name of an
        // HTML String fieldName = FieldUtil.formatFieldName(field.getName());
        // get the localized label for the field (from one of the properties files)
        String label = FieldUtil.getLabel(field, uiContext, true);
        // if the current value isnt a String, dont use it
        String color = value instanceof String ? (String) value : "";
        // output the HTML for this component

```

```

        out.println("<div class=\"section\" style=\"padding:5px\">");
        out.println("<div id=\"colorPicker\" + fieldName + \"\" ></div>");
        out.println("<script type=\"text/javascript\">");
        out.println("function colorClicked(td) {");
        out.println("    var color = td.getAttribute(clr);");
        out.println("    elementById(\"\" + fieldName + \").value = color;");
        out.println("    elementById(\"colorBox\" + fieldName + \").style.background = "
            + color + ";");
        out.println("}");
        out.println("var colorCodes = [FF, CC, 99, 66, 33, 00]; ");
        out.println("var codeCount = colorCodes.length;");
        out.println("var html = \"<table>\";");
        out.println("for (var i = 0; i < codeCount; i++) {");
        out.println("    html += \"<tr>\";");
        out.println("    for (var j = 0; j < codeCount; j++) {");
        out.println("        for (var k = 0; k < codeCount; k++) {");
        out.println("            var color = \"#\" + colorCodes[i] + colorCodes[j] + "
            + colorCodes[k];");
        out.println("            html += \"<td style=cursor:default; background: \""
            + "\" + color + \" onclick=colorClicked(this)"
            + "\" clr=\"" + color + ">&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&nbsp;&~";
        out.println("        }");
        out.println("    }");
        out.println("    html += \"</tr>\";");
        out.println("}");
        out.println("html += \"</table>\";");
        out.println("elementById(\"colorPicker"
            + fieldName + \").innerHTML = html;");
        out.println("</script>");
        out.println("<br/>");
        // output the required and error indicators
        HTMLUtil.writeFieldIndicators(fieldName,
            FieldUtil.isRequired(field, uiContext),
            false, // this field will never be confidential
            RequestUtil.isFieldValueInvalid(fieldName, pageContext), locale, out);
        // output the label
        out.println("<span class=\"fieldlabel\">" + label + "</span>");
        // display the previously selected color
        String boxImgUrl = bundle.getString("application.colorbox.image");
        out.print("<img align=\"absmiddle\" src=\""
            + boxImgUrl + "\" id=\"colorBox\" + "
            + fieldName + "\" style=\"background:" + color
            + "\"; vertical-align: top;border: 0px solid black\" />" + "&nbsp;");
        // output an HTML input so that it will be submitted with the form
        out.println("<input id=\"" + fieldName
            + "\" type=\"text\" name=\"" + fieldName
            + "\" value=\"" + color + "\" />");
        out.println("</div>");
    } catch (EvaluationException e) {
        log.error("Error rendering component.", e);
    } catch (JspException e) {
        // TODO Add your JSP catch errors here
        e.printStackTrace();
    }
}
```

```
    }
}
```

2. Compile the Java file and place the resulting class somewhere in the application server's classpath.
3. Register the custom component in the panel definition file. Before the `<screen>` element, insert the `<component>` tag in the panel definition file. For example:

```
<component name="c_colorPicker"
qualified-class-name="com.sas.cpb.customComponents.ColorChooserComponent" />
```

Note: You must prefix the custom component names with “c_” to prevent naming conflicts with the Custom Page Builder standard components.

4. Reference the custom component in a `<field>` element. For example:

```
<field name="auxStr3" type="component" component-name="c_colorPicker">
<label>Choose a color</label>
</field>
```

Custom Page Builder Components

Overview

A collection of built-in components is provided for SAS Enterprise Case Management. These components can be added to user interface (UI) definition XML files. UI definition XML files are used to define the layout of fields for viewing or editing cases, incidents, and parties.

Components have the ability to listen to Save events, so they can provide their own additional functionality after the save of a panel has occurred. Here is an example of how to implement the post-save processing:

```
@Override
public void handleEvent(
    Field field, UIContext uiContext,
    HttpServletRequest request, RuntimeEvent event)
    throws EvaluationException
{
    switch (event)
    {
        case SAVE:
            // Perform post save work here.
            postSave(field, uiContext, request);
            break;
    }
}
```

For more information about components, see the Custom Page Builder documentation found on the **Administration** tab of SAS Enterprise Case Management.

Note: The **name=** field should be unique for each component when the same component is used multiple times in the UI definition.

Static Component Field Formatters

The term “field” within the context of a Custom Page Builder component is different from a stand-alone field. Only a field within a static component can currently use formatters. Stand-alone “field” types cannot use formatters as defined in this section.

In cases where you can specify a formatter, the following names can be used:

- Boolean
- check box (Read-Only view)
- currency
- date
- datetime
- decimal
- generic
- hyperlink
- integer
- party
- user_name
- any valid reference table name (for example: X_RT_PRIORITY)

Custom Action

The `<action-group>` element is rendered as a tool bar. It contains `<action>` elements. The `<action>` element enables you to provide links and buttons on a panel. For a list of the attributes that you can use with the `<action>` element, see [“Valid XML Elements and Descriptions for User Interface Definitions” on page 100](#). The `<action-group>` element does not support attributes. You can nest `<action>` elements and conditional logic within `<action-group>` elements. You can nest the `<action-group>` element under `<screen>`, `<section>`, and `<tab>` elements.

Note: Custom action functionality is available on the main-level Custom Page Builder window. However, it is not available on windows spawned from the GenericEntityTable component.

Element	Description
<code><action-group></code>	<p>A group of actions.</p> <p>Attributes: None</p> <p>Child elements: Zero or more <code><action></code> elements</p>

Element	Description
<code><action></code>	<p>Attributes:</p> <p>URL specifies the landing URL after a submit.</p> <p>id (optional) specifies the ID field.</p> <p>sas-ssso (optional) specifies a Boolean field that indicates whether to do SAS single sign-on automatically.</p> <p>output-destination (optional) specifies the output format, either window or inline. The default is window. A value of window specifies showing the result page in a pop-up window. A value of inline specifies no change in the structure of the current page, and no pop-up window. The output-destination could be a field replacement javascript call. The value ignore specifies a server side trigger, with no UI change.</p> <p>trigger (optional) specifies the trigger action, The trigger action is currently labeled as “support save”.</p> <p>fail-on-error failed (optional) specifies the Boolean field that enables you to decide whether to continue running other trigger actions if there is an error in the action execution.</p> <p>visible (optional) specifies whether the action is visible.</p> <p>enabled (optional) specifies whether the action is enabled.</p> <p>content-type (optional) specifies the response content type for a backend action. This attribute currently supports text and HTML.</p> <hr/> <p>Child elements:</p> <ul style="list-style-type: none"> • An optional <code><label></code> element. This element provides the window label or title. • An optional <code><param></code> element. This element provides parameters for the URL. • An optional <code><url></code> element. This element is used if an attribute URL is not specified. It provides a more convenient way to specify a URL.

The following example demonstrates how to trigger the Save action on a panel.

Example Code 6.3 *Save Trigger*

```
<action-group>
  <action url="'http://yourserver.com:8080/SASStoredProcess/do' " sas-sso="true">

    <!-- Configure the behavior of the execution -->
    <param name="_action" value="'form,properties,execute,nobanner,newwindow'"/>

    <!-- Path to the stored process to execute -->
    <param name="_program" value="'/Samples/SAS Enterprise Case Management/
Stored Process/stored_process_name"/>

    <!-- All other parameters are used to initialize the prompts -->
    <param name="favoriteColor" value=""/>
  </action>

  <!-- The Save action -->
  <action url="'http://www.google.com/search' " output-destination="inline"
trigger="save">
    <param name="q" value="'flower'"/>
  </action>
</action-group>
```

The following example demonstrates how to use a value from a drop-down list with a custom action.

Example Code 6.4 *Using a Value from a Drop-Down List with a Custom Action*

```
<field name="sourceSystemCd" type="dropdown" default="ECM"
visible="false"/>
<action-group>
  <action url="'http://www.google.com/search'"enabled="true">
    <label>google search source system code</label>
    <param name="q"><eval>sourceSystemCd</eval></param>
  </action>
</action-group>
```


Chapter 7

Regulatory Reports and E-Filing

Introduction	124
E-Filing Process	124
Configuring E-Filing	125
Steps	125
Configuring User-Defined Fields	125
Configuring the UI Definition of the Report Container	126
Configure the Report UI Definition	126
Configure the Report Workflow Definition	128
Configure the E-File UI Definition	128
Enable Report Update in the E-File Stored Process	128
Regulatory Report Form Configuration	128
Steps	128
Define the Regulatory Report Form in FORM_CONFIG	129
Define the SAS Macro to Generate the Regulatory Report Form for Regulatory Report Preview	130
Define the SAS Macro to Transform Regulatory Report Data into Regulatory Report Form Data	130
Define the Regulatory Report Form Layout	131
Define the Regulatory Report Form Section Configuration Data in FORM_PREVIEW_SECTION_CONFIG	131
Define the Regulatory Report Form Field Configuration Data in FORM_PREVIEW_FIELD_CONFIG	131
Define the SAS Macro to Format Source Data for Form Preview	132
Define the SAS Macro to Generate the E-File	132
Define the SAS Macro to Transform Regulatory Report Data into E-File-Ready Data	133
Define the E-File Record Configuration Data in FORM_EFILE_RECORD_CONFIG	133
Define the E-File Field Configuration Data in FORM_EFILE_FIELD_CONFIG	133
Support of Multiple Versions of Report Forms	134
FINCEN	135
SAR-DI	136
SAR-DI Files	136
SAR-DI Report UI	137
CTR	137
CTR Files	137
CTR Report UI	138

Introduction

The U.S. Department of the Treasury, and specifically the Financial Crimes Enforcement Network, require financial institutions to report suspicious activity and large cash transactions with a Suspicious Activity Report (SAR). To create a regulatory report for e-filing, the user interface definition of the case or incident must have the ReportsTable component defined. This component shows a table of reports that have been created for the case or incident. SAS Enterprise Case Management is delivered with the capability to produce the SAR by Depository Institutions (SAR-DI) and Currency Transaction Report (CTR). The SAR-DI is a variation of the SAR. Other variations of the SAR exist for other types of financial entities such as Money Service Businesses (SAR-MSB) and Securities and Futures Industry (SAR-SF). Additional types of forms can be defined to allow for the addition of other regulatory reports. This chapter describes the steps that a user can follow in order to produce a complete regulatory report and e-file that report in a batch of other similar reports.

E-Filing Process

The following sequence describes the typical e-filing process.

1. Create a report container. A report container is a case or incident that is configured to contain one or more reports.
2. Collect data in the reporting container. If there is any subject involved in the case or incident, add the subjects and save.
3. Create a report under a report container. To create a report, click **Add Report** and select the report type. Depending on the workflow of the case, **Add Report** may be hidden until the case is ready for filing the report.
4. Collect data in the report. Depending on the report UI definition, the information in the report container can be automatically transferred to the report. Enter all missing data into the report and save it. **Save Draft** enables you to save the report without conforming to the validation rules. If you click **Save**, warnings are displayed when validation rules are violated. Edit the report until all the warnings are resolved.
5. Preview the report. At any point during the collection of data for a report, you can preview the e-file report in the government form by clicking **Preview Report**.
6. Review and mark the report as ready for submission. Click **Save** to validate the report. After it is validated, you can submit it for managerial review from the **Action Items** panel by selecting **Submit for Review** from the drop-down menu under **Activity Status**. Your manager can then set the activity status to either **Return to Edit** or **Ready to Submit**. The action items might vary depending on the workflow configuration. In the sample SAR-DI UI and sample workflow, the report prepared date (X_PREPARED_DT) is set to the current date when the report is marked as **Ready to Submit**.
7. Create an ad hoc e-file. Ad hoc e-files can be created through the e-file UI, and reports marked **Ready to Submit** can be selectively added to the e-file. For the steps to create an e-file, see the *SAS Enterprise Case Management: User's Guide*. Click **Generate E-File** to generate a submission-ready e-file. If it is successful, the name and other information about the submission-ready e-file is displayed.

8. Send the e-file to the government. Go to the e-file repository, which is typically configured under `<CONFIGDIR>\Applications\SASCaseManagementServerCfg\3.1\Source\efiles` to look for the submission-ready e-file, and then submit it to the government.
9. Update the e-file and report submission status and information. After you have submitted the e-file to the government, update the **Post-filing Details** panel of the e-file with information, such as the confirmation ID that you received from the government Web site. Also, update the status of the e-file from the drop-down list on the **EFile Details** panel. The reports in the e-file must also have the **Activity Status** of the **Action Items** panel updated to indicate that they have been sent to the government.
10. Edit rejected reports. If the e-file is rejected because of errors, edit the reports by changing or removing bad reports. After you have done that, override the entire e-file and resubmit it.
11. After a report has been accepted by the regulatory agency, new information or an error might be discovered that changes the report. This change needs to be reported by filing a corrected report. The original report is used as the basis for this corrected report, which follows the process as if it were a new report. A report reference number, which is either generated by SAS Enterprise Case Management or provided by the regulatory agency, is required to identify which report in the regulatory agency computer system to amend. Then, create a new e-file with the new correction reports.

Configuring E-Filing

Steps

Configuring e-filing involves the following steps:

1. Configure user-defined fields. Define any custom fields for a report that will be necessary to collect data for e-filing.
2. Configure the UI definition of the report container.
3. Configure the report UI definition.
4. Configure the report workflow definition.
5. Configure the e-file UI definition.
6. Configure the form. For more information, see [“Regulatory Report Form Configuration” on page 128](#).

Configuring User-Defined Fields

To collect data for the eventual e-file, you must define fields that will capture this data. For example, if “total amount” is a field that will be needed for the e-file, then it might be necessary to define a custom field named `X_TOTAL_AMT` that is applicable to reports. This custom field should then be added to the UI definition. See [“Configuring the UI Definition of the Report Container” on page 126](#).

After the custom field is defined and added to the UI definition, values collected for this field will be stored with the report. Refer to the following for sample SAR-DI custom fields and the lookup tables that are useful for the SAR-DI report UI definition, depending on your platform:

- Windows platforms: `!SASROOT\casemgmtmva\sasmisc\sample\config\loadrr_config_fincen_base.sas` and `!SASROOT\casemgmtmva\sasmisc\sample\config\loadrr_config_fincen_sardi.sas`
- UNIX platforms: `!SASROOT/misc/casemgmtmva/sample/config/loadrr_config_fincen_base.sas` and `!SASROOT/misc/casemgmtmva/sample/config/loadrr_config_fincen_sardi.sas`

For similar CTR samples, refer to the following, depending on your platform:

- Windows platforms: `!SASROOT\casemgmtmva\sasmisc\sample\config\loadrr_config_fincen_ctr.sas`
- UNIX platforms: `!SASROOT/misc/casemgmtmva/sample/config/loadrr_config_fincen_ctr.sas`

Configuring the UI Definition of the Report Container

Cases or incidents that can contain reports must be configured to use a UI definition that contains a ReportsTable component. The button for adding a report can be conditionally enabled or disabled based on the evaluation of an expression. This is accomplished by adding a **readonly** parameter to the ReportsTable component. The following are examples of how to set the **readonly** parameter based on workflow activity.

```
<tab id="regulatoryReportTab">
  <label><message key="reporting.header.txt" /></label>
  <field type="component" required="false" component-name="ReportsTable"
    readonly="!isWorkableActivity('File Report')">
    <param name="objectName" value="'RR'" />
    <param name="field" value="'RR_ID'" />
    <param name="field" value="'RR_DESC'" />
    <param name="field" value="'RR_STATUS_CD:RT_RR_STATUS'" />
    <param name="field" value="'CREATE_DTTM'" />
  </field>
</tab>
```

For more information about ReportsTable definitions, refer to the Custom Page Builder documentation found on the **Administration** tab of SAS Enterprise Case Management.

Configure the Report UI Definition

Report UI definitions can gather any user-defined values that are needed, but should have a section that displays or gathers any information needed for the RR_LIVE table entry. They should also contain a History tab section.

The following is a sample report data section:

```
<tab id="reportTab">
  <label><message key="tab.report.details.header.txt" /></label>
  <column-layout>
    <column width="50%">
      <field name="RR.RR_ID" type="hidden"/>
      <field name="RR.PARENT_OBJECT_NM" type="hidden"/>
```

```

        <field name="RR.RR_DESC" type="string">
            <label><message key="field.rr.rr_desc.label.txt" /></label>
        </field>
        <field name="RR.RR_STATUS_CD" type="dropdown" readonly="true"
            values="GetLabelValues('RT_RR_STATUS')">
            <label><message key="field.rr.rr_status_cd.label.txt" /></label>
        </field>
        <field name="RR.RR_TYPE_CD" type="dropdown" readonly="true"
            values="GetLabelValues('RT_RR_TYPE')">
            <label><message key="field.rr.rr_type_cd.label.txt" /></label>
        </field>
        <field name="RR.RR_CATEGORY_CD" type="dropdown" readonly="true"
            values="GetLabelValues('RT_RR_CATEGORY')">
            <label><message key="field.rr.rr_category_cd.label.txt" /></label>
        </field>
        <field name="RR.RR_SUBCATEGORY_CD" type="dropdown" readonly="true"
            values="GetLabelValues('RT_RR_SUBCATEGORY')">
            <label><message key="field.rr.rr_subcategory_cd.label.txt" /></label>
        </field>
        <field name="RR.CORRECTION_FLG" type="boolean" default="false">
            <label><message key="field.rr.x_correct_prior_rpt_flg.label.txt" />
        </label>
        </field>
        <field name="RR.RR_AGENCY_REF_ID" type="string" readonly="true">
            <label><message key="field.rr.rr_agency_ref_id.label.txt" /></label>
        </field>
        <field name="RR.X_PREPARED_DT" type="date" readonly="true">
            <label><message key="field.rr.x_prepared_dt.label.txt" /></label>
        </field>
        <if test="RR.PARENT_OBJECT_NM = 'CASE'">
            <field type="component" name="RR.PARENT_OBJECT_RK"
            component-name="ReportParentLink">
                <label><message key="field.rr.parent_case.label.txt" /></label>
            </field>
        </if>
        <if test="RR.PARENT_OBJECT_NM = 'INCIDENT'">
            <field type="component" name="RR.PARENT_OBJECT_RK"
            component-name="ReportParentLink">
                <label><message key="field.rr.parent_incident.label.txt" /></label>
            </field>
        </if>
    </column>
</column-layout>
</tab>

```

The following is a sample History tab section:

```

<tab id="historyTab">
    <label><message key="tab.report.report.history.header.txt" /></label>
    <field type="component" component-name="ReportEventTable" />
</tab>

```

For more information about creating UI definitions, see [“Overview of the Custom Page Builder” on page 97](#).

Configure the Report Workflow Definition

Workflow can be used to move the report from stage to stage until it is ready to be included in an e-file. After the data for the report has been collected, review and use the workflow component to mark the report as ready for managerial review by selecting **Submit for Review** from the drop-down menu under **Activity Status**. Your manager can then set the activity status to either **Return to Edit** or **Ready to Submit**.

Configure the E-File UI Definition

E-files that contain reports must be configured to use a UI definition that contains the EFileReportTable component. This component allows for the updating of the report status. The UI definition also contains the **Generate E-file** button.

Enable Report Update in the E-File Stored Process

Based on certain regulatory requirements, during the e-file generation process the ECM Web service might be called to update the reports under the e-file with e-file-related information, such as transaction sequence number and signature date. With only the e-file default transmitter role, the user is not able to update any report. To resolve this issue, choose one of the following two options:

- Add the Edit Report capability to the e-file transmitter role and save the transmitter's user account and password to the SAS Metadata Server.
- Override the %ECM_WS_SOAP macro to provide a user and password that has the capability to edit reports and e-files. For more information, see [“SAS Enterprise Case Management Web Service” on page 185](#).

Regulatory Report Form Configuration

Steps

As described earlier in this chapter, regulatory report forms must be defined before any regulatory reporting to the government can be done. The complete regulatory report form configuration involves two major setup processes:

1. paper report generation for regulatory report preview
2. electronic file generation for batch e-file submission

ECM 3.1 is shipped with two forms as samples: FINCEN/SAR-DI and FINCEN/CTR. In summary, these are the basic steps for setting up a regulatory report form:

Note: All sample code referenced is based on the March 2011 FINCEN reports.

1. Define the regulatory report form configuration data in FORM_CONFIG.
2. Define the SAS macro to generate the regulatory report form for regulatory report PREVIEW.
3. Define the SAS program to transform the regulatory report data into regulatory report form data.

4. Define the regulatory report form template.
5. Define the regulatory report form section configuration data in FORM_PREVIEW_SECTION_CONFIG.
6. Define the regulatory report form field configuration data in FORM_PREVIEW_FIELD_CONFIG.
7. Define the SAS macro to format source data for form preview.
8. Define the program to generate the e-file
9. Define the SAS macro to transform the regulatory report data into regulatory report e-file-ready data.
10. Define the e-file record configuration in FORM_EFILE_RECORD_CONFIG.
11. Define e-file field configuration in FORM_EFILE_FIELD_CONFIG.

Define the Regulatory Report Form in FORM_CONFIG

A record in FORM_CONFIG is required for each regulatory report form. FORM_CONFIG contains the following columns:

FORM_CONFIG_RK

is the unique numeric number to identify the form.

FORM_AGENCY_CD

is the unique code to define the government agency that published the form.

FORM_TYPE_CD

is the unique code to define the government form published by the agency defined in FORM_AGENCY_CD.

Note: FORM_AGENCY_CD and FORM_TYPE_CD must be unique within the effective date range.

FORM_COUNTRY_CD

is the three-character country code of the form.

EFFECTIVE_FROM_DTTM

is the start date and time when the form is in use. This field is used for documentation purposes only. It is not used to determine whether the form is effective or not.

EFFECTIVE_TO_DTTM

is the end date and time when the form is in use. Use NULL to indicate that the form is the one currently in use.

Note: There can be only one record for FORM_AGENCY_CD and FORM_TYPE_CD with NULL as the EFFECTIVE_TO_DTTM.

FORM_DESC

is the form description.

PREVIEW_MIME_TYPE_CD

is the standard mimetype of the preview report.

PREVIEW_FILE_PREFIX

is the common prefix of all preview templates. For more information, see [“Define the Regulatory Report Form Layout” on page 131](#).

PREVIEW_DRIVER_PGM_NM

is the SAS macro to be called by the ECMRR_PREVIEW stored process. For more information, see [“Define the SAS Macro to Generate the Regulatory Report Form for Regulatory Report Preview”](#) on page 130.

PREVIEW_PREPROCESS_PGM_NM

is the SAS macro to be called by PREVIEW_DRIVER_PGM_NM for transforming the regulatory report data into form-ready data. For more information, see [“Define the SAS Macro to Generate the Regulatory Report Form for Regulatory Report Preview”](#) on page 130.

EFILE_FILE_PREFIX

is the name of the output folder that will be used to keep the output e-files.

EFILE_DRIVER_PGM_NM

is the SAS macro to be called by ECMRR_EFILE_DRIVER for transforming the regulatory report data into e-file-ready data. For more information, see [“Define the SAS Macro to Generate the E-File”](#) on page 132.

EFILE_MAX_RR_CNT

is the maximum number of reports that can be added to an e-file without reaching the e-file size limit.

CREATE_USER_ID

is the ID of the user who added the record.

CREATE_DTTM

is the date and time when the record was added.

UPDATE_USER_ID

is the ID of the user who updated the record.

UPDATE_DTTM

is the date and time when the record was updated.

DELETE_FLG

is the flag indicating whether the record is active.

Define the SAS Macro to Generate the Regulatory Report Form for Regulatory Report Preview

This macro is the driver program that is called by the ECMRR_PREVIEW stored process. The two input parameters are FORM_CONFIG_RK and RR_RK. The output file must be _WEBOUT and a correct mime type must be set. The program should be written in a generic way so that it takes advantage of the information defined in Steps 3 through 6. See ecmrr_prvw_fincen_driver.sas for reference.

Define the SAS Macro to Transform Regulatory Report Data into Regulatory Report Form Data

The purpose of the program is to transform the regulatory report data into a format that is ready to be used for step 5. This works closely with the definition of the mapping defined in [“Define the Regulatory Report Form Section Configuration Data in FORM_PREVIEW_SECTION_CONFIG”](#). See ecmrr_prvw_fincen_sardi.sas for reference.

Note: You must use a valid folder name.

Define the Regulatory Report Form Layout

Define the regulatory report form template that controls the layout of the preview report. The form template should contain form field definitions that can be substituted with the actual regulatory report data values. For example, define a PDF form with PDF form fields, or define an HTML form with special field tags. Make sure that the file extension of the form template matches the mime type of the final output. For example, use .pdf for application/pdf. Then, save the template in `<CONFIGDIR>/Applications/SASCaseManagementServerCfg/3.1/Source/form_template` and specify the file name of the template without the file extension in `FORM_CONFIG.PREVIEW_FILE_PREFIX`.

For the output involving multiple form templates, define one template for each output section and create with a common file prefix for all the form templates. Then specify only the common file prefix in `FORM_CONFIG.PREVIEW_FILE_PREFIX`. The file suffix of the form template is defined in `FORM_PREVIEW_SECTION_CONFIG.SECTION_FILE_SUFFIX`.

Define the Regulatory Report Form Section Configuration Data in FORM_PREVIEW_SECTION_CONFIG

`FORM_PREVIEW_SECTION_CONFIG` controls the sections of the output file. There should be one record for each regulatory report form template defined in [“Define the Regulatory Report Form Layout”](#). `FORM_PREVIEW_SECTION_CONFIG` contains the following columns:

`FORM_CONFIG_RK`

is a form key defined in `FORM_CONFIG`.

`FORM_PREVIEW_SECTION_SEQ_NO`

is the sequence number to uniquely identify the form templates of a form.

`FORM_PREVIEW_SECTION_ID`

is the ID to uniquely identify each form template.

`SECTION_DESC`

is the form template description.

`SECTION_ORDER_NO`

is the order in which the form templates are placed into the final output.

`SECTION_FILE_SUFFIX`

is the suffix of the file name of the form template.

`SOURCE_TABLE_NM`

is the name of the source table to be used to populate the form template. This source table should be a SAS table generated by the program defined in [“Define the SAS Macro to Transform Regulatory Report Data into Regulatory Report Form Data”](#) on page 130.

Define the Regulatory Report Form Field Configuration Data in FORM_PREVIEW_FIELD_CONFIG

`FORM_PREVIEW_FIELD_CONFIG` defines the mapping of the source data fields in `FORM_PREVIEW_SECTION_CONFIG.SOURCE_TABLE_NM` to the form fields in the form template defined in [“Define the SAS Macro to Transform Regulatory Report](#)

[Data into Regulatory Report Form Data](#)” on page 130. There should be one record for each form field in the form template. FORM_PREVIEW_FIELD_CONFIG contains the following columns:

FORM_CONFIG_RK

is defined in FORM_PREVIEW_SECTION_CONFIG.

FORM_PREVIEW_SECTION_SEQ_NO

is defined in FORM_PREVIEW_SECTION_CONFIG.

FORM_PREVIEW_FIELD_SEQ_NO

is the unique sequence number to identify the form fields in the form template.

SOURCE_FIELD_NM

is the name of the field in

FORM_PREVIEW_SECTION_CONFIG.SOURCE_TABLE_NM to be used for populating the form fields.

SOURCE_FIELD_NUM_FLG

indicates whether the source field or the result of the source field expression is numeric or not.

SOURCE_FIELD_EXP

is the expression to transform the source field.

TARGET_FIELD_NM

is the name of the form field defined in the form template.

TARGET_FIELD_TYPE_CD

is the code for each type of form field. A macro program should be written for each TARGET_FIELD_TYPE_CD to transform the data value into the appropriate output format.

TARGET_FIELD_TYPE_PARM_LIST

is the list of ‘|’ separated parameters to be passed to the macros defined in [“Define the SAS Macro to Format Source Data for Form Preview”](#).

Define the SAS Macro to Format Source Data for Form Preview

A SAS macro should be written for each unique

FORM_PREVIEW_FIELD_CONFIG.TARGET_FIELD_TYPE_CD. The list of parameters should be P1, P2, P3, and so on, depending on the number of parameters defined in FORM_PREVIEW_FIELD_CONFIG.TARGET_FIELD_PARM_LIST. The name of the macro depends on how the driver program is written. For example, in ECMRR_PRVW_FINCEN, the macro name is

%ECMRR_FDF_<target_field_type_cd> because ECMRR_PRVW_FINCEN is generating fdf data for the PDF file. %ECMRR_FDF_PGM_GEN should be run whenever FORM_PREVIEW_FIELD_CONFIG is updated.

Define the SAS Macro to Generate the E-File

This is the driver program that is called by the ECMRR_EFILE stored process. The input parameter is EFILE_RK. The output file must be saved in the folder defined in FORM_CONFIG.EFILE_FILE_NM_PREFIX. The program should be written in a generic way that takes advantage of the information defined in Steps 10 and 11. See ecmrr_efile_fincen_driver.sas for reference.

Define the SAS Macro to Transform Regulatory Report Data into E-File-Ready Data

The purpose of the program is to transform the regulatory report data into a format that is ready to be used for Step 8. See `ecmrr_efile_fincen_sardi.sas` for reference.

Define the E-File Record Configuration Data in FORM_EFILE_RECORD_CONFIG

FORM_EFILE_RECORD_CONFIG controls the records of the output file. There should be one record for each record type defined by the government agency.

FORM_EFILE_RECORD_CONFIG contains the following columns:

FORM_CONFIG_RK

is the form key defined in FORM_CONFIG.

FORM_EFILE_RECORD_SEQ_NO

is the sequence number to uniquely identify the record type of an e-file.

FORM_EFILE_RECORD_ID

is the ID to be used to uniquely identify the record type of an e-file.

FORM_EFILE_RECORD_DESC

is the e-file record description.

RECORD_SORT_ORDER_NO

is the order in which the records are placed into the e-file.

RECORD_LENGTH_NO

is the e-file record length.

SOURCE_TABLE_NM

is the name of the source table to be used to populate the e-file record.

PAGE_BY_FIELD_NM

is the name of the field for grouping the depending records together. For FINCEN, the page types are batch, institution, branch, and regulatory report. The fields to identify the page types are Z_<page_type>_SEQ_NO.

Define the E-File Field Configuration Data in FORM_EFILE_FIELD_CONFIG

FORM_EFILE_FIELD_CONFIG defines the mapping of the source data fields in FORM_EFILE_RECORD_CONFIG.SOURCE_TABLE_NM to the target fields in the e-file. FORM_EFILE_FIELD_CONFIG contains the following columns:

FORM_CONFIG_RK

is defined in FORM_PREVIEW_SECTION_CONFIG.

FORM_EFILE_RECORD_SEQ_NO

is defined in FORM_EFILE_RECORD_CONFIG.

FORM_EFILE_FIELD_SEQ_NO

is the unique sequence number to identify the data fields in the e-file.

TARGET_FIELD_START_POS_NO

is the start position of the e-file field published by the government agency.

TARGET_FIELD_END_POS_NO

is the end position of the e-file field published by the government agency.

TARGET_FIELD_NM

is the name of the e-file field published by the government agency.

TARGET_FIELD_LENGTH

is the length of the e-file field published by the government agency.

TARGET_FIELD_DESC

is the description of the e-file field published by the government agency.

SOURCE_FIELD_NM

is the name of the field in

FORM_EFILE_RECORD_CONFIG.SOURCE_TABLE_NM to be used for populating the form fields.

SOURCE_FIELD_NUM_FLG

indicates whether the source field or the result of the source field expression is numeric or not.

SOURCE_FIELD_EXP

is the expression to transform source fields.

SAS_FORMAT_NM

is the name of the SAS format to be used to write the source field or the result of the source field expression. If the field is missing, the default format is used. That means BEST for numeric fields and \$ for character fields. The SAS formats used for FINCEN are defined in SAS macro ecmrr_fincen_sas_format.sas.

Support of Multiple Versions of Report Forms

Sometimes the government makes changes to the form submission requirements. If the changes involve more vigorous validation, the UI definitions should be updated and no new form is required. However, if the changes involve a new preview form or a new e-file layout, a new form should be created with the same FORM_AGENCY_CD and FORM_TYPE_CD.

SAS Enterprise Case Management looks up the FORM_CONFIG table by FORM_AGENCY_CD, FORM_TYPE_CD and EFFECTIVE_TO_DTTM to assign FORM_CONFIG_RK to a newly created report or e-file. All existing reports and e-files have the original FORM_CONFIG_RK. That means SAS Enterprise Case Management continues to generate PREVIEW reports and e-files following the old standard. To avoid mixing reports with different FORM_CONFIG_RK in the same e-file, it is a best practice to finish processing all outstanding reports before starting a new version of the government form.

To add new fields to a report form:

1. Edit the form template. Use an appropriate editor to add new form fields in the template. For a PDF template, Adobe Acrobat Pro software can be used. The template can be found in `<CONFIGDIR>\Applications\SASCaseManagementServerCfg\3.1\Source\form_template`.
2. Add records to the FORM_PREVIEW_FIELD_CONFIG table to define the mappings of the source data fields to the new preview form fields.
3. Run %ECMRR_FDF_PGM_GEN.

4. Review or edit the macro for transforming regulatory report source data into regulatory report form data (for example, %ECMRR_PRVW_FINCEN_SARDI_DATA for SARDI) to make sure that the required source fields are derived properly.
5. Edit FORM_EFILE_FIELD_CONFIG to define the new mappings of the source fields to the e-file fields.
6. Review or edit the macro for transforming regulatory report source data into regulatory report e-file data (for example, %ECMRR_EFILE_FINCEN_SARDI_DATA for SARDI) to make sure that the required source fields are derived properly.
7. Run %ECMRR_EFILE_PGM_GEN (FORM_CONFIG_RK=<form_config_rk>) with the correct FORM_CONFIG_RK.

FINCEN

As described in the previous sections, SAS Enterprise Case Management is highly configurable to support different regulatory reports. Sample user-defined fields, UI forms, workflows, and SAS macros for FINCEN, SAR-DI, and CTR are shipped in the solution. The following is a summary of the sample files that are common in most FINCEN reports.

File	Location
ecmrr_config_fincen_data.sas	Windows: !SASROOT\casemgmtmva\sasmisc\sample\config\ UNIX: !SASROOT/misc/casemgmtmva/sample/config
ecmrr_prvw_fincen_*.sas	Windows: !SASROOT\casemgmtmva\ucmacros UNIX: !SASROOT/ucmacros/casemgmtmva
ecmrr_fincen_*.sas	Windows: !SASROOT\casemgmtmva\ucmacros UNIX: !SASROOT/ucmacros/casemgmtmva
ecmrr_efile_fincen_*.sas	Windows: !SASROOT\casemgmtmva\ucmacros UNIX: !SASROOT/ucmacros/casemgmtmva
case-rr-fincen-01.xml	Windows: !SASROOT\casemgmtmva\sasmisc\sample\uidef UNIX: !SASROOT/misc/casemgmtmva/sample/uidef

efile-fincen-01.xml	Windows: !SASROOT\casemgmtmva\ sasmisc\sample\udef UNIX: !SASROOT/misc/ casemgmtmva/sample/udef
fincenREPORT.xml	Windows: <SASCONFIG> \Lev1\Applications \SASCaseManagementServerCfg \3.1\Source\form_template UNIX: !SASROOT/misc/ casemgmtmva/sample/workflow

SAR-DI

This section provides a summary of the sample files specifically for SAR-DI and instructions for using the UI interface.

SAR-DI Files

The following files are needed to process the SAR-DI:

File	Location
ecmrr_config_fincen_sardi.sas	Windows: !SASROOT\casemgmtmva\sasmisc\sample\config\ UNIX: !SASROOT/misc/casemgmtmva/sample/config
ecmrr_prvw_fincen_sardi_*.sas	Windows: !SASROOT\casemgmtmva\ucmacros UNIX: !SASROOT\ucmacros/casemgmtmva
ecmrr_efile_fincen_sardi_*.sas	Windows: !SASROOT\casemgmtmva\ucmacros UNIX: !SASROOT\ucmacros/casemgmtmva
rr-fincen-sardi-01.xml	Windows: !SASROOT\casemgmtmva\sasmisc\sample\udef UNIX: !SASROOT/misc/casemgmtmva/sample/udef
f9022-47_sardi_*.pdf	<SASCONFIG>\Lev1\Applications \SASCaseManagementServerCfg\3.1\Source \form_template
f922-47_sardi_*.csv	<SASCONFIG>\Lev1\Applications \SASCaseManagementServerCfg\3.1\Source \form_template

SAR-DI Report UI

SAS Enterprise Case Management is used to enter data into several objects required by the SAR-DI form.

SAR-DI requires institution and branch detail information to be reported. Defined in the sample rr-fincen-sardi-01.xml, institution and branch data is available in look-up tables. See “[User-Defined Generic Data Tables](#)” on page 72 for a description of the definition of these tables.

Only the financial institutions and branches that were opened after the report container creation date are available for selection. To see the list of institutions, always save the new report container at least once. When an institution is selected, the institution detail is populated to the report. If a branch is involved, set **Branch is involved** to **Yes** to reveal all the branch fields.

Due to the potential length of the branch list, rr-fincen-sardi-01.xml uses the type-ahead feature for branch selection. That means you can type the first few digits of the branch code to shorten the list of available branches. When a branch is selected, the branch and contact information is populated. If necessary, change the contact information.

Note: Branch code is used only for branch look-up. It is not used for e-filing. A sequential number is assigned to each branch and contact combination as branch code when an e-file is generated.

To add suspects to a report, click **Add Suspect**. A list of subjects associated with the report container is displayed at the top of the suspect UI. If the suspect is a subject of the case or incident, select the subject. All the subject information will be populated to the suspect UI. Enter the missing information or correct the populated content, and click **OK**.

Note: Suspect information entered in the suspect UI is saved in the report only. It does not affect the subject of the report container. If the suspect is not a subject of the report container, enter the suspect information.

Enter the other information and save the report. For required fields with unknown data, use XX.

CTR

The following is a summary of the sample files specifically for CTR and instructions for using the UI interface.

CTR Files

The following files are needed to process the CTR:

File	Location
ecmrr_config_fincen_ctr.sas	Windows: !SASROOT\casemgmtmva\sasmisc\sample\config\ UNIX: !SASROOT/misc/casemgmtmva/sample/config

ecmrr_prvw_fincen_ctr_*.sas	Windows: !SASROOT\casemgmtmva \ucmacros UNIX: !SASROOT/ucmacros/ casemgmtmva
ecmrr_efile_fincen_ctr_*.sas	Windows: !SASROOT\casemgmtmva \ucmacros UNIX: !SASROOT/ucmacros/ casemgmtmva
rr-fincen-ctr-01.xml	Windows: !SASROOT\casemgmtmva \sasmisc\sample\udef UNIX: !SASROOT/misc/ casemgmtmva/sample/udef
fin104_ctr_*.pdf	Windows: <SASCONFIG> \Levl\Applications \SASCaseManagementServerCfg \3.1\Source\form_template UNIX: <SASCONFIG> \Levl\Applications \SASCaseManagementServerCfg \3.1\Source\form_template
fin104_ctr_*.csv	Windows: <SASCONFIG> \Levl\Applications \SASCaseManagementServerCfg \3.1\Source\form_template UNIX: <SASCONFIG> \Levl\Applications \SASCaseManagementServerCfg \3.1\Source\form_template

CTR Report UI

SAS Enterprise Case Management is used to enter data into several objects required by the CTR form.

Similar to SAR-DI, CTR requires institution and branch detail information to be reported. Defined in the sample rr-fincen-ctr-01.xml, institution and branch data is available in look-up tables. See “[User-Defined Generic Data Tables](#)” on page 72 for a description of the definition of these tables.

Only the financial institutions and branches that were opened after the report container creation date are available for selection. To see the list of institutions, always save the new report container at least once. When an institution is selected, the institution detail is populated to the report.

Due to the potential length of the branch list, rr-fincen-ctr-01.xml uses the type-ahead feature for branch selection. That means you can type the first few digits of the branch

code to shorten the list of available branches. When a branch is selected, the branch detail is populated.

Note: Branch code is used only for branch look-up. It is not used for e-filing. A sequential number is assigned to each branch and contact combination as branch code when an e-file is generated.

To add a transaction owner or transactor, click **Add Person**. To add an account, click **Add Account**. Enter the other information, and save the report.

Chapter 8

Related Items

Overview	141
Configuring Match Criteria	141

Overview

This chapter describes how to configure the match criteria that determine which cases and incidents are related to unassigned incidents (incidents not associated with a case). For a description of the process that users follow to find related items, see “Finding Items Related to Unassigned Incidents” in the *SAS Enterprise Case Management: User's Guide*.

By default, one match criterion (NATIONAL_ID) is defined. You can define additional match criteria when you configure SAS Enterprise Case Management.

Configuring Match Criteria

The match criteria for related items are defined in ECM_DB.RELATED_ITEM_CONFIG. The following table describes the columns for configuring related items:

Table 8.1 Related Item Configuration Match Criteria

Column	Description
RELATED_ITEM_RK	Unique key of the matching criterion.
RELATED_ITEM_ID	32-character field to name the matching criterion.
RELATED_ITEM_DESC	100-character fields to describe the matching criterion.
RELATED_PARTY_FIELD_NM	Name of the subject field that will be used to match the subjects. It can be any character field in PARTY_LIVE or defined in PARTY_UDF_DEF.

Column	Description
RELATED_INCIDENT_FIELD_NM	Name of the incident field that will be used to match the incidents. It can be any character field in INCIDENT_LIVE or defined in INCIDENT_UDF_DEF.
RELATED_CASE_FIELD_NM	Name of the case field that will be used to match the cases. This field is not currently supported.
CREATE_USER_ID	ID of the user who added this RELATED_ITEM_CONFIG record.
CREATE_DTTM	Date/time when this RELATED_ITEM_CONFIG record is added.
UPDATE_USER_ID	ID of the user who updated this RELATED_ITEM_CONFIG record.
DELETE_FLG	Flag indicating whether the record is active.

RELATED_ITEM_CONFIG contains one record with RELATED_PARTY_FIELD_NM='NATIONAL_ID'. Cases and incidents that have subjects with the same national ID as the subjects associated with an unassigned incident are considered to be related. Different match paths are taken when one or more of the RELATED_<ENTITY_NAME>_FIELD_NM field names are defined. The following table describes how different match paths are taken when PARTY_RELATED_FIELD_NM or INCIDENT_RELATED_FIELD_NM are specified.

Table 8.2 Different Match Paths for Related Items

Match Paths	Required Related Fields	Description
I-I_C	INCIDENT	<ol style="list-style-type: none"> Find related incident field values of the selected incident. Return incidents with the same related incident field values. Return associated cases of the related incidents.
I_P-P_C or I_P-P_I	PARTY	<ol style="list-style-type: none"> Find subjects associated with the selected incident. Find related party field values of the associated subjects. Find all subjects with the same party field values. Return incidents and cases associated with the related subjects.
I-P_I or I-P_C	INCIDENT and PARTY	<ol style="list-style-type: none"> Find related incident field values of the selected incident. Find subjects with related subject field values matching related incident field values in step one. Return all incidents and cases associated with the related subjects.

Match Paths	Required Related Fields	Description
I_P-P_I-I or I_P-P_I-C	INCIDENT and PARTY	<ol style="list-style-type: none"> 1. Find subjects associated with the selected incident. 2. Find related party field values of the associated parties. 3. Return incidents with the related incident field values matching related party field values in step two. 4. Return cases associated with the related incidents.

The underscores (_) and hyphens (-) in the match paths represent direct associations and indirect relationships, respectively. I, P, and C in the match paths represent incident, party, and case. If multiple records are defined in RELATED_ITEM_CONFIG, they are handled as an OR condition. In other words, the overall result is a union of the results from each criterion. The following examples show how you can configure a related item to define three match criteria.

Criterion 1: Subject full name match

Relate the subjects who have the same full name as any subject of the selected incident. The subject full name is the core field PARTY_FULL_NM in PARTY_LIVE.

Criterion 2: Account number of incident match

Relate all the incidents that contain transactions with the same account number as that of the selected incident. The account number is defined in INCIDENT_UDF_DEF as UDF_TABLE_NM=X_TRANSACTION and UDF_NM=X_TRANSACTION_ACCT_NO.

Criterion 3: Driver license number of incident and driver license number of subject match

Relate all the incidents that contain transactions with the same driver license number as that of the selected incident. Also relate all the subjects that contain the same driver's license number as the driver license number of transactions of the selected incident. The subject driver license is defined in PARTY_UDF_DEF as UDF_TABLE_NM=PARTY and UDF_NM=X_DRIVER_LICENSE_ID. The driver license number of an incident is defined in INCIDENT_UDF_DEF as UDF_TABLE_NM=X_TRANSACTION and UDF_NM=X_TRANSACTION_DL.

The following table shows how the RELATED_ITEM_CONFIG might look:

Table 8.3 RELATED_ITEM_CONFIG

RELATED_ITEM_RK	RELATED_ITEM_ID	RELATED_ITEM_DESC	RELATED_PARTY_FIELD_NM	RELATED_INCIDENT_FIELD_NM
1	FULL_NAME	Subject full name	PARTY_FULL_NM	
2	ACCT_NO	Incident account number		X_TRANSACTION_ACCT_NO
3	DRIVER_LICENSE	Subject and incident driver license	X_DRIVER_LICENSE_ID	X_ACCOUNT_DL

Note that the UDF_TABLE_NM is not included in the definition. All UDF fields with the same UDF_NM are used for matching. If that is not what you want, the field should be renamed.

Chapter 9

Financial Items

Overview	145
Defining Financial Item Types in a Reference Table	145
Defining the UDF for Financial Transactions	146
Defining the UDF for Financial Summaries	146
Adding a FinancialItemsTable Component to a Case or Incident User Interface	146
Defining the Financial Items User Interface	146
Customizing a SAS Stored Process to Compute Financial Summaries	147

Overview

A financial item is a dollar amount associated with a financial item type. SAS Enterprise Case Management displays the list of financial items within a case or incident and aggregates these amounts into financial summaries. This chapter discusses the steps needed to configure SAS Enterprise Case Management to support this feature.

Defining Financial Item Types in a Reference Table

All financial items are classified by the financial item types. Only the financial items with the same financial item type can be aggregated from transactional level to case or incident level. Financial item type can also affect the UI used for data entry. Therefore, it is critical that the right financial item types are defined up front.

Financial item types are specified in REF_TABLE_VALUE with REF_TABLE_VALUE='RT_FINANCIAL_ITEM_TYPE'. The program Load_post_install_data.sas provides an example for defining financial item type.

Defining the UDF for Financial Transactions

FINANCIAL_ITEM_* tables store the financial items at the transactional level. The core fields are included in FINANCIAL_ITEM_LIVE. New user-defined fields can be defined in FINANCIAL_ITEM_UDF_DEF.

Defining the UDF for Financial Summaries

Financial summaries aggregated from financial transactions should be stored with a case or an incident. Therefore, the numeric user-defined fields specific for total financial amount should be defined in CASE_UDF_DEF or INCIDENT_UDF_DEF, or both.

Adding a FinancialItemsTable Component to a Case or Incident User Interface

For adding the FinancialItemsTable component to a case or incident, see the Custom Page Builder documentation found on the **Administration** tab of SAS Enterprise Case Management.

Defining the Financial Items User Interface

Sample UI definitions included with SAS Enterprise Case Management include fi-gen-01.xml and fi-sa-01.xml. These UI definitions are used when a user adds a financial item type to a case or incident. The FINANCIAL_ITEM_CONFIG table needs to be configured for each financial item type that you want to add to the system. The fi-gen-01.xml sample is a generic sample that includes base information for each financial item that is added to the database. You can choose to use the samples provided or configure your own files and associate them to the appropriate types. To configure your own, complete the following steps:

1. Enter the appropriate information in the FINANCIAL_ITEM_CONFIG table.
2. Enter the appropriate user-defined fields that will be referenced in the customized financial items UI definition type.
3. Enter the corresponding financial item types in the REF_TABLE_VALUE table.

Customizing a SAS Stored Process to Compute Financial Summaries

Financial summaries are computed by the `ECM_FINANCIAL_SUMMARY_CALC` stored process. The Web UI passes the financial transactions as an XML file to the stored process, and the stored process returns the summaries as name-value pairs. For each name-value pair, the name is the name of the field in the UI definition that will be updated. The value must be either a numeric value or “.” (the SAS missing value indicator). “.” is interpreted as 0.0 in the summary.

`ECM_FINANCIAL_SUMMARY_CALC` handles mainly the input and output formatting. The actual computation is done in the `%ECM_FIN_SUM_DRIVER` macro. This macro first sums up all the numeric columns and creates a table with the total of each financial item type. It then calls the `%ECM_FIN_SUM_CUSTOM` macro for more complex computation.

To customize the computation, copy `ecm_fin_sum_custom.sas` from one of the following locations:

- Windows: `!SASROOT\casemgmtmva\ucmacros`
- UNIX: `!SASROOT/ucmacros/casemgmtmva`

Paste it to `SAS_CONFIG/Applications/SASCaseManagementServerCfg/3.1/Source/ucmacros` with the same name. Then modify the section marked with ‘Start custom code’ and ‘End custom code’ in the new macro. All columns created in this macro are passed back to the Web UI as name-value pairs. If the results are not populated properly on the screen, there might be a mismatch of column names in the macro and field names in the case or incident UI.

Chapter 10

Case Network Analysis

Overview	149
Case Network Analysis Process	149
Configuring Link Criteria	150
Configuring the Data Source	152
Configuring Displayed Data Fields	152
Configuring Display Labels	153
Case Network Analysis Logic	154

Overview

The Case Network Analysis graph provides a shallow analysis of the parties in the database, looking for parties that are related by demographic data such as national IDs, names, birth dates, and addresses. The Case Network Analysis component enables an investigator to identify a network of related parties and the cases, incidents, and reports that the parties have been involved in. The business motivation is to provide an investigator with a way of stepping back from an individual case and seeing broader patterns of behavior for a single party or a set of closely related parties.

The Case Network Analysis component enables users to generate a graph of a single selected party. After the initial graph has been rendered, an investigator can dynamically explore the network of parties, cases, incidents, and reports by expanding a node in the graph and “walking” to the other objects linked to that one.

This chapter discusses the configuration process for the Case Network Analysis component as well as how to configure the link criteria of the graph and the data fields to be displayed in the graph. Finally, it discusses the logic behind the analysis.

Note: The Case Network Analysis functionality is not available when running with WebSphere Application Server 7 Network Deployment.

Case Network Analysis Process

The following steps show the process for defining the Case Network Analysis component:

1. The SAS Enterprise Case Management administrator defines the link criteria for Case Network Analysis.
2. The SAS Enterprise Case Management administrator defines the data fields to be displayed in the graph.
3. The user logs on to the SAS Enterprise Case Management Web application to access the Case Network Analysis Web component. Refer to the *SAS Enterprise Case Management: User's Guide* for instructions on how to do this.
4. The Case Network Analysis Web component passes the surrogate key of the party to the SAS stored process `getSocialNetwork`.
5. The SAS stored process `getSocialNetwork` references the link criteria defined in step 1 and returns a list of nodes and links to the Case Network Analysis Web component. The list also contains the node attributes such as node label and tooltips. The content of the tooltip is the concatenation of the data field values of the node. The list of the data fields and other displayed content is configurable and is discussed in [“Configuring Displayed Data Fields” on page 152](#).
6. The Case Network Analysis Web component displays a Case Network Analysis graph with the resulting nodes and links.
7. If a Case Network Analysis graph is displayed, the user can refer to the *SAS Enterprise Case Management: User's Guide* to dynamically explore the graph. As the user explores the Case Network Analysis graph, there are two actions that will involve the SAS stored process to get more information from the database.

Show details

On any node, the user can double-click or select **Detail** from the node drop-down menu. The Case Network Analysis Web component passes the node key, note type, and user ID to the SAS stored process `getSocialNetworkNodeDetail`. `getSocialNetworkNodeDetail` then returns the node details, based on the user permission and the node type. The Case Network Analysis Web component renders the node details in name-value pairs. Root node details are displayed on the bottom pane and leaf node details are displayed on the right pane. The list of detail fields is configurable and is discussed in [“Configuring Displayed Data Fields” on page 152](#).

Expand the Case Network Analysis graph

Users can expand the current Case Network Analysis graph by selecting a non-root party to run a new Case Network Analysis. To do that, the user can click on the plus sign (+) of the new party of interest. The Case Network Analysis Web component then passes the surrogate key of the new party to the SAS stored process `growSocialNetworkNode`. `growSocialNetworkNode` uses the same logic used by `getSocialNetwork` to return a list of nodes and links. The Case Network Analysis Web component renders the results by attaching the new nodes and links to the existing Case Network Analysis graph.

Note: The + is always available for the leaf party node, regardless of whether the node can be further expanded or not.

Configuring Link Criteria

Two tables are used to configure Case Network Analysis link criteria: `SNA_CONFIG_MASTER` and `SNA_CONFIG_DETAIL`. `SNA_CONFIG_MASTER` is the master table for configuring the Case Network Analysis link criteria. Parties are

considered as linked when one or many of these criteria are met.

SNA_CONFIG_DETAIL is the detail definition of SNA_CONFIG_MASTER. It

contains one or many records of each SNA_CONFIG_MASTER record. A

SNA_CONFIG_MASTER link criterion is considered as met when all of its associated SNA_CONFIG_DETAIL link criteria are met.

The SNA_CONFIG_MASTER table contains the following columns:

SNA_CONFIG_RK

is the unique key of the link criterion.

SNA_CONFIG_ID

is the 32-character field to name the link criterion.

SNA_CONFIG_DESC

is the 100-character field to describe the link criterion.

CREATE_USER_ID

is the ID of the user who added the SNA_CONFIG_MASTER record.

CREATE_DTTM

is the date and time when the SNA_CONFIG_MASTER record was added.

UPDATE_USER_ID

is the ID of the user who updated the SNA_CONFIG_MASTER record.

DELETE_FLG

is the flag indicating whether the record is active.

The SNA_CONFIG_DETAIL table contains the following columns:

SNA_CONFIG_RK

is the unique key of the link criterion.

SNA_CONFIG_SEQ_NO

is the secondary key to uniquely identify a SNA_CONFIG_DETAIL record.

FROM_PARTY_FIELD_EXP

is the expression to be used to define FROM_PARTY_FIELD_NM. If blank, FROM_PARTY_FIELD_NM is used for linking parties.

FROM_PARTY_FIELD_NM

is the name of the party field for linking parties. This is the 'link from' field.

FROM_PARTY_TABLE_NM

is the name of the table where FROM_PARTY_FIELD_NM is found.

TO_PARTY_FIELD_EXP

is the expression used to define TO_PARTY_FIELD_NM. If blank, TO_PARTY_FIELD_NM is used for linking parties.

TO_PARTY_FIELD_NM

is the name of the party field for linking parties. This is the 'link to' field.

TO_PARTY_TABLE_NM

is the name of the table where TO_PARTY_FIELD_NM is found.

The expression defined in FROM_PARTY_FIELD_EXP or TO_PARTY_FIELD_EXP must be a valid SAS expression. If you have very complicated logic, you might consider using PROC FCMP to create some user-defined functions. In SAS Enterprise Case Management, there is one record in SNA_CONFIG_MASTER and two associated records in SNA_CONFIG_DETAIL. The definition is for linking the parties when both the NATIONAL_ID_TYPE_CD and NATIONAL_ID fields match. Additional definitions can be found in the following locations:

Platform	Directory Path
Windows	<code>!SASROOT\SASFoundation\9.3\casemgmtmva\sasmisc\sample\config\load_post_install_data.sas.</code>
UNIX	<code>!SASROOT/SASFoundation/9.3/misc/casemgmtmva/sample/config/load_post_install_data.sas.</code>

Configuring the Data Source

Case Network Analysis involves the matching of subject data to the entire subject database. If your site has too many subjects, the browser may time out before the analysis is complete. To work around this performance problem, SAS Enterprise Case Management can be configured to use the report mart (such as tables in ECM_RPT) for subject matches. This is done by setting the global macro variable ECM_SNA_MATCH_LIB, which is defined in ecm_global_mvar.sas, to 'ECM_RPT'. This eliminates the need for transforming the live data into an analysis-ready format. This option affects the data source for matching the subject only, in order to speed up the match process. All labels and case, incident, subject, and report associations are still obtained from the live tables. Also, all derived fields that are defined in SNA_CONFIG_DETAIL, as described in [“Configuring Link Criteria” on page 150](#), have to be added to the report mart. If they do not exist in the report mart, the SNA match criteria should be disabled by setting DELETE_FLG='1'.

Configuring Displayed Data Fields

The SAS macro %ECM_SNA_GET_DETAIL_NODE_VARS defines the list of data fields to be used for node labels, node tooltips, and node details.

The following macro variables define the fields to be used as node labels in the graph:

- CASE_LABEL_VAR
- PARTY_LABEL_VAR
- INCIDENT_LABEL_VAR
- RR_LABEL_VAR

When the user has Write permission to the party, case, incident, and report record in the rest of the SAS Enterprise Case Management system, <node_type>_LABEL_VAR is used. If the user has only Read permission, <node_type>_ID is used. For information about how the user group permissions work, see [“Configurations” on page 74](#), and refer to the appropriate subsection. The node label is limited to one field in <node type>_LIVE or user-defined fields in ECM_DB.<node type>_UDF_DEF. UDF_TABLE_NM equals <node_type>.

The following macro variables define the list of data fields to be included in the **Detail** tab. The order of the field list here is used for the initial display of the fields in the **Node Detail** tab. Users can change the fields to alphabetical order by clicking the column headings.

- PARTY_VAR_LIST_FULL
- INCIDENT_VAR_LIST_FULL
- CASE_VAR_LIST_FULL
- RR_VAR_LIST_FULL
- PARTY_VAR_LIST_SHORT
- INCIDENT_VAR_LIST_SHORT
- CASE_VAR_LIST_SHORT
- RR_VAR_LIST_SHORT

When the user has Write permission to the party, case, incident, or report record in the rest of the SAS Enterprise Case Management system, `<node_type>_VAR_LIST_FULL` is used. If the user has only Read permission, `<node_type>_VAR_LIST_SHORT` is used.

The following macro variables define the lists of data fields to be included in the party, incident, case, or report tooltips, respectively:

- PARTY_TOOLTIP_VAR_LIST
- INCIDENT_TOOLTIP_VAR_LIST
- CASE_TOOLTIP_VAR_LIST
- RR_TOOLTIP_VAR_LIST

Tooltip fields are limited to the ECM_DB.PARTY_LIVE, ECM_DB.INCIDENT_LIVE, ECM_DB.CASE_LIVE, or ECM_DB.RR_LIVE tables respectively. Unlike other displayed fields, user permission is not checked for tooltip content. Make sure that non-sensitive data fields are used in the tooltips.

Configuring Display Labels

Three types of labels are used in Case Network Analysis.

- Data column labels are defined in the custom.properties file and the content is populated into ECM_DB.FULL_ECM_COLUMN_LABEL_VIEW. These labels are used in the **Node Detail** tab.
- Match labels are defined in ECM_DB.FULL_REF_LABEL_TRANS with REF_TABLE_NAME="SNA_CONFIG_MASTER". Match labels are displayed as subject-to-subject link labels in the graph.
- **Detail** tab headers and column headings are defined in sashelp.entcm with key=GEN_<various types>_LABEL (for example, GEN_FIELD_LABEL and GEN_CASE_LABEL).

For information about updating the labels, see [Chapter 13, “Internationalization,”](#) on page 163.

Case Network Analysis Logic

%ECM_SNA_DRIVER is the driver program for obtaining the nodes and links of the Case Network Analysis graph. The following process is used for the logic behind the analysis.

1. Include only the active link criteria in SNA_CONFIG_MASTER.
2. Include all parties for matching. To include only the parties that are associated with one or more cases or incidents, change the macro variable ASSOCIATED_PARTY_ONLY_YN in ECM_SNA_DRIVER from N to Y.
3. Transform the party data in step 2 into rectangle structure to include all core and UDF fields into one record.
4. Create views of the party data with derived fields defined in SNA_CONFIG_DETAIL.
5. For each active link criterion defined in SNA_CONFIG_MASTER, find the parties who are related to the root party by matching all data fields (that is, FROM_PARTY_FIELD_NM and TO_PARTY_FIELD_NM) defined in SNA_CONFIG_DETAIL.
6. Combine all the related parties found in step 5 to form the combined list of related parties.
7. Combine the list of associated cases of the related parties in step 6 with the list of associated cases of the related parties' associated incidents in step 6 to form the final list of case nodes.
8. Combine the list of the associated incidents of the cases in step 7 with the list of associated incidents of the related parties in step 5 to form the final list of incident nodes.
9. Obtain the list of associated parties of the cases and incidents in steps 7 and 8 and add this list of associated parties to the related parties list in step 6 to form the final list of party nodes.
10. Construct the links of the nodes based on their relationships found in steps 6, 7, 8, 9, and 10.

Chapter 11

Configuring Subject Search

Overview	155
Subject Search Process	155
Configuring Match Criteria for Subject Search	155
Subject Search Logic	156

Overview

This chapter describes how to configure and use the Subject Search component. Then it explains in detail how to configure the match criteria of the graph and the data fields to be displayed in the graph. Finally, it discusses the logic behind the search.

Subject Search Process

1. An administrator defines the match criteria for Subject Search.
2. A user passes an XML file with the subject information to the SAS Enterprise Case Management Subject Search Web service.
3. SAS Enterprise Case Management Subject Search Web service calls the `ecm_subject_search` stored process and reports the match results.

Configuring Match Criteria for Subject Search

There are two tables for configuring Subject Search match criteria. `SUBJSRCH_CONFIG_MASTER` is the master table for configuring the Subject Search match criteria. Subjects are considered as matched when one or many of these criteria are met. `SUBJSRCH_CONFIG_DETAIL` is the detail definition of `SUBJSRCH_CONFIG_MASTER`. It contains one or many records of each `SUBJSRCH_CONFIG_MASTER` record. A `SUBJSRCH_CONFIG_MASTER` match criterion is considered as met when all of its associated `SUBJSRCH_CONFIG_DETAIL` match criteria are met.

For details about SUBJSRCH_CONFIG_MASTER and SUBJSRCH_CONFIG_DETAIL, see the *SAS Enterprise Case Management: Data Dictionary*.

The expressions defined in FROM_PARTY_FIELD_EXP and TO_PARTY_FIELD_EXP must be valid SAS expressions. If you have very complicated logic, you might consider using PROC FCMP to create user-defined functions.

By default, there is one record in SUBJSRCH_CONFIG_MASTER and two associated records in SUBJSRCH_CONFIG_DETAIL. The definition is for matching subjects when both the NATIONAL_ID_TYPE_CD and NATIONAL_ID fields match. Additional definitions can be found in `/SASROOT/casemgmtmva/sasmisc/sample/config/load_post_install_data.sas` for Windows platforms or `/SASROOT/misc/casemgmtmva/sample/config/load_post_install_data.sas` for UNIX platforms. Eight matching criteria are defined in the sample.

Subject Search Configuration ID	Matched fields	Description
P_ADDRESS	PARTY.X_PRIMARY_ADDRESS_1_TXT +PARTY.X_PRIMARY_ADDRESS_2_TXT, PARTY.X_PRIMARY_CITY_NM PARTY.X_PRIMARY_POSTAL_CD	Match by primary address. A SAS expression is used to concatenate two street address lines into one.
PASSPORT_ID	PARTY.X_PASSPORT_ID	Match by passport ID.
DRIVER_ID	PARTY.X_DRIVER_LICENSE_ID	Match by driver license ID.
HOME_PHONE	PARTY.X_HOME_PHONE_NO	Match by home phone number.
WORK_PHONE	PARTY.X_WORK_PHONE_NO	Match by work phone number.
CELL_PHONE	PARTY.X_CELL_PHONE_NO	Match by cell phone number.
EMAIL	X_PARTY_EMAIL.X_PARTY_EMAIL	Match by any e-mail addresses that party has.
L_NM_B_DT	PARTY.X_LAST_NM PARTY.X_BIRTH_DT	Match by party last name and birth date.

Subject Search Logic

The driver program %ECM_SUBJSRCH_DRIVER obtains the matches of subject search. Below is the summary of the logic behind it.

1. Include only the active match criteria in SUBJSRCH_CONFIG_MASTER.
2. Transform the party data in step 2 into rectangle structure to include all core and UDF fields into one record.

3. Create views of the party data with derived fields defined in SUBJSRCH_CONFIG_DETAIL.
4. For each active match criteria defined in SUBJSRCH_CONFIG_MASTER, find the parties who are related to the input parties by matching all data fields (for example, FROM_PARTY_FIELD_NM and TO_PARTY_FIELD_NM) defined in SUBJSRCH_CONFIG_DETAIL.
5. Return the list of parties and the SUBJSRCH_CONFIG_ID for each input party. If no match is found, return only the SEARCH_RK.
6. If the program aborts for any reason, return keyword ABORT.

Chapter 12

Report Mart

SAS Enterprise Case Management Report Mart	159
--	-----

SAS Enterprise Case Management Report Mart

To facilitate user-defined columns and user-defined reference tables, much of the data for SAS Enterprise Case Management and configuration data is stored in “tall skinny” data tables. SAS Enterprise Case Management provides a facility to pivot “tall skinny” data tables into “short wide” data tables for easier reporting. The ECM_RPT library stores the normalized pivoted data. It also keeps the data that is specific for generating SAR e-files in batch.

Data tables in the ECM_RPT library can be created (or re-created) by running %ECM_REPORTING_DRIVER with ecm_autoexec.sas under *SAS_CONFIG/Applications/SASCaseManagementServerCfg/3.1/Source*. The data tables in this library contain only the current revision of each record (no historical revisions). You can run the %ECM_REPORTING_DRIVER macro nightly or whenever you need to run reports against this library.

%ECM_REPORTING_DRIVER is the driver program for six major macro calls. Calls in %ECM_PIVOT_DATATYPE create pivoted data tables for cases, parties, incidents, generic data, reports, and financial items respectively. %ECM_PIVOT_REF creates user-defined reference tables. A PROC COPY call copies all the ECM relationship tables from ECM_DB to ECM_RPT.

Note: In PROC COPY, the table names in the SELECT statement must be uppercase to facilitate the search of SQLServer tables.

Here is a summary of the derived tables in the ECM_RPT library that are generated by %ECM_REPORTING_DRIVER.

Table 12.1 *Derived Tables in the ECM_RPT Library*

Table	Description
CASE_PIVOT	This is a derived case table with user-defined columns added.
PARTY_PIVOT	This is a derived party table with user-defined columns added .

Table	Description
INCIDENT_PIVOT	This is a derived incident table with user-defined columns added.
FINANCIAL_ITEM_PIVOT	This is a derived financial item table with user-defined columns added.
RR_PIVOT	This is a derived regulatory report table with user-defined columns added.
CASE_X_PARTY	This is a direct copy of ECM_DB.CASE_X_PARTY.
CASE_X_USER_GROUP	This is a direct copy of ECM_DB.CASE_X_USER_GROUP.
INCIDENT_X_PARTY	This is a direct copy of ECM_DB.INCIDENT_X_PARTY.
INCIDENT_X_USER_GROUP	This is a direct copy of ECM_DB.INCIDENT_X_USER_GROUP.
PARTY_X_USER_GROUP	This is a direct copy of ECM_DB.PARTY_X_USER_GROUP.
<C/I/P/G/F/R>_X_	<p>These are derived tables for all user-defined columns that can have more than one value selected or specified.</p> <ul style="list-style-type: none"> • C = case • I = incident • P = party • G = generic data • F = financial item • R = reports <p>For example, e-mail addresses of subjects will be P_X_PARTY_EMAIL.</p>
X_RT_	<p>These are derived tables for all user-defined reference tables, such as X_RT_ID_TYPE. Derived tables for all in-product reference tables include the following:</p> <ul style="list-style-type: none"> • RT_CASE_CATEGORY • RT_CASE_STATUS • RT_CASE_TYPE • RT_PARTY_CATEGORY • RT_PARTY_TYPE • RT_INCIDENT_CATEGORY • RT_INCIDENT_TYPE • RT_SOURCE_SYSTEM

SAS has a limit of 32,767 characters for column width. Since the LNGVARCHAR UDF field can be longer than 32,767 characters, the pivot macro reads the `<data_object>_UDF_LGCHAR_VIEW` instead of `<data_object>_UDF_LGCHAR_VALUE` to get the field values. In the view, the LNGVARCHAR UDF field is broken into two 32,760-character fields to accommodate UTF-8 codes. The field value columns are called `UDF_VALUE_1` and `UDF_VALUE_2`. In the resulting table, the field names are suffixed with `'_1'` and `'_2'`. For example, in the sample SAR UDF definition, there is an LNGVARCHAR field called `X_ACTIVITY_DESC_LONG_TXT`. It is broken into `X_ACTIVITY_DESC_LONG_TXT_1` and `X_ACTIVITY_DESC_LONG_TXT_2` in the `ECM_RPT.RR_PIVOT` table.

Chapter 13

Internationalization

Overview	163
Specify the Database Character Encoding	163
SAS Session Encoding Consideration and DBCS Support	164
Default Encoding for Databases Supported by SAS Enterprise Case Management	165
Restricting the Maximum Length of VARCHAR Fields	165
Naming Conventions for Locales	166
Create and Use Custom Translated Messages	166
Localizing Custom Table Labels and Column Labels	167
Localizing Reference Tables	167
Localizing Workflow Activities and Statuses	168

Overview

The default language used in SAS Enterprise Case Management is English. This chapter discusses the processes related to configuring SAS Enterprise Case Management for use with other languages.

Specify the Database Character Encoding

This section applies only if you are using SAS Enterprise Case Management with an Oracle or PostgreSQL database. If you are using a Microsoft SQL Server database, the database will automatically use a UTF-16 encoding for all string data.

Before you install SAS Enterprise Case Management, you must decide which database character encoding to use for your environment. Determining an appropriate encoding to use for your SAS Enterprise Case Management database is dependent upon the following:

- the languages that the application needs to support now.
- the languages that the application needs to support in the future.

- consideration of the performance implications associated with choosing a database character set. For example, a single-byte character set provides better performance when compared with multi-byte character sets. Single-byte character sets also tend to take up less space in your environment. However, they offer only restricted multilingual support.

The character set that you choose affects what type of encoding scheme is used. For example:

Scenario 1

If you need to support English, French, and Portuguese languages, then single-byte, 8-bit encoding schemes are appropriate because they define up to 256 characters and can often support a group of related languages. One example is the ISO 8859-1 character set, which supports many Western European languages. For Oracle databases, you could also use the WE8ISO8859P1 character set. When you use a character set that supports a group of languages, your database has restricted multilingual support.

Scenario 2

If you need to support double-byte character languages (for example, Japanese, Chinese, or Korean), then you can use legacy ANSI-based double-byte character set (DBCS) encodings such as shift-jis, gbk, krc, or big5. By using these encodings, you can use one DBCS language and English (for example, Japanese and English).

Scenario 3

If you need to accommodate data for multiple DBCS languages (for example, Japanese and Korean), DBCS languages with European languages (for example, Chinese with French), or Western and Central European languages (for example, German and Polish), then you must use Unicode (UTF-8) encoding. If you are using an Oracle database, then you can also use the AL32UTF8 character set, which is based on the Unicode UTF-8 character set.

SAS Session Encoding Consideration and DBCS Support

If your database encoding supports multiple DBCS languages, then you must also use UTF-8 for the SAS session encoding. For example, if you use SAS to produce reports that contain data for multiple languages, then you must specify UTF-8 for the SAS session encoding. If you need to support one double-byte language and English, then you must also use a DBCS encoding for the SAS session encoding.

Although DBCS encoding is supported in SAS, SAS tables are still ASCII based. That means any column defined in SAS tables needs to be doubled in length. For example, if X_BRANCH_ADDRESS_TXT is defined as follows in ECM_DB.CASE_UDF_DEF, the field length of X_BRANCH_ADDRESS_TXT in the SAS table should be 200.

```
UDF_TABLE_NM= 'CASE'
UDF_NM= 'X_BRANCH_ADDRESS_TXT'
UDF_TYPE_NM= 'VARCHAR'
UDF_DESC= 'Branch address where activity occurred'
MAX_CHAR_CNT= 100
```

This requirement affects the creation of the SAS Enterprise Case Management report mart and stored processes that process data in SAS tables. SAS Enterprise Case Management 3.1 does not handle this situation properly. As a result, data can be truncated in the ECM_RPT tables and in the Case Network Analysis node detail UI. To

work around this limitation, a proper length multiplier has to be set. The global macro variable `ecm_charMultiplier` is defined for this purpose. Refer to the comment in the `ecm_global_mvar` macro to set its correct value.

Default Encoding for Databases Supported by SAS Enterprise Case Management

The `!SASROOT\casemgmtmva\sasmisc\sample\dbscript` directory, for Windows platforms, contains database-specific subdirectories that include scripts for the following databases:

Note: For UNIX platforms, the directory is `!SASROOT/misc/casemgmtmva/sample/dbscript`.

- Oracle
- PostgreSQL
- SQLServer

Within these database-specific directories, database scripts are provided that enable you to create and initialize your SAS Enterprise Case Management database. The Oracle and SQL Server scripts create the schema instead of the database, so an encoding is not specified in these scripts. By default, the PostgreSQL scripts use UTF-8 encoding. If you are using PostgreSQL on Windows for double-byte character languages, such as Japanese, Chinese, or Korean, then you might need to update the character set encoding value that is used in the `PrepareDatabase` script. For example, to specify a character set encoding to use the extended UNIX code for the Korean language, you can customize the PostgreSQL scripts as follows:

1. Open the `PrepareDatabase` script in a text editor. This file is located in one of the following directories, depending on your platform:
 - Windows: `!SASROOT\casemgmtmva\sasmisc\sample\dbscript\PostgreSQL`
 - UNIX: `!SASROOT/misc/casemgmtmva/sample/dbscript/PostgreSQL`
2. Change the `-E UNICODE` option to `-E EUC_KR`.

Restricting the Maximum Length of VARCHAR Fields

If you are using a multi-byte character set encoding, it is recommended that you restrict the maximum length of any VARCHAR fields in your Custom Page Builder UI definition files to 1000 characters. The recommended maximum field length that you should set for the VARCHAR fields property is as follows:

Database	Maximum Field Length for VARCHAR fields property
Oracle	If your Oracle database is using AL32UTF8 encoding, then the CHAR data type can hold up to 4 bytes. The SAS Enterprise Case Management tables use the VARCHAR2 data type. SAS Enterprise Case Management specifies the number of characters, and then Oracle handles how to translate the number of bytes. However, Oracle has a maximum limit of 4000 bytes on the VARCHAR data type. Therefore, it is recommended that you restrict VARCHAR field lengths to 1000. If you are using English or other single-byte character sets (for example, WE8ISO8859P1), then you can extend the size to 4000.
PostgreSQL	4000 characters.
SQL Server	1000 characters.

Naming Conventions for Locales

When the translation of an object is loaded, the locale that is associated with that translation must follow the standard Java naming convention for locales. The naming convention for locales requires that the language code must be a lowercase two-letter code from the ISO 639 specification and that the country code must be an uppercase two-letter code from ISO 3166. For example, `fr` is the language code for French; `en` is the language code for English. For more information, see the following Web site: <http://java.sun.com/developer/technicalArticles/J2SE/locale>.

The macro variables `LOCALE_SAS` and `LOCALE_DEF` in `SAS_CONFIG/Applications/SASCaseManagementServerCfg/3.1/Source/control/ecm_autoexec.sas` are used to define the SAS session locale and the default locale when text in the SAS session locale is not available. These macro variables are initialized as follows:

```
%let locale_sas=%SYSFUNC(getpxlocale()) ;
%let locale_default=%substr(&locale_sas,1,2);
```

If you want to set the SAS session locale to German, change

```
`%let locale_sas=%SYSFUNC(getpxlocale()) ;`
```

to

```
` %let locale_sas=de_DE ;`
```

Create and Use Custom Translated Messages

All SAS macros and stored processes in SAS Enterprise Case Management make use of the `SASMSG` function to retrieve translated log messages based on the locale of the server. To localize these strings, you can use the `%SMD2DS` macro to add messages that can be used by the `SASMSG` function. For the syntax of the `%SMD2DS` and `SASMSG` functions, see [Appendix 3, “SASMSG and %SMD2DS,” on page 199](#). The message file that SAS Enterprise Case Management uses is `sashelp.entcm`.

To set the locale of the ECM SAS server, change the value of the LOCALE_SAS macro variable to the desired locale in `SAS_CONFIG\Applications\SASCaseManagementServerCfg\3.1\Source\control\ecm_autoexec.sas`.

For example:

```
%let locale_sas=DE; /* for German */
```

Restart the SAS object spawner to put this into effect.

Localizing Custom Table Labels and Column Labels

SAS Enterprise Case Management stores table labels and columns labels in the case management database to guarantee consistent terminology across the Web application and SAS code. The data stored in the label translation tables is generated by a batch process that reads the application's standard Java resource bundles and the custom resource bundles, and then stores the appropriate data in the label translation tables.

Labels for table and column names are determined by a translation key naming convention. In most cases, labels can be defined for a standard or custom table or column by naming the translation key `table.tableNm.label.txt` or `field.tableNm.columnNm.label.txt`, respectively. For example, `table.x_party_alias.label.txt` provides the label for the X_PARTY_ALIAS user-defined table and `field.x_party_alias.x_alias_nm.label.txt` provides the label for the X_PARTY_ALIAS_NM column in that table.

There is also support for differentiating the labels based on the entity type. For example, if a situation arises where tables and columns with the same name need to have different labels or translations for cases and incidents, then the naming convention is extendable to include the entity name in the key name. For example, if both case and incident tables define a custom table named TAGS with a field named TAG_NM, they can have different table labels by defining `table.case.tags.label.txt = Case Tags` and `table.incident.tags.label.txt = Incident Tags`.

Anytime a custom resource bundle is uploaded with a new translation for one of the table or column labels, the values in the label translation tables need to be recomputed. To recompute those tables, an application administrator should perform the following steps:

1. Log on to SAS Enterprise Case Management.
2. Click the **Administration** tab.
3. Click **Refresh Report Mart Labels**.

For more information about custom resource bundles, see [“Custom Resource Bundles” on page 79](#).

Localizing Reference Tables

REF_TABLE_VALUE is the main table for defining code description in the default locale. The following tables are for supporting multiple languages.

REF_TABLE_TRANS

holds the translations for all the codes in REF_TABLE_TRANS.

ECM_LOCALE

holds the list of supported locales along with instructions for which locale to use if there is no translation for that locale.

FULL_REF_TABLE_TRANS is a view that corresponds to a cross product of the REF_TABLE_VALUE table and the ECM_LOCALE table. Each row would hold the proper translation for that supported locale. The following is an example of a SAS program to set the priority look-up table in German:

```
proc sql;


    insert into ecm_db.ecm_locale values ('de','def');

    delete from ecm_db.ref_table_trans
    where ref_table_nm='X_RT_PRIORITY' and locale='de';

    insert into ecm_db.ref_table_trans values ('X_RT_PRIORITY', 'H', 'de', ' hoch');
    insert into ecm_db.ref_table_trans values ('X_RT_PRIORITY', 'M', 'de', ' mittler');
    insert into ecm_db.ref_table_trans values ('X_RT_PRIORITY', 'L', 'de', ' niedrig');
```

Localizing Workflow Activities and Statuses

In SAS Enterprise Case Management, the names of workflow activities and statuses can be localized by performing the following steps:

1. From the New Data Object dialog box, or the Edit dialog box of an existing object, click  next to either the **Data Object Label** or **Description** field.
2. Specify the resource bundle key in the **Localization Key** field, and click OK.
3. Include translations for that key in the appropriate custom properties files and upload the modified custom properties file to the server (for example, ecm.sample.workflow.status.open.txt = Open).

If a key is specified in the workflow, but no translation is found, the name of the activity or status will be used.

Chapter 14

Adding Custom SAS Code

Adding Custom SAS Code	169
------------------------------	-----

Adding Custom SAS Code

An existing SAS macro can be overridden by adding a SAS macro program in *SAS_CONFIG/Lev1/Applications/SASCaseManagementServerCfg/3.1/Source/ucmacros* with the same filename. You can also add a new macro and save it in the same location. Here are some tips for writing your own code.

1. The following statement should be added to the beginning of the program if it is not being called by any calling program.

```
%inc `SAS_CONFIG/lev1/Applications/SASCaseManagementServerCfg/3.1/
Source/control/ecm_autoexec.sas`;
%ecm_db_connect;
```

To run %ECM_DB_CONNECT, you have to be a valid SAS Enterprise Case Management user. If you are the programmer testing the program in SAS Display Manager, make sure you log on to the SAS server machine with a valid user ID. Also, if the macro might potentially be executed in SAS Enterprise Guide, which sends SAS code to the SAS Workspace Server, you might want to override the %ECM_DB_CONNECT macro to prevent it from aborting SAS when the user cannot connect to the database because the abort statement will abort the SAS Workspace Server. This can be done by commenting out the following code:

```
/*
%if (%sysfunc(libref(ecm_db))) %then
%do;
    %put %sysfunc(sysmsg());
    %if ^&SYSDMS %then
    %do;
        %abort cancel 4;
    %end;
    %else
    %do;
        %abort return;
    %end;
%end;
*/
```

2. The %ECM_PIVOT_DATATYPE_SUBSET macro can be used to convert the ECM data from its native format into rectangular structure. For example, if you want to retrieve case records with all the core and UDF fields for CASE_TYPE='FIN' , you can use the following code:

```
data case_subset;
set ecm_db.case_live (keep=case_rk);
where case_type='FIN';
run;
%ecm_create_label_fmt;
%ecm_pivot_datatype(in_lib=ecm_db,out_lib=work,datatype=CASE,
    subset_dsn=case_subset,include_lgchr_YN=N,table_wh="CASE");
```

The output tables are WORK.CASE_PIVOT for the case table and WORK.C_X_<UDF_TABLE_NM> for the case subtables. <UDF_TABLE_NM> is the value of the UDF_TABLE_NM column defined in CASE_UDF_DEF. These tables contain only the most current data. Therefore, the value of the DATA_OBJECT_RK column without VALID_FROM_DTTM can be used to join the tables.

3. To place a generic data table in rectangular structure, use the following code:

```
%let table_nm=X_BRANCH ; /* specific generic table name */
%ecm_pivot_datatype(datatype=GENERIC_DATA,in_lib=ECM_DB,
out_lib=WORK, table_wh="&table_nm");
```

The output table is WORK.G_X_BRANCH.

Chapter 15

Event Logging

Overview	171
Currently Supported Events	171
Creating a Batch Load Event	174

Overview

SAS Enterprise Case Management enables you to log events for entities. The event logs provide a history of activities performed for audit purposes. All events include a timestamp that indicates when the event happened and who performed the event unless otherwise noted. This chapter describes the events that are logged for entities.

Currently Supported Events

All events include a timestamp that indicates when the event happened and the user who performed the event unless otherwise noted.

Event Type	Event Description
Save entity event	A save entity event is logged when an entity is saved. The version number of the saved record is saved in the description column.
Load entity event	A load entity event is logged when an entity is loaded into the system from an ETL (extract, transform and load) process or Web service call. For information on saving load events in an ETL process, see “Creating a Batch Load Event” on page 174 .
Lock entity event	A lock entity event is logged when a user locks an entity. The user who locked the entity is saved in the description column.
Unlock entity event	An unlock entity event is logged when a user unlocks an entity. The user who unlocked the entity is saved in the description column.

Event Type	Event Description
Status change event	A status change event is logged when a user changes the status of a workflow activity from the Edit Case page. The original status and the new status are stored in the description column.
New status event	A new status event is logged when the status of a case has changed as a result of a change within the associated workflow. The Created By column is blank because these events are triggered from the associated workflow and might not necessarily be caused by a user action (for example, a status change could result from an expired timer). If the new status event immediately follows a status change event, you can deduce that the new status was caused by the status change user action.
Assign case owner event	An assign case owner event is logged when a case is assigned to a new owner. The user who now owns the case is saved in the description column.
Assign report owner event	An assign report owner event is logged when a report is assigned to a new owner. The user who now owns the report is saved in the description column.
Add comment event	An add comment event is logged when a comment is added to an entity. The comment subject is saved in the description column.
Edit comment event	An edit comment event is logged when an existing comment has been edited. The comment subject is saved in the description column.
Delete comment event	A delete comment event is logged when a comment is deleted from an entity. The comment subject is saved in the description column.
Add attachment event	An add attachment event is logged when an attachment is added to an entity. The attachment file name is saved in the description column.
Delete attachment event	A delete attachment event is logged when an attachment is deleted from an entity. The attachment file name is saved in the description column.
Add incident event	An add incident event is logged when an incident is added to a case. The key for the incident is saved with the event, and the ID and source system code of the incident is shown in the description.
Delete incident event	A delete incident event is logged when an incident is removed from a case. The key for the incident is saved with the event, and the ID and source system code of the incident is shown in the description.

Event Type	Event Description
Add financial item event	An add financial item event is logged when a new financial item is added to an incident or a case. The key for the financial item is saved with the event, and the ID and the source system code of the financial item is shown in the description.
Edit financial item event	An edit financial item event is logged when a financial item is modified. The key for the financial item is saved with the event, and the ID and the source system code of the financial item is shown in the description.
Delete financial item event	A delete financial item event is logged when a financial item is removed from an incident or a case. The key for the financial item is saved with the event, and the ID and the source system code of the financial item is shown in the description.
Add party relationship event	An add party relationship event is logged when a party is linked to an incident or case with a given relationship. The key for the party is saved with the event, and the ID and source system code of the party is shown in the description.
Remove party relationship event	A remove party relationship event is logged when a party relationship is unlinked from an incident or case. The key for the party is saved with the event, and the ID and source system code of the party is shown in the description.
Add associated case event	An add associated case event is logged when one or more cases are associated with a case. The key for the associated case is saved with the event, and the ID and source system code of the case is shown in the description.
Remove associated cases event	A remove associated case event is logged when one or more associated cases are removed from a case. The key for the associated case is saved with the event, and the ID and source system code of the case is shown in the description.
Add identical party event	An add identical party event is logged when one or more parties are identified as identical parties. The key for the identical party is saved with the event, and the ID and source system code of the party is shown in the description.
Remove identical party event	A remove identical party event is logged when one or more parties are removed from an identical parties list. The key for the identical party is saved with the event, and the ID and source system code of the party is shown in the description.
Submit regulatory report event	A submit regulatory report event is logged when a regulatory report is submitted from the Case Detail page by clicking the Submit Report button.

Event Type	Event Description
Add report event	An add report event is logged when a report is added to a case or incident. The key for the report is saved with the event, and the ID and source system code is shown in the description.
Activate case workflow event	An activate case workflow event is logged when a workflow is activated for the case.
Terminate case workflow event	A terminate case workflow event is logged when workflow is terminated from the case.
Activate report workflow event	An activate report workflow event is logged when a workflow is activated for the report.
Terminate report workflow event	A terminate report workflow event is logged when workflow is terminated from the report.

Creating a Batch Load Event

Load events are logged when an entity is loaded into the system from an extract, transform and load (ETL) process or Web service call. ETL processes must manually add this event when loading an entity. The following SAS program provides an example of how to insert an ETL load event for a case.

```

/***** SETUP LIBNAME *****/
%let DB_SERVICE = ...;
%let DB_SCHEMA = ...;
%let DB_USER = ...;
%let DB_PASSWORD = ...;
libname ecm_db oracle
path="&DB_SERVICE" user="&DB_USER" password="&DB_PASSWORD" schema="&DB_SCHEMA";
/***** GET NEXT CASE KEY AND NEXT CASE EVENT KEY *****/
proc sql noprint;
connect to oracle (
path="&DB_SERVICE" user="&DB_USER" password="&DB_PASSWORD" connection=global);
select * into :CASE_KEY from connection to oracle
(select &DB_SCHEMA..case_rk_seq.nextval from dual);
select * into :CASE_EVENT_KEY from connection to oracle
(select &DB_SCHEMA..event_rk_seq.nextval from dual);
disconnect from oracle;
quit;
%let CASE_KEY = %trim(&CASE_KEY);
%let CASE_EVENT_KEY = %trim(&CASE_EVENT_KEY);
/***** GET CURRENT DATE/TIME *****/
%let CURRENT_DATETIME = %sysfunc(datetime(), datetime);
%let CURRENT_DATETIME_SQL = "&CURRENT_DATETIME"dt;
/***** INSERT CASE *****/
...
/***** COPY TO CASE_VERSION TABLE *****/

```

```

...
/***** INSERT USER DEFINED FIELD VALUES *****/
...
/***** INSERT GROUP PERMISSIONS *****/
...
/***** INSERT ETL CASE EVENT *****/
proc sql noprint;
insert into ecm_db.case_event values (
&CASE_EVENT_KEY,
&CASE_KEY,
'LOADEN',
'event.etl.load.txt',
null,

```

In the preceding example, you use sequences to get the next record key (CASE_RK_SEQ, INCIDENT_RK_SEQ, PARTY_RK_SEQ, RR_RK_SEQ, or EFILE_RK_SEQ) and event key (EVENT_RK_SEQ). LOADEN is the event-type code for load events. event.etl.load.txt is the resource bundle property key defined in AppResources.properties for the ETL load event description. Null is the user ID (you can load an actual user ID instead of null). The final value in the insert statement is the timestamp indicating when the event took place. For Web service loads, the load event is automatically created if the source system of the loaded record is not SASECM.

Chapter 16

Additional Tasks

Case Routing Configurations for SAS Enterprise Case Management: Regional Manager Setup	177
Add the Region Case User-Defined Field	177
Create the Region User-Defined Reference Table	177
Create a Group in SAS Management Console for Each Region	178
Create the Regional Manager Group Case User-Defined Field	178
Add the Region User-Defined Field to the User Interface Definition	178
Derive the Regional Manager Group Name from Region	179
Use the Regional Manager Group Field in the Workflow	179
Add a Root-Level Set Process Participant Policy to the Workflow	180
Upload the User Interface Definition and Workflow	181
Test Your New Configuration	181
Setting Up Data Management Jobs	182
SAS Enterprise Case Management – Backup Requirements	182

Case Routing Configurations for SAS Enterprise Case Management: Regional Manager Setup

This chapter describes how to configure SAS Enterprise Case Management to support routing the review capability of cases to regional managers, based on region.

Add the Region Case User-Defined Field

This field is used to store the region code.

```
insert into ecm_db.case_udf_def values (
    'CASE', 'X_REGION_CD', 'VARCHAR', 'Region code', 3);
```

Create the Region User-Defined Reference Table

This reference table contains all possible regions.

```
insert into ecm_db.ref_table_value values (
    'X_RT_REGION', 'N', 'North', null, null, 0);
insert into ecm_db.ref_table_value values (
    'X_RT_REGION', 'S', 'South', null, null, 0);
insert into ecm_db.ref_table_value values (
    'X_RT_REGION', 'E', 'East', null, null, 0);
```

```
insert into ecm_db.ref_table_value values (
    'X_RT_REGION', 'W', 'West', null, null, 0);
```

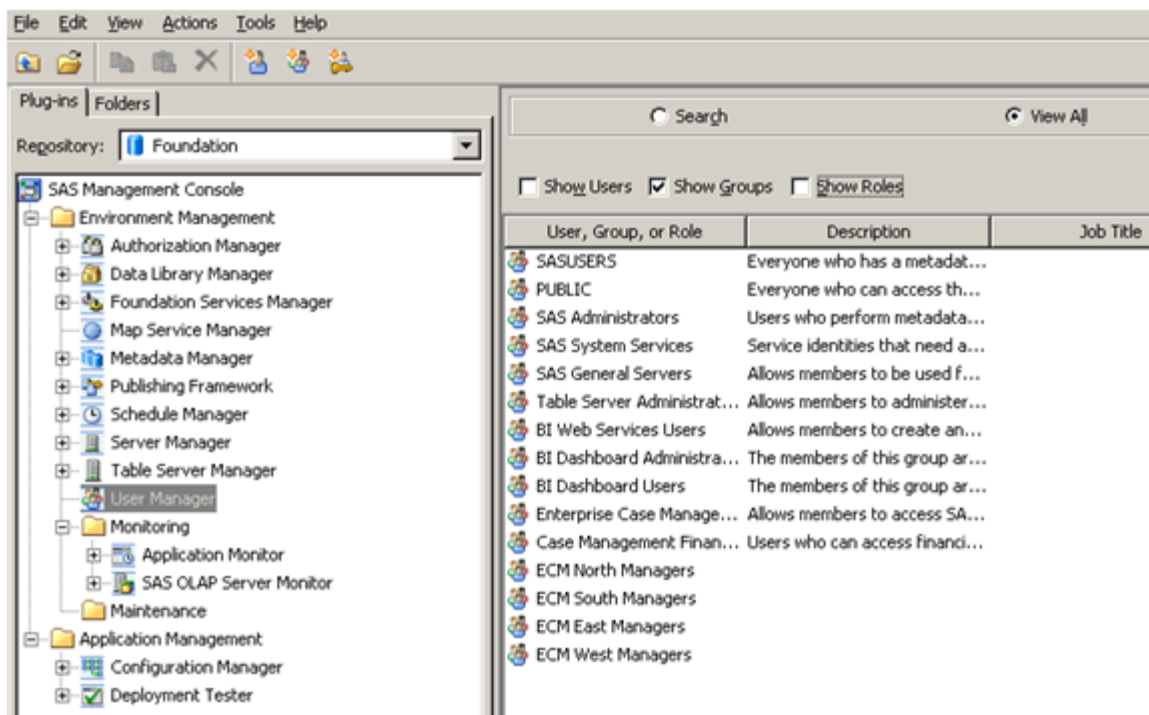
Create a Group in SAS Management Console for Each Region

Each group contains the managers assigned to that region. Here is a list of those managers:

- SAS Enterprise Case Management North managers
- SAS Enterprise Case Management South managers
- SAS Enterprise Case Management East managers
- SAS Enterprise Case Management West managers

In the following display, the previously listed groups were created to correspond to each region.

Display 16.1 Create a Group – SAS Management Console



Create the Regional Manager Group Case User-Defined Field

This field is used to store the regional manager group name assigned to review the case. This field is derived from the region user-defined field.

```
insert into ecm_db.case_udf_def values (
    'CASE', 'X_MANAGER_GROUP_NM', 'VARCHAR', 'Manager group name', 60);
```

Add the Region User-Defined Field to the User Interface Definition

You can now prompt for the region on the Case Detail page by adding the following code to the case user interface definition.

```
<field name="CASE.X_REGION_CD" type="dropdown" required="true"
      values="GetLabelValues('X_RT_REGION') ">
  <label>Region:</label>
</field>
```

Derive the Regional Manager Group Name from Region

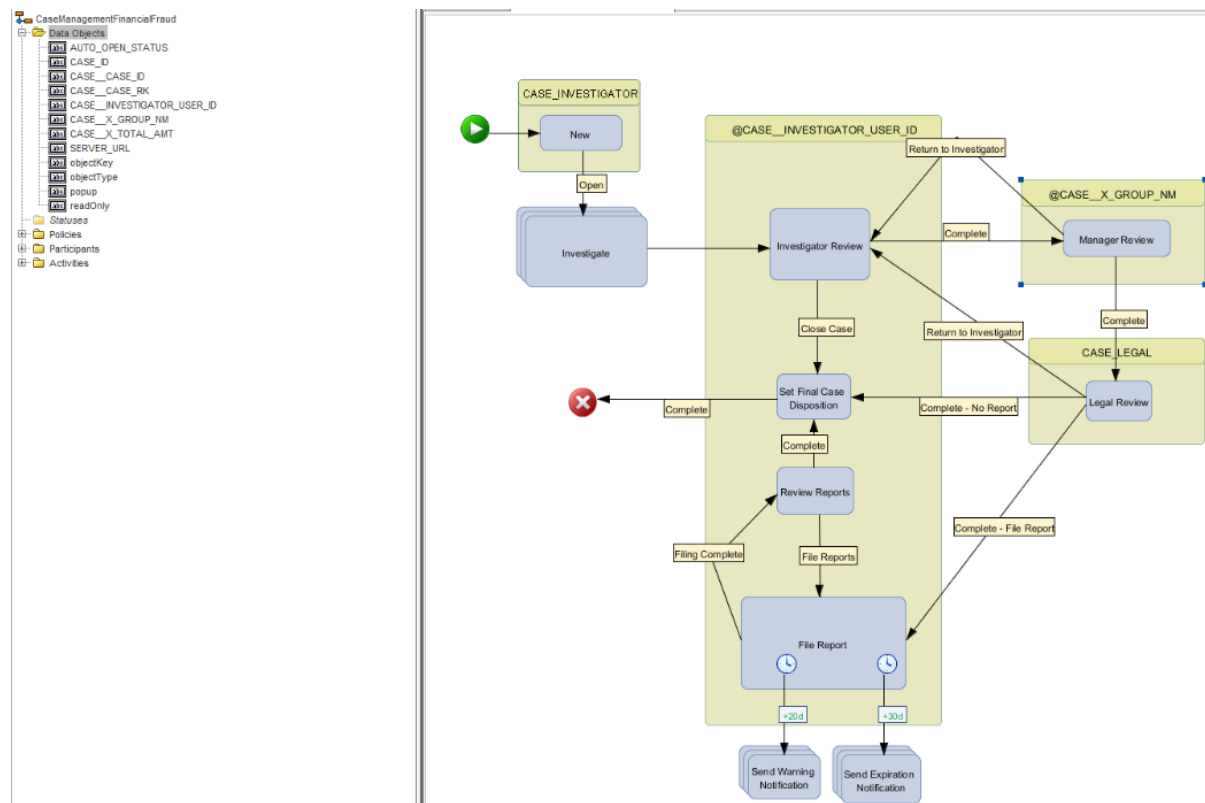
The case user interface definition should be updated to derive the regional manager group name from region in the finalize section of the Case Detail page as follows:

```
<finalize>
  <set name="CASE.X_MANAGER_GROUP_NM"
    value="if(CASE.X_REGION_CD = 'N', 'ECM North Managers',
      CASE.X_MANAGER_GROUP_NM) "/>
  <set name="CASE.X_MANAGER_GROUP_NM"
    value="if(CASE.X_REGION_CD = 'S', 'ECM South Managers',
      CASE.X_MANAGER_GROUP_NM) "/>
  <set name="CASE.X_MANAGER_GROUP_NM"
    value="if(CASE.X_REGION_CD = 'E', 'ECM East Managers',
      CASE.X_MANAGER_GROUP_NM) "/>
  <set name="CASE.X_MANAGER_GROUP_NM"
    value="if(CASE.X_REGION_CD = 'W', 'ECM West Managers',
      CASE.X_MANAGER_GROUP_NM) "/>
</finalize>
```

Whenever the case is saved, the regional manager group user-defined field is derived from the region.

Use the Regional Manager Group Field in the Workflow

Add a data object for the regional manager group user-defined field (CASE__X_MANAGER_GROUP_NM) in SAS Workflow Studio. Use the value of this field as the actor (also known as swimlane) for the Manager Review activity. This allows the value of this field to determine which group can perform the Manager Review activity. The following display shows a workflow diagram in SAS Workflow Studio.

Display 16.2 SAS Workflow Studio

In addition, the **Use a data object to set the name value** check box must be selected on the Edit Swimlane dialog box. The following figure shows the Edit Swimlane dialog box in SAS Workflow Studio.

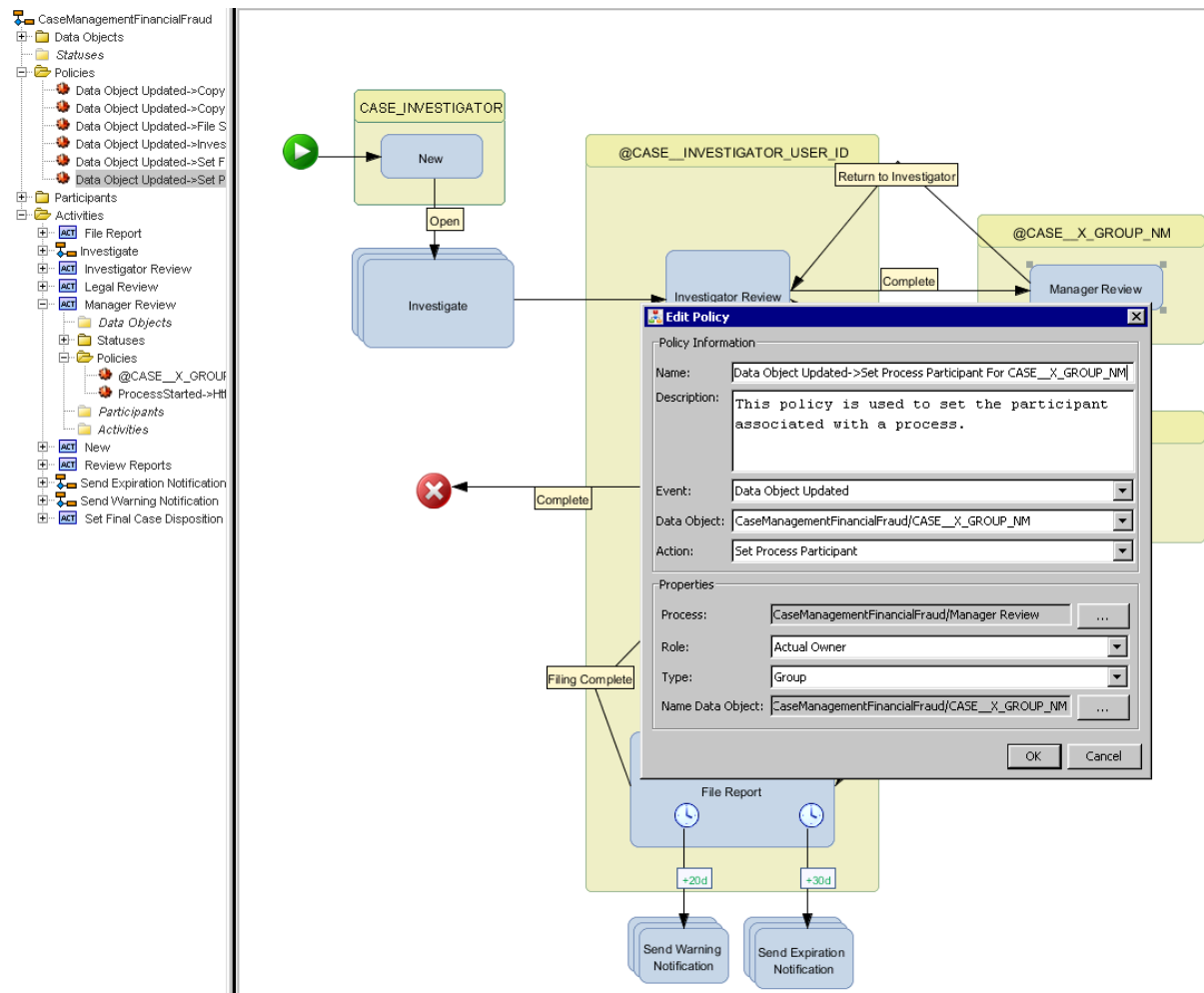
Display 16.3 Edit Swimlane Dialog Box

Add a Root-Level Set Process Participant Policy to the Workflow

The Set Process Participant policy allows the access control entries to be updated for the Manager Review activity whenever the CASE_X_MANAGER_GROUP_NM data

object is updated. This enables the Manager Review activity to be reassigned to a different regional manager group if the region is changed after the activity has started. The following display shows the Edit Policy dialog box in SAS Workflow Studio.

Display 16.4 Edit Policy – SAS Workflow Studio



Upload the User Interface Definition and Workflow

Upload the user interface definition from the SAS Enterprise Case Management **Administration** tab. Upload the workflow template from SAS Workflow Studio.

Test Your New Configuration

1. Create a new case, setting the region user-defined field.
2. Move through the workflow until you reach the Manager Review activity.
3. Verify that only the managers in the corresponding region can perform the activity.
4. Log on as someone who can edit the case.
5. Change the region to another region.
6. Verify that managers from the new region can perform the activity.

Setting Up Data Management Jobs

It is recommended that programs for refreshing the SAS Enterprise Case Management report mart should be set up to run regularly as batch jobs. There are many ways to set up scripts to run SAS programs in batch. This section provides a simple example for Windows.

1. Create a directory where the job script and SAS batch code will be stored. For example:

```
SAS_CONFIG\Lev1\Applications\SASCaseManagementServerCfg\3.1\Source\jobs
```

2. Create a SAS program to call the SAS Enterprise Case Management macro (for example, `ecm_reporting_driver_job.sas`). Add the following line to the program.

```
%ecm_reporting_driver;
```

3. Create a Windows command file to call SAS (for example, `ecm_job_generate_batch_efile.cmd`). Use the following statement as a reference and define the content of the command file with your site information.

```
"!SASROOT\SASFoundation\9.3\sas.exe" -config "SAS_CONFIG\Lev1\SASApp\WorkspaceServer\sasv9.cfg"
-autoexec "SAS_CONFIG\Lev1\Applications\SASCaseManagementServerCfg\3.1\Source\control\autoexec.sas" -SYSIN
"SAS_CONFIG\Lev1\Applications\SASCaseManagementServerCfg\3.1\Source\jobs\ecm_reporting_driver_job.sas" -log
"SAS_CONFIG\Lev1\Applications\SASCaseManagementServerCfg\3.1\Source\jobs\ecm_reporting_driver_job.log" -nodms
```

Note:

- `!SASROOT` should be the path where SAS is installed.
- `SAS_CONFIG` should be the path where SAS Enterprise Case Management is configured.
- Make sure that there are no line breaks in the command program.

SAS Enterprise Case Management – Backup Requirements

To ensure the integrity of the SAS Enterprise Case Management system, you should establish a formal, regularly scheduled backup process. It is important to back up all of the following items at the same time so that related information will be synchronized if a restore becomes necessary:

SAS Metadata

SAS Metadata contains ECM server and middle-tier configuration information, user or group capabilities, and more. The instructions for backing up all SAS metadata can be found in the topic “Backing up and Restoring Your System” in the *SAS 9.3 Intelligence Platform: System Administration Guide*.

SAS Content Server

All UI definition files, custom properties files, and attachments to cases or incidents are stored in the SAS Content Server in **!SASROOT\Lev1\AppData\SASContentServer**. Instructions for backing up the SAS Content Server can be found at the following location:

<http://support.sas.com/documentation/cdl/en/bisag/60945/HTML/default/a003133703.htm#a003266477>

SAS Shared Service Database

SAS Enterprise Case Management uses SAS Shared Services to manage workflows, attachments, alerts, and more. The database associated with SAS Shared Services should be backed up regularly.

SAS Enterprise Case Management Database

The SAS Enterprise Case Management database contains all cases, incidents, subjects records, reports, e-files, reference tables, and configuration data of various SAS Enterprise Case Management components. This database should be backed up regularly.

SAS Enterprise Case Management Configuration Directory

!SASROOT\Lev1\Applications\SASCaseManagementServerCfg\3.1 contains SAR e-file data and any custom code defined at your site. The complete directory should be backed up regularly.

Appendix 1

SAS Enterprise Case Management Web Service

Introduction	185
A Sample Request	185

Introduction

SAS Enterprise Case Management provides a Web service to allow external systems to load data into the system. The Web service accepts these types of requests:

Configuration requests

Retrieve the list of type, category, and subcategory configurations for one or more entity types. The results can be filtered by which types of entities the caller can create. A configuration request can also return the list of user-defined fields and the localized label for each field.

Search requests

Search for subjects in the system based on a configurable set of criteria and return any matching subjects along with the list of matching criteria.

Lookup requests

Retrieve one or more objects in the system by ID and source system code and return all the standard and user-defined fields associated with the objects that are found. The request can also return a list of all the entities related to the one returned.

Create requests

Create new entities and link them together. Existing objects can be updated as well. This request can load live or historic data. A create request can be run in test mode, which processes the request — running all data validation checks — but does not save the results in the database.

The Web service uses the Simple Object Access Protocol (SOAP). Authentication is required and all requests are processed with the visibility and capabilities of the authenticated user.

A Sample Request

The following code shows a simple example of how to call the Web service from within SAS using PROC SOAP.

```

filename infref "!SASROOT\casemgmtmva\sasmisc\sample\webservice\ping-request.xml";
filename outfref "c:\temp\ping-response.xml";

%ecm_ws_get_url;

proc soap IN=infref
          OUT=outfref
          SRSURL="%ecm_ws_srsurl"
          URL="%ecm_ws_url"
          wssusername="THE USERNAME"
          wsspassword="THE PASSWORD"
  SOAPACTION="http://sas.com/solutions/casemgmt/webservice/
              CaseManagementServiceInterface/create";
run;

```

PROC SOAP automatically handles the authentication. The SRSURL points PROC SOAP at the service registry, which it uses to find the SAS Security Token Service. PROC SOAP passes the WSUSERNAME and WSPASSWORD to the Security Token Service to authenticate the user. PROC SOAP submits the request to the Web service only after authentication succeeds. If you prefer not to hardcode the password in the PROC SOAP call, you can replace the WSSUSERNAME and WSPASSWORD parameters with WSSAUTHDOMAIN='DefaultAuth'. In that case, the IDs and passwords of the users who run the code must be registered in the SAS metadata server, and they have to be members of the Ent Case Mgmt Users group.

Additional sample files can be found in **!SASROOT\casemgmtmva\sasmisc\sample\webservice** for Windows platforms or **!SASROOT/misc/casemgmtmva/sample/webservice** for UNIX platforms. The WSDL is available from the SAS Enterprise Case Management Web application found at **http://<host>:<port>/SASEntCaseManagement/service/CaseManagementService.wsdl**.

Appendix 2

Troubleshooting

Workflow Status Updates	188
Database Error Warnings and SAS Deployment Wizard	188
Post-installation Database Steps Required after Unsuccessful SAS Deployment Wizard Database Installation	188
Empty or Missing Date Fields After a Save	190
Case Network Graph Stops Working	190
Case Network Analysis Web Service Not Created	190
Special Characters Are Missing in Case Network Analysis and Report Mart . . .	192
Specifying the Version Number for SAS Enterprise Case Management	193
DBMS JAR File and Multiple Machine Installations	193
Assigning the Primary Owner to a Case	193
Adding the Custom Column Type VARCHAR	193
Adding Comments to an Entity	194
Locking and Unlocking an Entity	194
Using the Search Functionality in SAS Enterprise Case Management	194
Session Time-Out Warning Message	195
Financial Items Warning Message	195
E-File Cannot Be Generated	196
Transaction Sequential Number Is Not Assigned After an E-File Is Generated .	196
Incorrect or Missing Translations	196
Updating Configuration Information in a Cluster	197
Field Definition Changes and Search Page Errors	197
Uploading Large Attachments	197
Receiving the ORA-12520 Error Message	197

Workflow Status Updates

After you select and open a case in SAS Enterprise Case Management, you can update the activity status for that case on the Action Items panel. In the **Activity Status** column, select the needed activity status for an activity. Then, when you select **Save Case and Action Items**, the activity status changes are saved. The time that the activity status was changed is then listed in the **Completed Date** column.

Note: The time that is displayed in the **Completed Date** column is the SAS Enterprise Case Management server time.

Database Error Warnings and SAS Deployment Wizard

When you are using the SAS Deployment Wizard to install SAS Enterprise Case Management, you might encounter possible warnings when configuring your database. If a yellow check is listed during the configure step in the SAS Deployment Wizard, a warning was encountered during your configuration. This is most likely a database error and is received for any of the following reasons:

- The database doesn't exist.
- The user name (schema) does not exist on the database.
- The user name and database exist but the tables have already been created.

In addition, if you receive the warning message **SAS Enterprise Case Management Server-Tier Configuration Failed to Deploy Successfully because of an invalid database connection**, you should be aware that on some platforms, SAS programs will fail if the relational database version does not match the default SAS/ACCESS configuration. On UNIX platforms, SAS/ACCESS needs to be configured to the correct version of the relational database. You should use `SAS_HOME/SASFoundation/9.3/sassetup` for this purpose.

Note: Errors have been reported when Oracle schema users don't have the authority to create views. In this case, the sequences, tables, and indexes are created, but the views are not. Resolving this problem might require manually dropping and recreating the files using the scripts in `SAS_CONFIG/Lev1/Applications/SASCaseManagementServerCfg/N.N/Source/sasmisc/inst all/Oracle`.

Post-installation Database Steps Required after Unsuccessful SAS Deployment Wizard Database Installation

Note: In steps 1 and 2, specify your applicable database.

1. Run the following from sqlplus, depending on your platform:
 - Windows platforms: `SAS_HOME\SASFoundation\9.3\casemgmtmva\sasmisc\install\ddl\dbname\drop_ddl.sql` and `SAS_HOME\SASFoundation\9.3\casemgmtmva\sasmisc\install\ddl\dbname\ecmrr_drop_ddl.sql`
 - UNIX platforms: `SAS_HOME/SASFoundation/9.3/misc/casemgmtmva/install/ddl/dbname/drop_ddl.sql` and `SAS_HOME/SASFoundation/9.3/misc/casemgmtmva/install/ddl/dbname/ecmrr_drop_ddl.sql`
2. Run the following from sqlplus, depending on your platform:
 - Windows platforms: `SAS_HOME\SASFoundation\9.3\casemgmtmva\sasmisc\install\ddl\dbname\load_ddl.sql` and `SAS_HOME\SASFoundation\9.3\casemgmtmva\sasmisc\install\ddl\dbname\ecmrr_load_ddl.sql`
 - UNIX platforms: `SAS_HOME/SASFoundation/9.3/misc/casemgmtmva/install/ddl/dbname/load_ddl.sql` and `SAS_HOME/SASFoundation/9.3/misc/casemgmtmva/install/ddl/dbname/ecmrr_load_ddl.sql`
3. Run the following, depending on your platform:
 - Windows platforms: `SAS_CONFIG\Applications\SASCaseManagementServerCfg\3.1\Source\control\ecm_autoexec.sas`
 - UNIX platforms: `SAS_CONFIG/Applications/SASCaseManagementServerCfg/3.1/Source/control/ecm_autoexec.sas`
4. Run the following, depending on your platform:
 - Windows platforms: `SAS_HOME\SASFoundation\9.3\casemgmtmva\sasmisc\install\config\load_install_data.sas`
 - UNIX platforms: `SAS_HOME/SASFoundation/9.3/misc/casemgmtmva/install/config/load_install_data.sas`
5. Return to the steps in [“Loading the SAS Enterprise Case Management Configuration Tables” on page 32.](#)

If your SAS session is terminated when running `load_install_data.sas`, or you cannot connect to the `ECM_DB`, perform the following:

1. Make sure that your log-on ID, with the correct domain, is defined in the SAS Metadata Server and the user is a valid SAS Enterprise Case Management user.
2. Make sure that `ecm_autoexec.sas` is executed before running `%ECM_DB_CONNECT`.
3. If `%ECM_DB_CONNECT` is not resolved, quit SAS and repeat step 2.

Empty or Missing Date Fields After a Save

SAS Enterprise Case Management can have javascript errors and lose data under certain situations. The problem is IBM WebSphere-specific and only appears if the system is under heavy load and either debug logging is enabled or many error e-mails are being issued. To resolve this issue, reconfigure the WebSphere server that Enterprise Case Management is installed in, typically SAServer8, to disable request and response pooling. Consult your WebSphere documentation for instructions on how to make that change.

Case Network Graph Stops Working

If a valid entity table name other than “PARTY” is entered in the UDF_TABLE_NM column of the PARTY_UDF_DEF table, the case network will stop working. An example of a valid entity name is “CASE.” If you customize this table and column, you need to comply with the following proper naming conventions:

- The length must be 3–30 characters.
- The first two characters must be “X_”.
- The characters following “X_” can be any combination of uppercase letters, numbers, and underscores.
- The name must be unique with respect to all other static and user-defined table names.

Case Network Analysis Web Service Not Created

After SAS Enterprise Case Management is installed and configured, the SAS stored processes `getSocialNetwork`, `getSocialNetworkNodeDetails`, and `growSocialNetworkNodes` should be registered as a Web service so that the Case Network Analysis Web component can use them. The registration can be verified by looking at the list of registered Web services. You can see the list by browsing to `http://WebApplicationServerHostName:SASServer1PortNumber/SASBIWS/services/ECMSocialNetworkAnalysis.wsdl`. Look for a link called “ECMSocialNetworkAnalysis.” If the link is there, the Web service has been correctly registered. If the ECMSocialNetworkAnalysis Web service has not been registered, follow these steps:

1. In SAS Management Console, use the **Folders** tab to navigate to the folder that was just imported to the **system/applications/SAS Enterprise Case Management/Ent Case mgmt Configuration 3.1/Application SAS code** folder.
2. Select the **Application SAS code** folder. The **Stored Process** icons appear in the right pane.
3. Hold down the CTRL key and click to select the following stored process icons:

- **getSocialNetwork**
 - **getSocialNetworkNodeDetails**
 - **growSocialNetworkNode**
4. Right-click one of the selected icons and select **Deploy As Web Service**. The Deploy As Web Service wizard starts.
 5. On the Web Service Information page, confirm the following:
 - Use the default value for Web Service Maker URL.
 - Use **ECMSocialNetworkAnalysis** for **New Web Service Name**.
 - Select **Next**.

Note: The choice of credentials to use does not matter.
 6. On the Web Service Keywords and Namespace page, provide the following value for the Namespace field: **http://sas.com/sso/fraud/sna**.
 7. Select **Next**.
 8. Confirm the settings and then select **Finish**. Open the following page in a Web browser and view the available services:

**http://WebApplicationServerHostName:WebServerPortNumber/
SASBIWS/services/ECMSocialNetworkAnalysis.wsdl**

The new Web service ECMSocialNetworkAnalysis is listed. If you click on it, you can see its WSDL, which is similar to the following.

Display A2.1 WSDL

```

<?xml version="1.0" encoding="UTF-8" ?>
- <definitions name="ECMSocialNetworkAnalysis" targetNamespace="http://sas.com/sso/fraud/sna" xmlns:tns="http://sas.com/sso/fraud/sna"
  xmlns:mime="http://schemas.xmlsoap.org/wsdl/mime/" xmlns:typesns="http://sas.com/sso/fraud/sna"
  xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/" xmlns="http://schemas.xmlsoap.org/wsdl/">
- <types>
- <xs:schema elementFormDefault="qualified" targetNamespace="http://www.sas.com/sso/fraud/sna/score"
  xmlns="http://www.sas.com/sso/fraud/sna/score" xmlns:od="urn:schemas-microsoft-com:officedata"
  xmlns:xs="http://www.w3.org/2001/XMLSchema">
- <xs:element name="TABLE">
- <xs:complexType>
- <xs:sequence>
  <xs:element maxOccurs="unbounded" minOccurs="0" ref="COLORMODEL" />
</xs:sequence>
</xs:complexType>
</xs:element>
- <xs:element name="COLORMODEL">
- <xs:complexType>
- <xs:sequence>
- <xs:element minOccurs="0" name="FMTNAME" od:jetType="text" od:sqlType="nvarchar">
- <xs:simpleType>
- <xs:restriction base="xs:string">
  <xs:maxLength value="32" />
</xs:restriction>
</xs:simpleType>
</xs:element>
- <xs:element minOccurs="0" name="START" od:jetType="text" od:sqlType="nvarchar">
- <xs:simpleType>
- <xs:restriction base="xs:string">
  <xs:maxLength value="16" />
</xs:restriction>
</xs:simpleType>
</xs:element>
- <xs:element minOccurs="0" name="END" od:jetType="text" od:sqlType="nvarchar">
- <xs:simpleType>
- <xs:restriction base="xs:string">
  <xs:maxLength value="16" />
</xs:restriction>
</xs:simpleType>
</xs:element>
- <xs:element minOccurs="0" name="LABEL" od:jetType="text" od:sqlType="nvarchar">
- <xs:simpleType>
+ <xs:restriction base="xs:string">
</xs:simpleType>
</xs:element>
- <xs:element minOccurs="0" name="TYPE" od:jetType="text" od:sqlType="nvarchar">

```

If the ECMSocialNetwork Web service does not show up in the SAS Business Intelligence Web Services application, go to SAS Management Console and check whether the same service exists by clicking on the **Plug-ins** tab and then navigating to **Application Management** ⇒ **Configuration Manager** ⇒ **SAS Application Infrastructure** ⇒ **BI Web service for Java 9.3** ⇒ **WebServiceMaker**. If it exists in SAS Management Console but not in the SAS Business Intelligence Web Services application, the Web service is not configured properly. Delete the Web service from this location and redeploy the stored processes again.

Special Characters Are Missing in Case Network Analysis and Report Mart

If you are using Oracle UTF-8 database on the SAS server machine where the Oracle client is used, ensure that the NLS_LANG setting is for UTF8. For American English, it should be american_america.AL32UTF8.

Specifying the Version Number for SAS Enterprise Case Management

If the SAS Enterprise Case Management version number is not specified in the SAS Enterprise Case Management database, the SAS Enterprise Case Management Web application will not execute correctly when you attempt to log on.

DBMS JAR File and Multiple Machine Installations

In a multiple-machine installation, the SAS Deployment Wizard prompts you for the name of the DBMS JAR file that is used on the middle tier. However, this file might not be available on the middle tier because the DBMS JAR file is installed on the server machine. If that is the case, you need to transfer this file to the middle-tier machine before the middle-tier installation.

Note: The JDBC JAR files should be copied to a secure location where they will be kept for the life of the application.

Assigning the Primary Owner to a Case

When a case is created in SAS Enterprise Case Management, a user is assigned as the Primary Owner of the case. The Primary Owner is determined by settings for CASE_CONFIG. If there is a Primary Owner configured in CASE_CONFIG for the case type, category, and subcategory, then that user will be designated as the Primary Owner. SAS Enterprise Case Management automatically sets the Primary Owner for a case if there is not a Primary Owner configured for the case and the Primary Owner is not assigned through the UI definition when a case is created. In this scenario, the first person to edit the case after it has been saved automatically becomes the Primary Owner.

You can also assign the Primary Owner to a case if you are currently the Primary Owner. On the **Cases** tab of the SAS Enterprise Case Management window, select the **Actions** menu for a case. Select **Set Primary Owner**. The Set Primary Owner dialog box appears. You can now select an owner from the **New Owner** drop-down list. Select **OK** to save the change.

Adding the Custom Column Type VARCHAR

When adding a custom column of type VARCHAR, make sure the `max_char_cnt` is a number greater than 0, preferably the maximum possible size for your custom column.

Adding Comments to an Entity

Comments added to an entity in SAS Enterprise Case Management have a limit of 10,000 characters.

Locking and Unlocking an Entity

In SAS Enterprise Case Management, you can lock a case for restricted use or unlock a case to enable another user to edit. You can access the **Lock** and **Unlock** options for a case from the case **Actions** menu. If you do not have access to these options for a case, they will be inactive.

To lock a case, select **Lock** from the **Actions** menu. Locking a case disables the **Edit** and **Unlock** options for that case for other users. You can, however, view the case. If you try to edit a case that is locked, and you do not have access to the case, a message appears, stating that the case is locked by another user. If a case is already locked by you or another user, the **Lock** option is disabled.

If you have access to a locked case, you can unlock the case. To unlock a case, select **Unlock** from the **Actions** menu. When you unlock a case, a message will state that the case is unlocked. The **Unlock** option is disabled if the case is already unlocked or you do not have access to unlock it.

Another way to lock a case is to edit a case. You can select the **Edit** option for a case from the **Actions** menu, or you can select the case from the **Case ID** column in the case Results panel. This automatically locks the case and opens the case for editing. If the case is locked by another user, the **Edit** option is disabled in the **Actions** menu.

A case can have other cases associated with it. An associated case is identified by the **Case ID** on the **Associated Cases** tab on the Cases Information panel. If the case is unlocked, clicking on the linked **Case ID** locks the case and opens the case for editing.

Using the Search Functionality in SAS Enterprise Case Management

When working in SAS Enterprise Case Management, you might need to search for existing entities. The Search panel for entities contains three options that enable you to modify the search criteria and results that are displayed. You can select from the available search fields and then select one of the following options:

Search

The **Search** option enables you to search for existing cases, incidents, or subjects based on the search fields that are selected. It is possible that one or more search fields are selected by default. Enter the search criteria needed in the available search fields. Select **Search**. Any existing records that match the search criteria are displayed in the Results panel.

Clear

The **Clear** option clears all search field selections and any records that are displayed in the Results panel. This includes any search fields that have been selected by default. Select **Clear**. All search fields and search records are cleared.

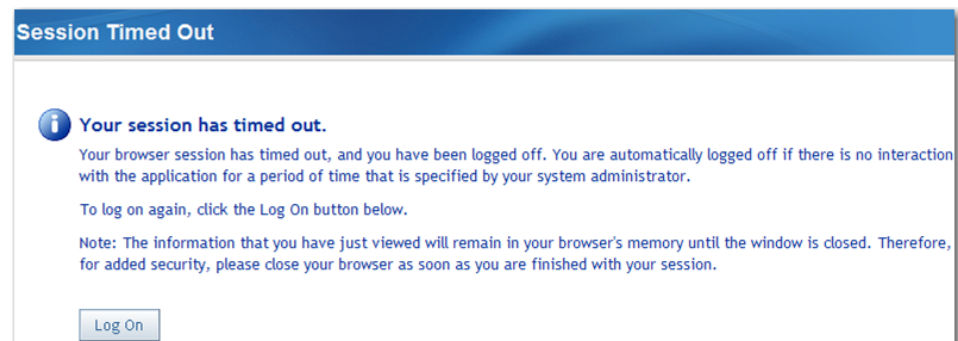
Reset

The **Reset** option resets the search fields to their initial value. This option can be used to reset the search fields to their initial value *before* a search is performed. Fields that are selected by default and records that are displayed in the Results panel are not affected by the **Reset** option. Select **Reset**. Any search fields that were changed *before* a search is executed are reset to their initial value.

Note: If the **Clear** option is used, the **Reset** option does not reset any fields that were select by default. Those fields remain cleared.

Session Time-Out Warning Message

When you are working in SAS Enterprise Case Management, your session can expire. A Session Timed Out dialog box is shown if a time-out period has elapsed with no user activity. The default time-out period is five minutes before the actual session time-out occurs. In the Session Timed Out dialog box, click **Log On** to log on again. The following display shows the Session Timed Out dialog box:



Instructions for setting the session time-out depend on the type of Web application server that you are using. You should refer to documentation for your Web application server for instructions on setting the session time-out value.

Financial Items Warning Message

The following warning message appears in the log if there are financial items associated with a case, but the UI definition is not configured to display financial items:

Warning: A case that is not configured with financial items may have had financial items copied from an associated incident. These financial items must be configured to be visible. Refer to the SAS Enterprise Case Management Administrator's Guide for details.

You can ignore this message if the case does not need to show financial items. There is an association being saved between the case and the financial items that can be displayed at any time. For information about how to display financial items associated with cases,

see the FinancialItemsTable component in the Custom Page Builder documentation, found on the **Administration** tab of SAS Enterprise Case Management.

E-File Cannot Be Generated

If you receive an error when clicking **Generate E-file** on the E-File page of the UI, you might not be set up to run PROC SOAP in SAS stored processes. Check the stored process log. You should see an error message similar to the following:

```
- ERROR: ERROR: No logins found.
- NOTE: PROCEDURE SOAP used (Total process time):
-       real time          0.00 seconds
-       cpu time           0.00 seconds
-
- NOTE: {END: ECMRR_EFILE_FINCEN_DRIVER}
- ERROR: : Stored process is aborted because return code > 4 in macro
          {ecmrr_efile_fincen_driver}.
          See SAS error message above.
```

To fix this problem, provide the necessary login information as shown in [“A Sample Request” on page 185](#). The macro for calling PROC SOAP is ecm_ws_soap.sas.

You can also disable running PROC SOAP by setting ECM_RUN_SOAP=N in the %ECM_GLOBAL MARV macro. In that case, the transaction sequence number and the signature date (EFILE_RR_SEQ_NO and X_SIGNATURE_DT) of the reports under the e-files are not updated. EFILE_RR_SEQ_NO is useful for mapping the document number referenced in the FINCEN response files to the SAS Enterprise Case Management reports.

Transaction Sequential Number Is Not Assigned After an E-File Is Generated

If an e-file is generated successfully and the transaction sequence numbers of the reports under the e-file are not updated, the user might not have permission to update reports. To fix this, see [“Enable Report Update in the E-File Stored Process” on page 128](#).

Incorrect or Missing Translations

You might experience either of the following scenarios:

- A new custom resource bundle has been loaded, and the report mart labels have been refreshed, but the application is not showing the latest translations.
- New values have been loaded into the REF_TABLE_TRANS table, but the values are not being displayed in the application drop-down menus.

If so, consider the following possible causes:

- The custom resource bundle is not following Java naming conventions for the language and country that it supports. See [“Custom Resource Bundles” on page 79](#) for more information on the file naming convention.

- The ECM_LOCALE table does not have an entry for the specified locale. This table is used only for translations stored in the database, such as reference table translations as well as table and column label translations.
- The application has cached values of the old translations. In this case, go to the **Administration** tab and click **Clear Cache**.

Updating Configuration Information in a Cluster

SAS Enterprise Case Management supports deployment in a cluster. However, each server in the cluster maintains its own cache of the application's configuration information. If the configuration is modified, either by uploading new UI definition files, uploading new custom messages, or by modifying one or more of the SAS Enterprise Case Management configuration tables in the database, then each node in the cluster will need to clear its cache of configuration information. This can be accomplished either by clicking **Clear Cache** on the **Administration** tab or by re-starting each server that SAS Enterprise Case Management is deployed to.

Field Definition Changes and Search Page Errors

SAS Enterprise Case Management can have errors on the search page if the type of a user-defined field changes after data has been loaded for that field. For example, suppose a field is originally created with UDF_TYPE_NM = 'VARCHAR' and records are created for that field. If the UDF_TYPE_NM field definition record is then changed to 'DATE', the search page will show an error when those records are part of the returned results. This is because the existing string values cannot be properly converted to date values.

The recommended solution is to avoid the problem by never updating the UDF_TYPE_NM of a field definition that has existing values. If you need to change the type of data being stored for a field, create a new field with the proper configuration. If this problem is happening in your environment, contact technical support for help with cleaning up the obsolete data.

Uploading Large Attachments

You might receive an error message in your browser when attempting to upload large files as attachments. Many browsers have a limit to the size of files that they will upload, such as 2GB. If you have a large file that needs to be added as an attachment, use the Web service to work around this limitation.

Receiving the ORA-12520 Error Message

If you receive the following error message in load_install_data.log under `<SASCONFIG>\Levl\Applications\SASCaseManagementServerCfg\3.1\Source\sasmisc\install`, then Oracle might not be configured correctly:

ORA-12520: TNS:listener could not find available handler for
requested type of server

The default process parameter value might be too low. To resolve this issue, increase it and then rerun the failed statements in load_install_data.sas with ecm_autoexec.sas in SAS Display Manager.

Appendix 3

SASMSG and %SMD2DS

How Does SAS Enterprise Case Management Use %SMD2DS and SASMSG? .	199
About the SASMSG Function	199
Formatting	200
Open Code Macro Statements	200
The %SMD2DS Macro	200
Example: Add a Message and Its Translation to Be Used by SASMSG	201
Example: Message Substitutions	202

How Does SAS Enterprise Case Management Use %SMD2DS and SASMSG?

SAS Enterprise Case Management uses the SASMSG function to retrieve translated strings based on the locale of the client. For user-defined reports, it is also possible to use the %SMD2DS macro to add messages that can be used by the SASMSG function.

About the SASMSG Function

The SASMSG function returns a message from a specified data set. The message that is returned is based on the current locale of the client and a specified key. SASMSG uses the following syntax:

```
SASMSG("BASENAME", "KEY", <<"QUOTE"|"DQUOTE"|"NOQUOTE">
    <, "substitution 1", ..., "substitution 7">>)
```

BASENAME is the name of the data set where the message is located, and KEY is the message key. If a key name is specified for a key that does not exist, the key name is returned.

Other parameters include an option to indicate the type of quotes added to the message text and strings that are used as substitutions. The default quoting option that is used is DQUOTE.

The SAS message data set must be a 7-bit ASCII data set. Any character that cannot be represented in the 7-bit ASCII encoding is represented in the Unicode escape format,

\uxxxx, where *xxxx* is the base-10 numeric representation for the Unicode value of the character.

The message that is returned is based on the LOCALE system option. The LOCALE option has a value of the form *ll RR*, where *ll* represents the 2-letter language code and *RR* represents the 2-letter region code. The function does the following:

- If a match is not found, then the function searches for a match with the language only.
- If the pair LOCALE/KEY still is not found, then the function defaults to use the English language (en).
- If the KEY does not exist for English (en), then the KEY name is returned.

Formatting

String substitution is allowed using the format code %S. A maximum of seven string substitutions are allowed.

In some cases, the translation of a message to a language other than English might require that you change the order of the string substitutions.

To change the order of string substitutions, insert an argument number specification, *#nn*, within a formatted string. *nn* is the number of the argument in the substitution list. For example, the following substitution returns a message of "My cat. Your dog."

```
msg = sasmsg("nls.mymsg", "IN_CD_LOG", "noquote", "cat", "dog") ;
IN_CD_LOGINFORM = My %#1s. Your %#2s
```

However, if you change the order of the arguments as follows, then the message that is returned is "My dog. Your cat."

```
IN_CD_LOGINFORM = My %#2s. Your %#1s
```

Open Code Macro Statements

You can use SASMSG in the Open Code Macro with the %SYSFUNC macro function.

Note: Arguments that are passed to a function called by the %SYSFUNC macro must not be in quotes. However, arguments that are passed to SASMSG outside of the %SYSFUNC macro must be quoted.

When the SASMSG function is used with the %SYSFUNC macro function, the returned string is wrapped with the %NRBQUOTE function.

The %SMD2DS Macro

The %SMD2DS macro is available in the autocall library. You can use it to create SAS message data sets from .smd files. This macro uses the following syntax:

```
%SMD2DS (DIR=, BASENAME=, LOCALE=, LIB=)
```

The arguments used in this macro are defined as follows:

DIR

is the directory where the .smd files are located.

BASENAME

is the base name of the file to process.

LOCALE

(optional) is the list of included locales separated by a blank. basename.smd is the default file that is processed.

LIB

(optional) is the library where the data set will be created. The default library is WORK.

Note that the parameters DIR and BASENAME are required.

Example: Add a Message and Its Translation to Be Used by SASMSG

This example demonstrates how you can create an English and German version of the same message for SASMSG to use.

To create customized translated messages for SASMSG:

1. Create the new directory **C:\MyORMsmd**.
2. In the new directory, create a file and name it **new_msg.smd**. This file defines the English translations with the following line:

```
my_new_sasmsg1 = Process start time
```

3. In the new directory, create a file and name it **new_msg_de.smd**. This file defines the German translations with the following line:

```
my_new_sasmsg1 = Startzeit f\u00fcr Prozess
```

4. Start SAS and submit the following code:

```
/* The libname statement contains the installation specific path. */
/* Change this path as necessary. */
libname ormhelp 'C:\Program Files\SAS\SASFoundation\9.3\ormonitormva\sashelp';
%sm2ds(DIR=C:\MyORMsmd, BASENAME=new_msg, LIB=ormhelp, LOCALE=de);
```

5. Use SASMSG and the option LOCALE= to verify that you can use the new messages:

```
options locale=English;
%put %sysfunc(sasmsg(sashelp.new_msg, my_new_sasmsg1, NOQUOTE)) ;

options locale=German;
%put %sysfunc(sasmsg(sashelp.new_msg, my_new_sasmsg1, NOQUOTE)) ;
run;
```

For English, the following string is returned:

```
Process start time
```

For German, the following string is returned:

```
Startzeit für Prozess
```

Example: Message Substitutions

This example demonstrates how to use message substitutions.

To use message substitutions:

1. Add a new message in the new_msg.smd file, as follows:

```
new_msg_with_parms =  
    This is the first substitution %#1s and this is the second %#2s
```

2. Pass the following parameters to the new message:

```
options locale=English;  
%let parm1=Test1;  
%let parm2=Test2;  
data _null_;  
a= sasmsg("sashelp.new_msg", "new_msg_with_parms",  
          "NOQUOTE", "&parm1", "&parm2");  
put a=;  
run;
```

The following string is returned:

```
This is the first parameter Test1 and this is the second Test2
```

Index

Special Characters

<help-text> [108](#)

%SMD2DS macro [199](#), [200](#)

A

actors

defining [83](#)

defining static actors [83](#)

dynamically determined [83](#)

Administrative User account

defining [39](#)

alert notifications

controlling from SAS Preferences

Manager [57](#)

alerts [56](#)

anonymous Web access

disabling [15](#)

B

backup requirements [182](#)

batch jobs [182](#)

batch load events [174](#)

C

cache, clearing [42](#)

capabilities [43](#)

associating [43](#)

associating with roles [37](#)

case capabilities [43](#)

general [46](#)

incident capabilities [44](#)

party capabilities [44](#)

relational [46](#)

user interface impact [46](#)

case capabilities [43](#)

user interface and [46](#)

case configurations [74](#)

case management [1](#)

case network

graph stops working [190](#)

case network analysis [149](#)

configuring display labels [153](#)

configuring displayed data fields [152](#)

configuring link criteria [150](#)

logic [154](#)

process for defining [149](#)

Web service not created [190](#)

case report notifications [54](#)

case routing

configurations for regional manager

setup [177](#)

case routing configuration

testing [181](#)

case UI definitions

e-filing [126](#)

case user interface

adding FinancialItemsTable component

to [146](#)

cases

adding comments to [194](#)

assigning primary owner to [193](#)

locking and unlocking [194](#)

character encoding [163](#)

clearing the cache [42](#)

client tier [13](#)

code

adding custom SAS code [169](#)

column header labels

search panels [94](#)

column labels

localizing custom labels [167](#)

columns

custom type VARCHAR [193](#)

comments

adding to cases [194](#)

components, custom [115](#)

configuration directory

backing up [183](#)

configuration tables

- loading 32
- users and groups referenced in 38
- configurations
 - case configurations 74
 - case routing for regional manager setup 177
 - customizing 74
 - display labels 153
 - displayed data fields 152
 - e-filing 125
 - incident configurations 75
 - link criteria for case network analysis 150
 - match criteria 141
 - match criteria for subject search 155
 - party configurations 76
 - PostgreSQL database, for multi-tier installation 11
 - PostgreSQL ODBC connection 11
 - reference tables in database 85
 - SAS Information Delivery Portal 56
 - SAS Spelling Correction 62
 - SQLServer ODBC connection 9
 - testing case routing configuration 181
 - user-defined fields in database 70
 - user-specified, for search panels 90, 93, 94
 - Web service 59
- create requests 185
- Custom Action component 119
- custom column type VARCHAR 193
- custom components 115
- custom functions 114
- custom help 108
- Custom Page Builder 97
 - assigning permissions 99
 - components 118
 - custom help 108
 - customizable user interfaces 98
 - examples 109
 - expressions and functions 109
 - static component field formatters 119
 - user interface definitions 99
 - valid XML elements and descriptions for user interface definitions 100
- custom properties
 - uploading 42
- custom resource bundles 79
- custom SAS code
 - adding 169
- custom table and column labels
 - localizing 167
- custom translated messages 166
 - examples 201, 202
 - formatting 200
 - message substitutions 202

- customizable search panels 69
- customizable user interfaces 98
- customizing 66
 - configurations 74
 - customizable search panels 69
 - data security 79
 - error messages 111
 - reference tables 68, 85
 - resource bundles 79
 - search panels 90
 - stored processes, to compute financial summaries 147
 - user interface definition files 109
 - user interface definitions 66, 73
 - user-defined fields 69
 - user-defined generic data tables 72
 - workflows 67, 80
- customizing installation 32

D

- data column labels 153
- data fields, displayed
 - configuring 152
- data management jobs
 - setting up 182
- data objects 81
 - adding 83
 - types supported in SAS Workflow Studio 82
 - using regional manager group field in workflow 179
- data security
 - customizing 79
 - field level 79
 - record level 79
- data tables
 - customizing user-defined generic tables 72
- database character encoding 163
- database creation scripts 12
- databases
 - backing up 183
 - configuring reference tables in 85
 - configuring user-defined fields in 70
 - default encoding for supported databases 165
 - error warnings and SAS Deployment Wizard 188
 - pre-installation database information 6
 - SAS Shared Services requirement 7
 - steps required after unsuccessful SAS Deployment Wizard database installation 188
- dates
 - validating 111

DBCS encoding 164
 DBMS credentials 15
 DBMS jar file 193
 decimal digits
 specifying number of 111
 default file locations 28
 definitions
 uploading 40
 deployment 14
 with WebLogic 10.3 33
 deployment plan 5
 detail tab headers 153
 display labels
 configuring 153
 displayable fields
 search panels 94
 displayed data fields
 configuring 152
 downloading software 5
 drop-down lists
 groups and roles as 35
 specifying 112
 dynamic conditional logic
 in user interface definition files 112
 dynamically determined actors 83

E

e-files
 generating 182
 e-filing 124
 case UI definitions 126
 configuring 125
 process 124
 user-defined fields 125
 encoding 163
 default encoding for supported
 databases 165
 restricting maximum length of
 VARCHAR fields 165
 SAS session encoding and DBCS
 support 164
 enterprise case management 1
 error messages
 customizing 111
 error warnings
 databases and SAS Deployment Wizard
 188
 ETL processes
 creating batch load events 174
 event alert notifications 50
 event logging 171
 creating batch load events 174
 currently supported events 171
 expressions 109

F

field labels 93
 search panels 91
 field-level data security 79
 fields
 customizing user-defined fields 69
 displayable 94
 filterable 93
 hiding required fields 111
 required and non-required 110
 restricting maximum length of
 VARCHAR fields 165
 searchable 90
 specifying read-only fields 111
 file locations, default 28
 filters
 filterable fields 93
 search filters 92
 financial items 145
 adding FinancialItemsTable component
 to case or incident user interface
 146
 defining item types in reference tables
 145
 defining UDF for financial summaries
 146
 defining UDF for financial transactions
 146
 defining user interface 146
 warning message 195
 financial summaries
 customizing stored precesses to
 compute 147
 defining UDF for 146
 financial transactions
 defining UDF for 146
 FinancialItemsTable component
 adding to case or incident user interface
 146
 functions 109
 custom 114

G

general capabilities 46
 user interface and 49
 generic data tables, user-defined
 customizing 72
 groups 34
 as drop-down lists 35
 creating, for each region 178
 defining 34
 defining in SAS Management Console
 39
 in workflow definitions 36
 referenced in configuration tables 38

referenced in user interface definitions
38

H

help, custom 108
hiding required fields 111
HttpRequest policy 83

I

incident capabilities 44
 user interface and 47
incident configurations 75
incident user interface
 adding FinancialItemsTable component
 to 146
installation 13
 See also [post-installation](#)
 See also [pre-installation](#)
 customizing 32
 DBMS jar file and multiple machine
 installations 193
 default file locations 28
 disabling anonymous Web access 15
 installed SAS products 14
 Java Development Kit 4
 reviewing Instructions.html file 27
 SAS Deployment Wizard tasks 14
 SAS Spelling Correction 60
 selecting single- or multi-tier 13
 specifying DBMS credentials 15
 updating SAS SID file 29
 Web application server 4
Instructions.html file 27
internationalization 163
 creating and using custom translated
 messages 166
 default encoding for supported
 databases 165
 localizing custom table and column
 labels 167
 localizing reference tables 167
 localizing workflow activities and
 statuses 168
 naming conventions for locales 166
 restricting maximum length of
 VARCHAR fields 165
 SAS session encoding and DBCS
 support 164
 specifying database character encoding
 163

J

jar file 193

Java Development Kit
 installing 4
JDBC drivers 8
jobs
 setting up data management jobs 182

L

labels
 column header labels 94
 configuring display labels 153
 creating, for user-defined fields 79
 field labels 93
 localizing custom table and column
 labels 167
languages
 See [internationalization](#)
license error 29
link criteria
 for case network analysis 150
load events
 creating batch load events 174
loading configuration tables 32
locales
 naming conventions for 166
localizing
 custom table and column labels 167
 reference tables 167
 workflow activities and statuses 168
locking cases 194
logging events
 See [event logging](#)
lookup requests 185

M

match criteria
 configuring 141
 configuring for subject search 155
match labels 153
message substitutions 202
messages
 creating and using custom translated
 messages 166
middle tier 13
multi-tier installation 13
 configuring PostgreSQL database for
 11
multiple machine installations
 DBMS jar file and 193

N

naming conventions
 for locales 166
notifications 49, 56

HttpRequest policy 83

O

ODBC

- configuring PostgreSQL ODBC connection 11
- configuring SQLServer connection 9
- installing SAS access for 11

Open Code Macro statements 200

operating system requirements
verifying 4

Oracle

specifying DBMS credentials 16

Oracle database

- creating Oracle users 8
- installing 8
- installing SAS access for Oracle 9
- pre-installation 8

P

party capabilities 44

user interface and 48

party configurations 76

permissions

- Custom Page Builder 99
- setting user permission to report mart directory 32

post-installation 32

- database steps required after unsuccessful SAS Deployment Wizard installation 188

PostgreSQL

- configuring ODBC connection 11
- specifying DBMS credentials 19
- testing database access 12

PostgreSQL database

- configuring for multi-tier installation 11
- creating users 11
- installing 10
- installing SAS access for ODBC 11
- pre-installation 10

pre-installation 4

- database information 6
- installing PostgreSQL database 10
- JDBC drivers 8
- Oracle database 8
- sample database creation scripts 12
- SQLServer database 9

process instance

See [workflow instance](#)

process templates

See [workflow definitions](#)

properties

uploading 40

uploading custom properties 42

R

radio buttons

specifying 112

read-only fields 111

record-level data security 79

reference tables

- adding user-defined tables 88
- configuring in database 85
- customizing 85
- customizing and 68
- defining 85
- defining financial item types in 145
- defining static tables 86
- localizing 167
- rules and conventions for database fields and values 89

region case user-defined field 177

region user-defined field

adding to user interface definition 178

region user-defined reference table 177

regional manager group case user-defined field 178

regional manager group field

using in workflow 179

regional manager group name

deriving from region 179

regional managers

case routing configurations 177

regions

deriving regional manager group name from 179

related items 141

configuring match criteria 141

relational capabilities 46

user interface and 49

renewal 29

report mart 159

refreshing 182

report mart directory

setting user permission to 32

resource bundles

customizing 79

roles 34

See also [actors](#)

as drop-down lists 35

associating capabilities with 37

defining 34, 40

in workflow definitions 36

referenced in user interface definitions 38

routing the review capability of cases

regional manager setup 177

S

- SAR reports 124
- SAR-DI (Suspicious Activity Report by Depository Institutions) 124
 - files 136
 - reports 136
 - UI 137
- SAS code
 - adding custom code 169
- SAS Content Server
 - backing up 183
- SAS Deployment Wizard 14
 - database error warnings and 188
 - database steps required after unsuccessful database installation 188
- SAS Download Manager 5
- SAS Enterprise Case Management
 - backing up configuration directory 183
 - backing up database 183
 - installation 13
 - version number 193
- SAS Information Delivery Portal
 - configuring 56
 - notifications and 56
- SAS Management Console
 - creating a group for each region 178
 - defining 39
 - defining users 38
 - User Manager 34
- SAS Metadata
 - backing up 182
- SAS Metadata Repository
 - search panel properties 95
- SAS Preferences Manager
 - controlling alert notifications from 57
- SAS session encoding 164
- SAS Shared Services
 - backing up database 183
 - database requirement 7
- SAS SID file
 - updating 29
- SAS Spelling Correction 60
- SAS Workflow Studio
 - data object types supported 82
 - uploading user interface definition and workflow 181
- SASMSG function 199
 - example 201
- scripts
 - sample database creation scripts 12
- search criteria 90
 - wildcards and 91
- search filters 92
- Search function 194
- search panels 92
 - column header labels 94
 - customizable 69
 - customizing 90
 - displayable fields 94
 - field labels 91, 93
 - filterable fields 93
 - SAS Metadata Repository properties 95
 - search criteria 90
 - search filters 92
 - search results 93
 - searchable fields 90
 - user interface controls 92
 - user-specified configurations 90, 93, 94
- search requests 185
- search results 93
- searchable fields
 - for search panels 90
- searches
 - See* [subject search](#)
- security
 - See* [data security](#)
- server tier 13
- session time-out warning message 195
- Set Process Participant policy
 - adding to workflow 180
- SID file 5
 - updating 29
- single-tier installation 13
- software, downloading 5
- spell checking 60
- SQLServer
 - specifying DBMS credentials 23
- SQLServer database
 - configuring ODBC connection 9
 - creating users 9
 - installing 9
 - installing SAS access for ODBC 9
 - installing SAS access for SQLServer 9
 - pre-installation 9
 - testing database access 10
- static actors
 - defining 83
- static component field formatters 119
- static reference tables
 - defining 86
- status
 - localizing workflow statuses 168
 - workflow status updates 188
 - workflows 83
- stored processes
 - customizing to compute financial summaries 147
- subject search 155
 - configuring match criteria for 155
 - logic 156
 - process 155

- subscriptions 49
- Suspicious Activity Report
 - See [SAR reports](#)
- Suspicious Activity Report by Depository Institutions (SAR-DI)
 - See [SAR-DI \(Suspicious Activity Report by Depository Institutions\)](#)
- swimlanes
 - See also [actors](#)
 - using regional manager group field in workflow 179

T

- table labels
 - localizing custom labels 167
- task list
 - alerts 56
 - notifications 54
 - reminder timer interval 55
- task reminders 49
- testing
 - access to PostgreSQL database 12
 - case routing configuration 181
 - SQLServer database access 10
- text area
 - specifying 112
- text fields
 - specifying 112
- time-out warning message 195
- translated messages
 - creating and using custom messages 166
- translations
 - incorrect or missing 196
- troubleshooting
 - adding comments to cases 194
 - adding custom column type VARCHAR 193
 - assigning primary owner to cases 193
 - case network analysis Web service not created 190
 - case network graph stops working 190
 - database error warnings and SAS Deployment Wizard 188
 - DBMS jar file and multiple machine installations 193
 - financial items warning message 195
 - incorrect or missing translations 196
 - locking and unlocking cases 194
 - Search function 194
 - session time-out warning message 195
 - unsuccessful SAS Deployment Wizard database installation 188
 - version number 193
 - workflow status updates 188

U

- UDF
 - defining for financial summaries 146
 - defining for financial transactions 146
- UI definition files
 - See [user interface definition files](#)
- unlocking cases 194
- uploading
 - custom properties 42
 - definitions and properties 40
 - user interface definitions 41
 - workflow definitions 40
- user accounts
 - creating 4
- user interface
 - adding FinancialItemsTable component to case or incident user interface 146
 - capabilities and 46
 - defining financial items user interface 146
- user interface controls 92
- user interface definition files 73, 97
 - customizing 109
 - dynamic conditional logic in 112
 - required 73
- user interface definitions
 - adding region user-defined field to 178
 - customizing 66, 73
 - deleting 100
 - editing 99
 - groups, roles, and users referenced in 38
 - updating 73
 - uploading 41, 99, 181
 - valid XML elements and descriptions for 100
 - viewing 99
- user interfaces, customizable 98
- User Manager
 - SAS Management Console 34
- user permission
 - setting to report mart directory 32
- user-defined field tables 69
- user-defined fields
 - configuring in database 70
 - customizing 69
 - data types 70
 - e-filing 125
 - example 71
- user-defined generic data tables
 - customizing 72
- user-defined reference tables
 - adding 88
- user-specified configurations
 - search panels 94

- users [34](#)
 - creating Oracle users [8](#)
 - creating PostgreSQL users [11](#)
 - creating SQLServer users [9](#)
 - defining [34](#)
 - defining in SAS Management Console [38](#)
 - in workflow definitions [36](#)
 - referenced in configuration tables [38](#)
 - referenced in user interface definitions [38](#)

V

- validating dates [111](#)
- VARCHAR custom column type [193](#)
- VARCHAR fields
 - restricting maximum length of [165](#)
- version number [193](#)

W

- warning messages
 - financial items [195](#)
 - session time-out [195](#)
- Web access, anonymous [15](#)
- Web application server
 - installing [4](#)
- Web service [185](#)
 - configuring [59](#)
 - not created for case network analysis [190](#)

- sample request [185](#)
- WebLogic 10.3
 - deploying SAS Enterprise Case Management using [33](#)
- wildcards [91](#)
- workflow definitions [80](#)
 - groups, roles, and users in [36](#)
 - uploading [40](#), [181](#)
- workflow instance [80](#)
- workflow templates
 - modifying [85](#)
- workflows
 - adding set process participant policy to [180](#)
 - customizing [80](#)
 - customizing and [67](#)
 - data object [81](#)
 - defining [80](#)
 - defining actors [83](#)
 - HttpRequest policy [83](#)
 - localizing activities and statuses [168](#)
 - status [83](#)
 - status updates [188](#)
 - using regional manager group field in [179](#)

X

- XML elements and descriptions
 - for user interface definitions [100](#)